

RL-TM-92-26  
In-House Report  
October 1992

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# PROGRAM 6 TECHNICAL INTERCHANGE MEETING PROCEEDINGS

Walter Gadz, Patrick McCabe



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Rome Laboratory  
Air Force Systems Command  
Griffiss Air Force Base, New York

RL-TM-92-26 has been reviewed and is approved for publication.

APPROVED:

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Intelligence Data Handling Division

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Technical Director  
Intelligence & Reconnaissance Directorate

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# REPORT DOCUMENTATION PAGE

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13. ABSTRACT (Maximum 200 words)  The second annual Program 6 Technical Interchange Meeting (TIM) was held 11-12 February 1992. An informal symposium of contractors and Government personnel, the TIM fosters the interchange of ideas and encourages cooperation.			
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#### **ACKNOWLEDGEMENTS**

We would like to thank TJ Farr for all of her help in preparation and conducting the TIM. The TIM would not have been possible without her support.

In addition, we would like to thank all the attendees at the TIM for their participation, and the openness with which they offered us their suggestions.

**PROGRAM 6 TECHNICAL INTERCHANGE MEETING (TIM)**

**ROME LABORATORY**

**11,12 FEBRUARY 92**

**PROCEEDINGS**

**1. BACKGROUND.**

1.1 Rome Laboratory's Intelligence Data Handling Division (RL/IRD) held it's second annual Technical Interchange Meeting for Program 6 research and development on 11 and 12 February 92. The TIM was held in building 240 and consisted of RL/IRD personnel and contractors of on-going 6.2 and 6.3 programs. A complete agenda, list of attendees and set of briefing slides is attached.

1.2 The objective of the TIM was to provide an informal forum to hold discussions of on-going work in the areas of AI/expert systems, database technology, neural networks and natural language understanding, and to present an updated roadmap of future direction. Briefings and demonstrations of current work were given as part of the TIM to stimulate open discussion and participation. Selected Intelligence Data Handling operational systems were briefed and demonstrated on the first day to give the attendees a feel for the operational environment as it exists today, and where some of the technology currently being developed might be used in the future.

**2.0 SYNOPSIS**

2.1 Mr. John Salerno (RL/IRD) kicked off the TIM with an overview of the intelligence environment as it exists today. He proceeded to give a notional IDHS architectural overview. This was followed by the program 6 perspective of the IDHS as a set of databases connected to a local area network and accessed by display workstations. He went on to discuss the Intelligent Predictive Assessment System (IPAS) 2000 concept and how Program 6 development will "plug and play" in the IDHS environment. The agenda for the TIM was presented and discussed briefly as was a list of items for thought and further discussion. In concluding his presentation Mr. Salerno stressed cooperation among all parties to ensure the successful development of the IPAS 2000 concept.

2.2 The first "block" of the TIM consisted of briefings and demonstrations of operational IDHS systems and programs. These included the Defense Automated Warning System (DAWS), Computer Aided Tactical Information System (CATIS), Modular Architecture for the eXchange of Intelligence (MAXI), and Extended Integrated Data Base (XIDB). Briefings of all IDHS programs were presented at the unclassified level in the IR conference room while demonstrations of DAWS, CATIS and MAXI were conducted in the Intelligence Information Processing Facility (IIPF) at the SCI level.

2.3 The second "block" of the TIM was an Operational IDHS briefing presented by Mr. Steve LaFata of the 480th Air Intelligence Group (AIG). (The 480th AIG will soon become the Air Combat Command (ACC)). Mr. LaFata conveyed the 480th AIG's mission as it stands today, and addressed their changing requirements in order to support the ACC Intelligence Network (ACCINTNET). He presented an overview of the current IDHS systems in use today and a future IDHS architecture diagram (CIRCA1996) representing ACC. The proposed architecture provided insight into potential areas for program 6 technology transition in support of their changing requirements.

2.4 The third "block" of the TIM was an IPAS 2000 briefing conducted by Mr. John Pirog (RL/IRDS). Mr. Pirog briefly discussed IRD's R&D program and provided insight into a future IDHS. A formal roadmap was presented depicting the entire 6.2/6.3 program as it currently exists through the FY99 timeframe. Mr. Pirog made reference to the RL Technical Report 91-319 entitled, "Research and Development for Intelligence Data Handling", which will be updated as a result of the TIM.

2.5 The fourth "block" of the TIM was the heart and soul of the agenda. Individual 6.2/6.3 research and development programs were briefed, and where appropriate, demonstrations were conducted. The programs included message processing/natural language technology (i.e. Generic Intelligence Processor (GIP), Advanced Reasoning Theory (ART), Warning Information Dissemination Experiment (WIDE), and NLU Speech Integration), neural network technology (i.e. Connectionist Networks for Information Exploitation (CONNIE), database technology (i.e. Query Support Processor), and expert system technology (i.e. Cooperative Knowledge Base Architecture (CKBA), Prototype Intelligence Processor (PIP), and Indications and Warning for Defense (IW4D)).

The briefings provided a technical overview of the individual programs as well as a program status.

### 3.0 Conclusions

3.1 RL's TIM provided a valuable forum for presenting current work, sharing ideas and giving constructive feedback in an informal atmosphere on the 6.2/6.3 program. In the way of action items, RL/IRD will be updating the IPAS 2000 concept paper over the next couple of months and it will be disseminated to the TIM attendees. The CKBA ICD was recently distributed to the TIM attendees and RL is soliciting feedback on the document (send comments to Mr. Dan Ventimiglia, RL/IRDW). In the next few months RL/IRD will be conducting follow-up visits to individual contractors to discuss their programs and any feedback that they might provide with respect to the TIM. It was suggested by several attendees that it would be practical to hold future TIMs on a yearly basis, however it was concluded that the next TIM should probably be held in the late fall timeframe.

**Attendees by Name**

Steve Barth	PRC	(315) 330-3221
Madeline Bates	BBN	(617) 873-3639
Hatte Blejer	SRA	(703) 558-7843
Chris A. Boehm	PRC	(703) 556-1045
Brandon L. Buteau	PRC	(703) 556-1355
Gary R. Dolson	PRC	(703) 5561859
David J. Gray	Sterling	(315) 336-0500
Noreen S. Heyda	Harris	(407) 984-6384
Jay Jesse	GTE	(719) 570-8896
Lisa Jesse	GTE	(719) 570-4730
Steve Lafata	480AIG/INPX	
Henry Lefkovits	AOG	(508) 456-9368
Robert Loatman	PRC	(703) 556-1646
Mark T. Maginn	Sterling	
Howard A. Melching	GTE	(719) 570-8898
Vincent Montaldo	480AIG/INPX	
Russ Moody	Orion	(513) 427-5496
Jonathan H. Reed	Harris	(407) 984-6008
William J. Reed	Sterling	
John Sautter	Sterling	(315) 336-0500
Kevin Sculley	PRC	(402) 291-5533
Stefan Shrier	MRJ	(703) 934-9249
Peter Soliz	Orion	(505) 262-2260
Aaron Temin	SRA	(703) 558-7642
Mike Thomas	Sterling	(315) 336-0500
John R. Thompson	SAIC	(505) 247-8787

### Attendees by Organization

Steve Lafata	480AIG/INPX	
Vincent Montaldo	480AIG/INPX	
Henry Lefkovits	AOG	(508) 456-9368
Madeline Bates	BBN	(617) 873-3639
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Lisa Jesse	GTE	(719) 570-4730
Howard A. Melching	GTE	(719) 570-8898
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Jonathan H. Reed	Harris	(407) 984-6008
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Mark T. Maginn	Sterling	
William J. Reed	Sterling	
John Sautter	Sterling	(315) 336-0500
Mike Thomas	Sterling	(315) 336-0500

## PROGRAM 6 TECHNICAL INTERCHANGE MEETING AGENDA

11 FEBRUARY 1992

Time	Topic	Presenter	Class.	Location
8:00	30 Welcome/Overview	J. Salerno	U	IR Conf Rm
8:30	30 DAWS	Capt Colas	U	IR Conf Rm
9:00	30 CATIS	Mike Welch	U	IR Conf Rm
9:30	15 Break			
9:45	45 CATIS/DAWS Demo		SCI/SCI	1327/1327
10:30	45 DAWS/CATIS Demo		SCI/SCI	1327/1327
11:15	30 XIDB	L Lehman	U	IR Conf Rm
11:45	75 LUNCH			
13:00	30 MAXI	M Anken	U	IR Conf Rm
13:30	45 MAXI Demo		SCI	1327
14:15	15 Break			
14:30	30 Operational IDHS	S. Lefata	U	IR Conf Rm
15:00	30 IPAS 2000	J. Pirog	U	IR Conf Rm
15:30	15 Break			
15:45	30 GIP Briefing	M Thomas	U	IR Conf Rm
16:15	30 CONNIE Briefing	Lt. E. Jumper	U	IR Conf Rm
16:45	45 GIP/CONNIE Demo		U	ISF
17:30	45 CONNIE/GIP Demo		U	ISF
18:00	Social Hour			

## PROGRAM 6 TECHNICAL INTERCHANGE MEETING AGENDA

12 FEBRUARY 1992

Time	Topic	Presenter	Class.	Location
8:00	30 QSP	S. Oschner	U	IR Conf Rm
8:30	60 CKBA Technology	B. Buteau	U	IR Conf Rm
9:30	15 Break			
9:45	30 ART Briefing	J. Reid	U	IR Conf Rm
10:15	30 PIP Briefing	L. Jesse	U	IR Conf Rm
10:45	45 ART/PIP Demo		U/SCI	ISF/918
11:30	45 PIP/ART Demo		SCI/U	918/ISF
12:15	60 Lunch			
13:15	30 IW4D Briefing	G. Dolsen	U	IR Conf Rm
13:45	30 WIDE Briefing	A. Temin	U	IR Conf Rm
14:15	45 IW4D/WIDE Demo		SCI/U	918/ISF
15:00	45 WIDE/IW4D Demo		U/SCI	ISF/918
15:45	15 Break			
16:00	30 NLU Speech Integration	L. Bates	U	IR Conf Rm
16:30	Discussions		U	IR Conf Rm

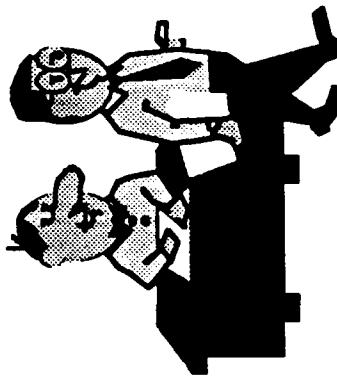
**IRD'S PROGRAM 6**  
**TECHNICAL INTERCHANGE**  
**MEETING**

**11 - 12 FEB 1992**

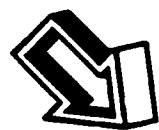
# THE INTELLIGENCE ENVIRONMENT - TODAY



COLLECTION



DISSEMINATION



DATA FLOW



ANALYSIS

# **Program 6 TIM**

## **OBJECTIVES**

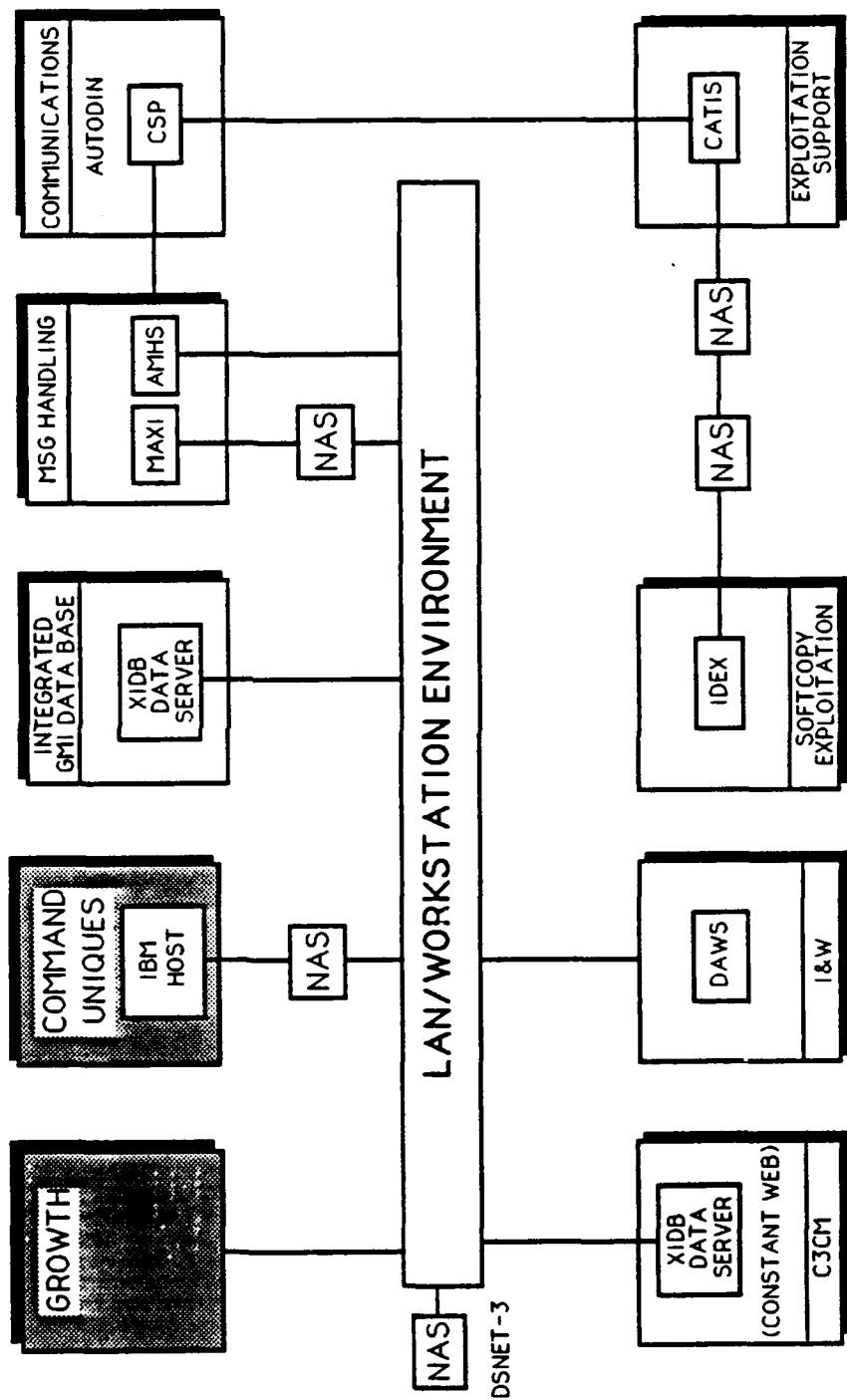
**PROVIDE AN OPEN FORUM FOR TECHNICAL  
DISCUSSIONS OF IRD'S RESEARCH AND  
DEVELOPMENT EFFORTS**

**PEER REVIEW OF TECHNICAL/PROGRAMMATIC  
PLANS FOR IPAS 2000**

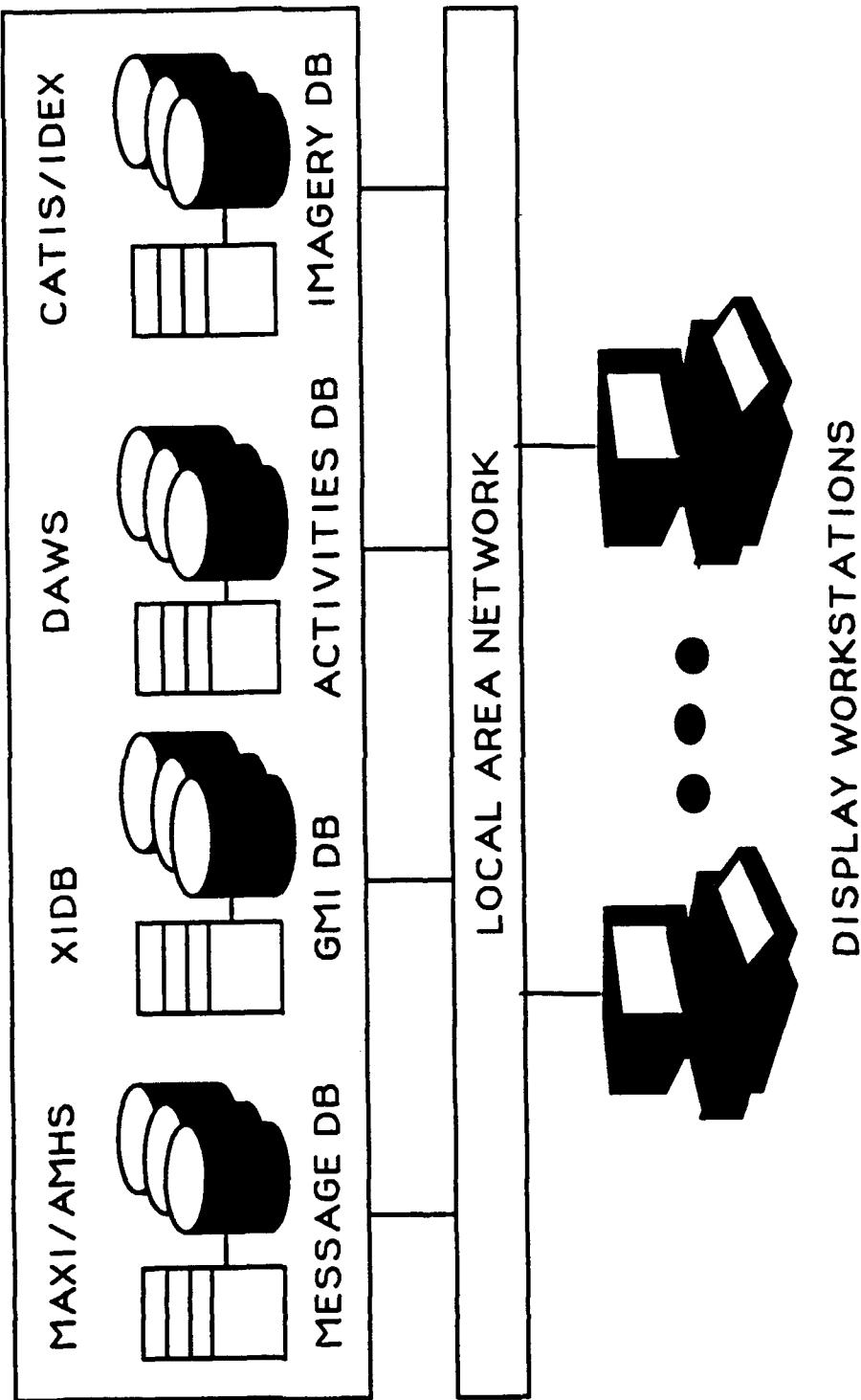


# INTELLIGENCE DATA HANDLING SYSTEM (IDHS)

## NOTIONAL ARCHITECTURE

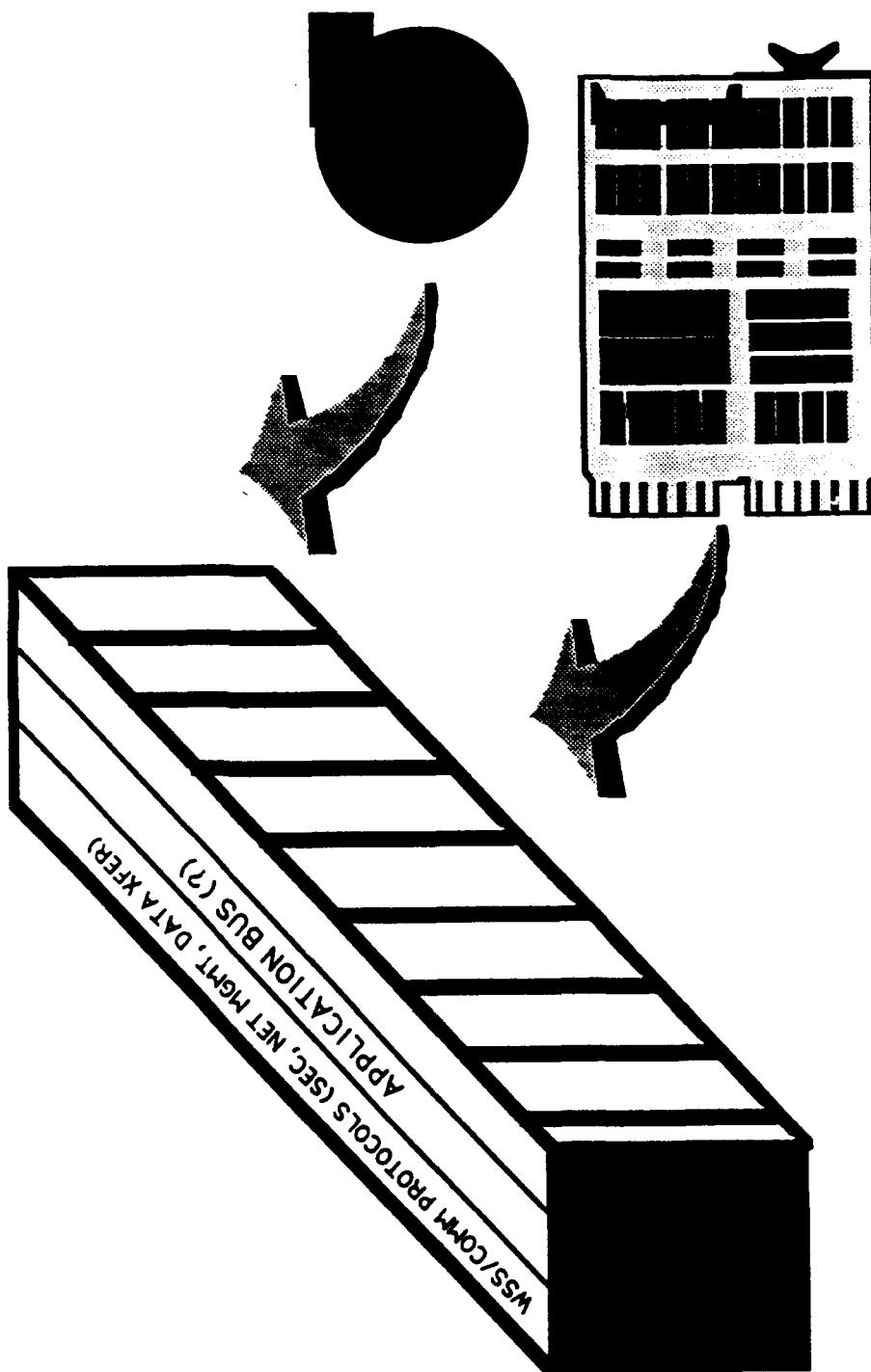


**INTELLIGENCE DATA HANDLING SYSTEM (IDHS)**  
**A DIFFERENT PERSPECTIVE**

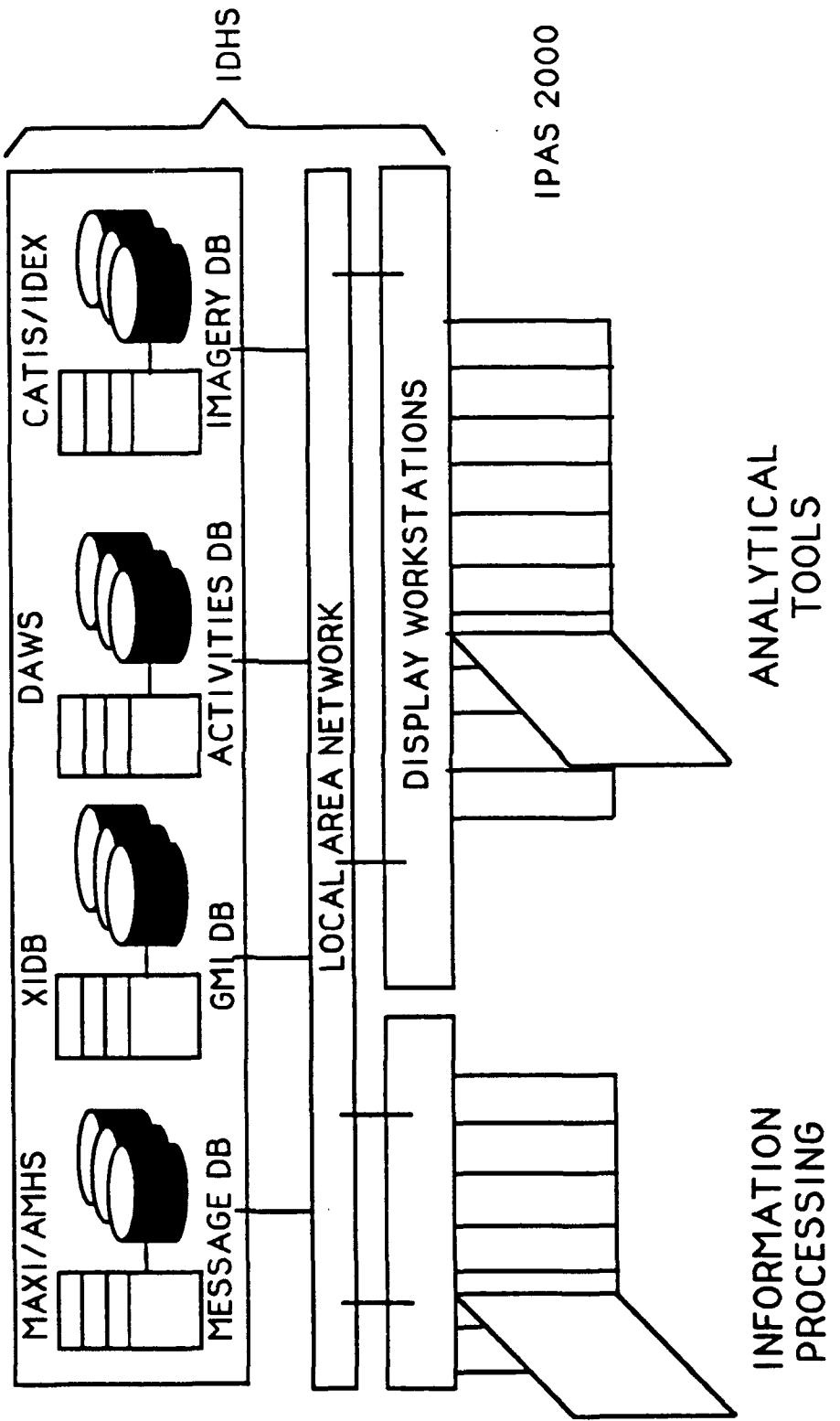


# Program 6 TIM

CONCEPT



**INTELLIGENT PREDICTIVE ASSESSMENT SYSTEM (IPAS) 2000  
NOTIONAL ARCHITECTURE**



# **SOME THOUGHTS**

**EVENT MANAGER/CKBA AS STANDARD APPLICATION  
INFORMATION TRANSFER MECHANISM**

**SCHEDULE OF NEXT TIM**

**ACTION ITEMS**

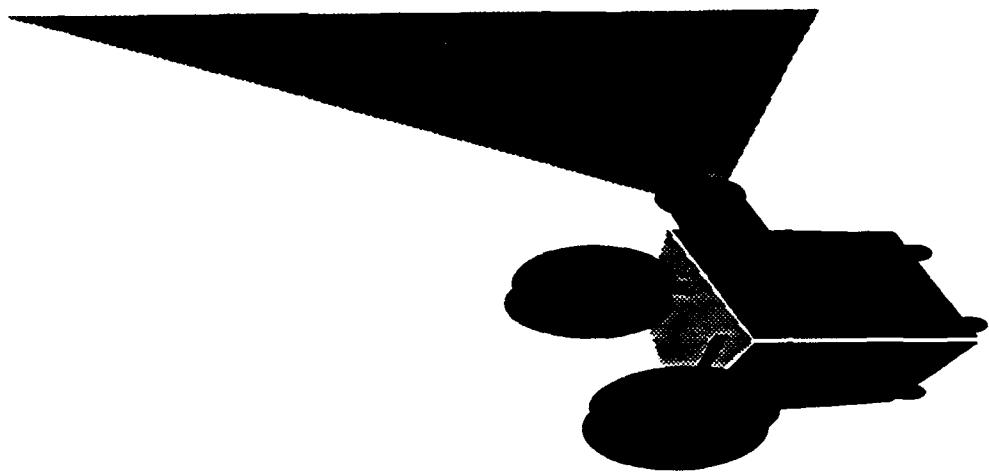
**PROGRAM 6 PHILOSOPHY**

**FOLLOW-UP VISITS**

**IPAS 2000 PAPER**

# AGENDA

- BLOCK I - IDHS SEGMENTS  
(DAWS, CATIS, XIDB  
MAXI)**
- BLOCK II - OPERATIONAL IDHS**
- BLOCK III - IPAS 2000 OVERVIEW**
- BLOCK IV - PROGRAM 6 EFFORTS**
- BLOCK V - WRAP UP**



# Program 6 TIM

## CONCLUSION



COOPERATION



# DEFENSE AUTOMATED WARNING SYSTEM



# OBJECTIVES

- AUTOMATE THE I&W MONITORING FUNCTION
- PROVIDE AUTOMATED TOOLS TO ASSIST THE WARNING OFFICER IN PERFORMING I&W ANALYSIS
- PROVIDE THE INTELLIGENCE COMMUNITY A STANDARD I&W APPLICATION CONSISTENT WITH THE DODIS ARCHITECTURE





## BACKGROUND



BASED ON DIA JS / DS AGREEMENT (JAN 90)

AFISA DESIGNATED AS THE EXECUTIVE AGENT

DAWS EXPECTED TO

INTEGRATE THE INDICATOR BASED STRUCTURE OF AUTOMATED  
WWIMS WITH THE ADVANCED APPLICATIONS OF SACWARNS

FIRST CASE UNDER NEW DODIS MANAGEMENT STRATEGY EMPLOYING:

CORE SYSTEMS

STANDARD APPLICATIONS



## **ROLES AND** **RESPONSIBILITIES**

**FUNCTIONAL MANAGER (DIA)**

**VALIDATE USER REQUIREMENTS**

**PROGRAM OVERSIGHT**

**FUNDING SUPPORT**

**EXECUTIVE AGENT (AFISA)**

**PROGRAM FUNDING**

**PROGRAM DEVELOPMENT / INTEGRATION / IMPLEMENTATION**

**TECHNICAL MANAGER (RL)**

**DEVELOPMENT AND DELIVERY**

**USER GROUP**

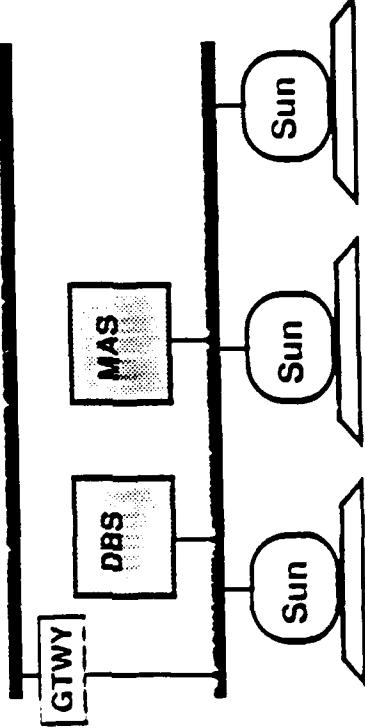
**DETERMINE NEW REQUIREMENTS**



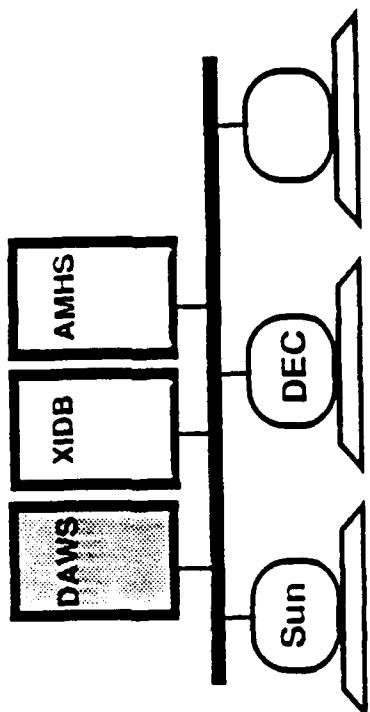
# EVOLUTION



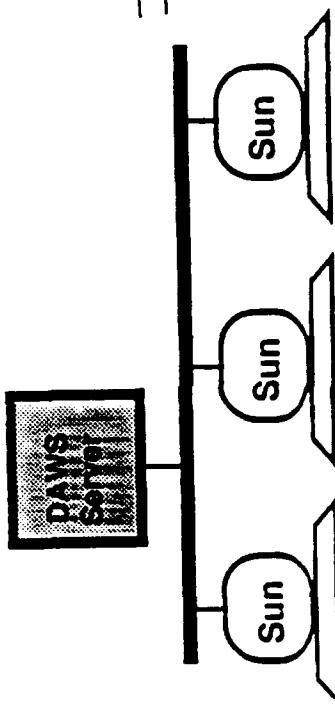
Backbone Network



Target Configuration



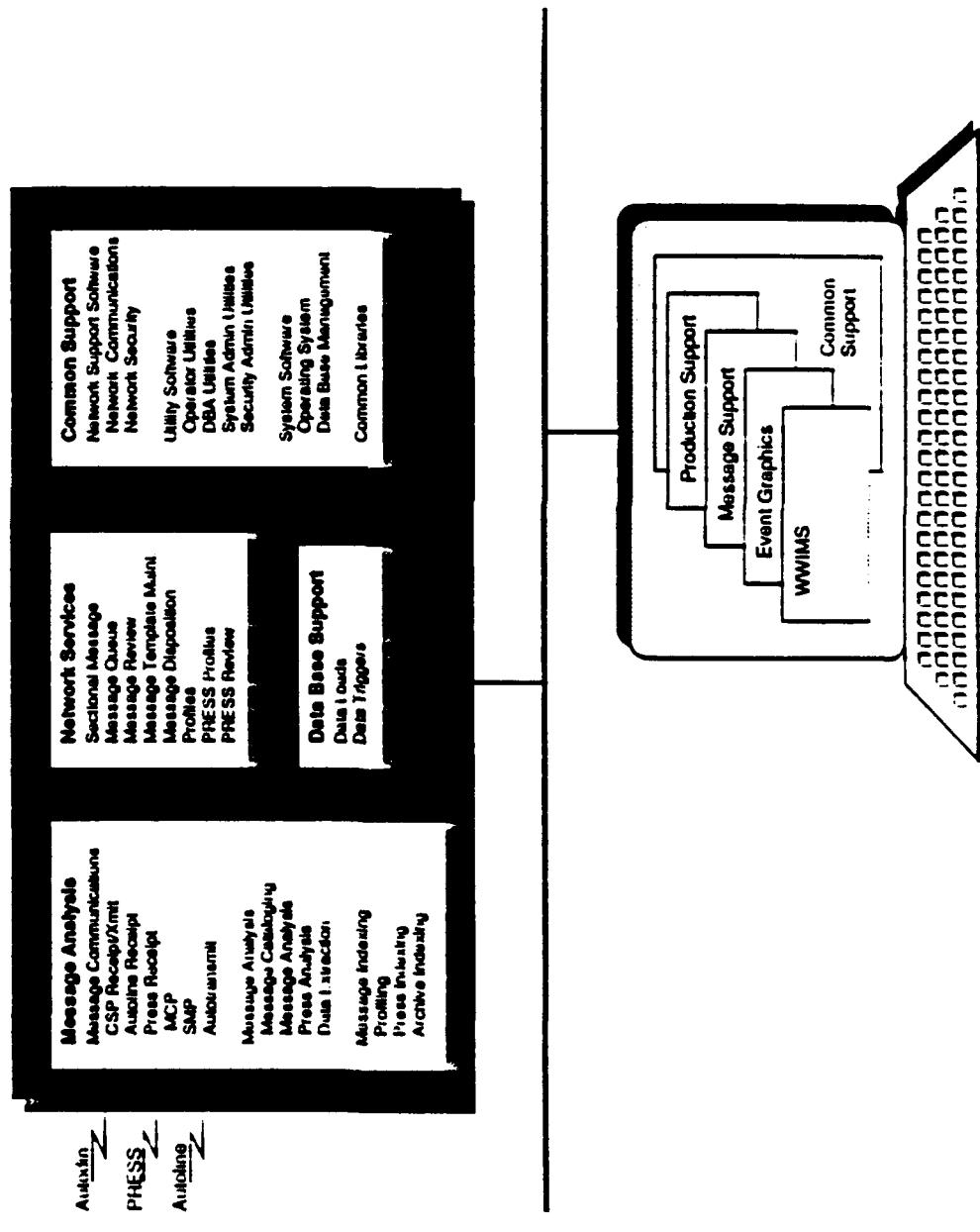
Interim Configuration



- Network Compatibility
- CSS/MAS Decouple
- Accreditation
- Documentation

- Application Port to X-Windows
- MAS Port to Sun
- DBS Port to Sun
- AWWIMS Extensions

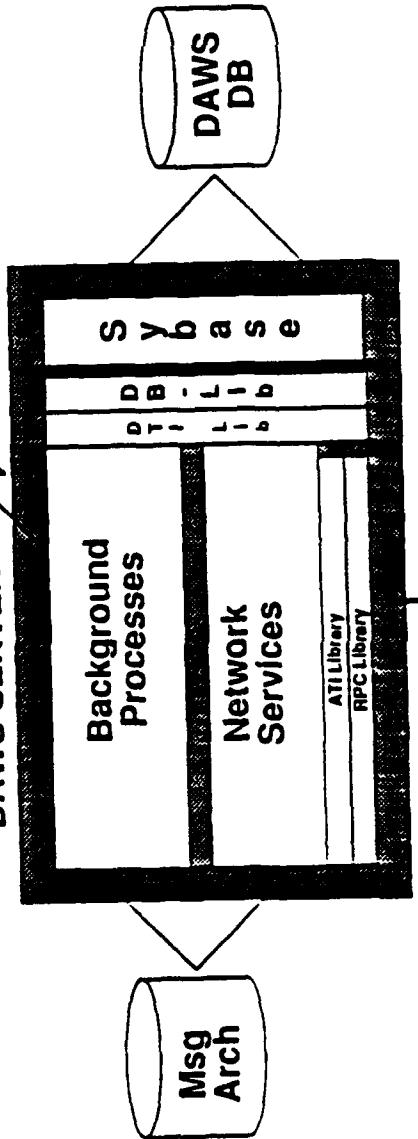
# DAWS Architecture Overview



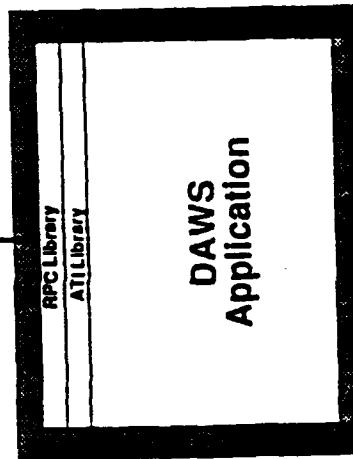
## DAWS Architecture

Message  
Source

DAWS SERVER



Connectionless  
Transfers



DAWS Workstation

*pmc*



## COMMON SUPPORT

PROVIDE SERVICES TO ALL DAWS APPLICATIONS AND USERS

PASSWORD MAINTENANCE

WORKSTATION SETUP

MAIL SERVICES

WORD PROCESSING SERVICES





## **MESSAGE SUPPORT**



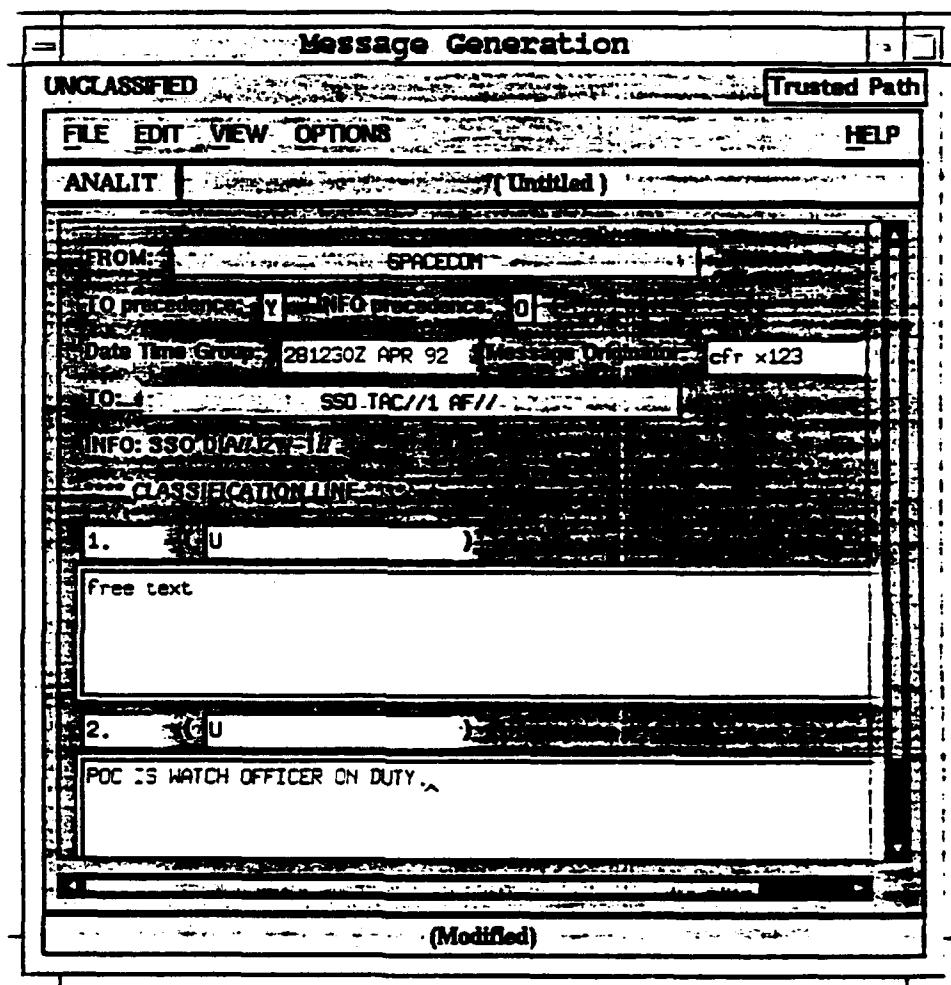
**AUTOMATED SUPPORT FOR INCOMING AND OUTGOING MESSAGES**

**DATA EXTRACTION OF SEMI-FORMATTED  
MESSAGES**

**PROFILES**

**QUERIES**

**MESSAGE GENERATION**





# WWIMS

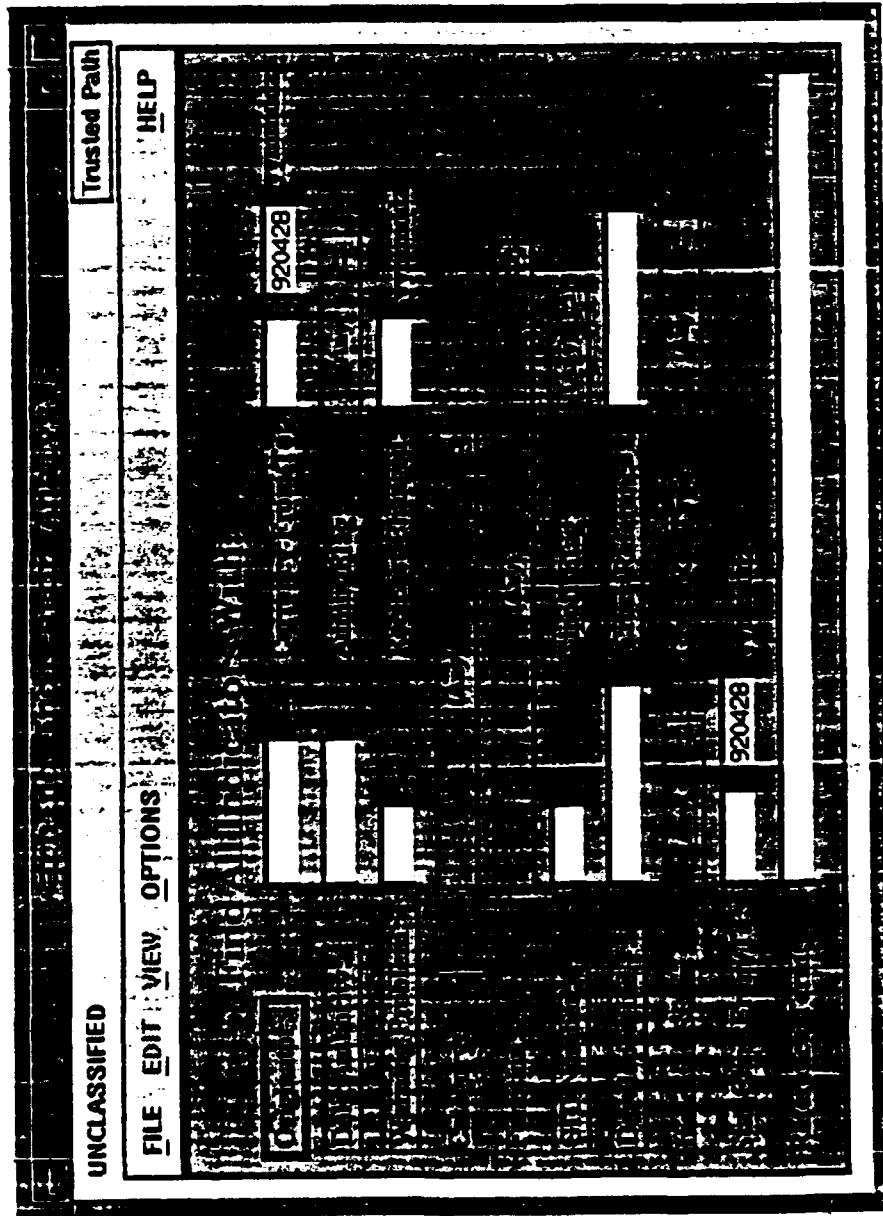
PROVIDES I&W SUPPORT FUNCTIONS

SUPPORTS RESPONSIBILITY TO  
MONITOR ASSIGNED INDICATORS  
AND REPORT DEVELOPMENTS

STATUS REVIEW OF WARNING PROBLEMS,  
CATEGORY FUNCTIONAL AREAS AND  
INDICATORS

ANALYST NOTIFICATION OF WWIMS RECORD  
CHANGES AND ADDITIONS







## EVENT GRAPHICS

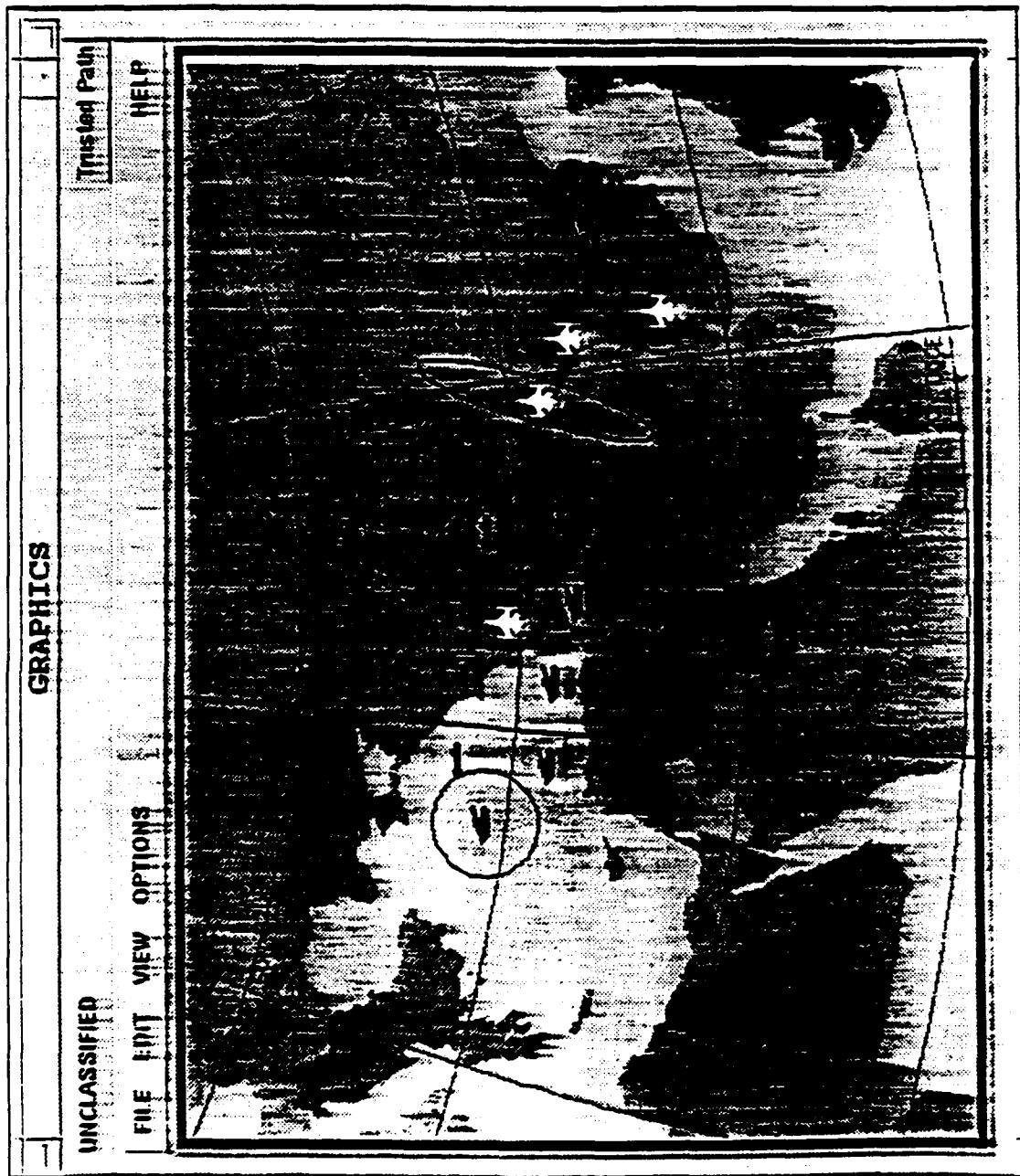


USES GRAPHICS (GKS,GSS) TO SUPPORT I&W ANALYSIS

PROVIDES MAP GRAPHIC DISPLAY FOR:

ORDER OF BATTLE DATA ANALYSIS

TRACKING





# PRODUCTION SUPPORT

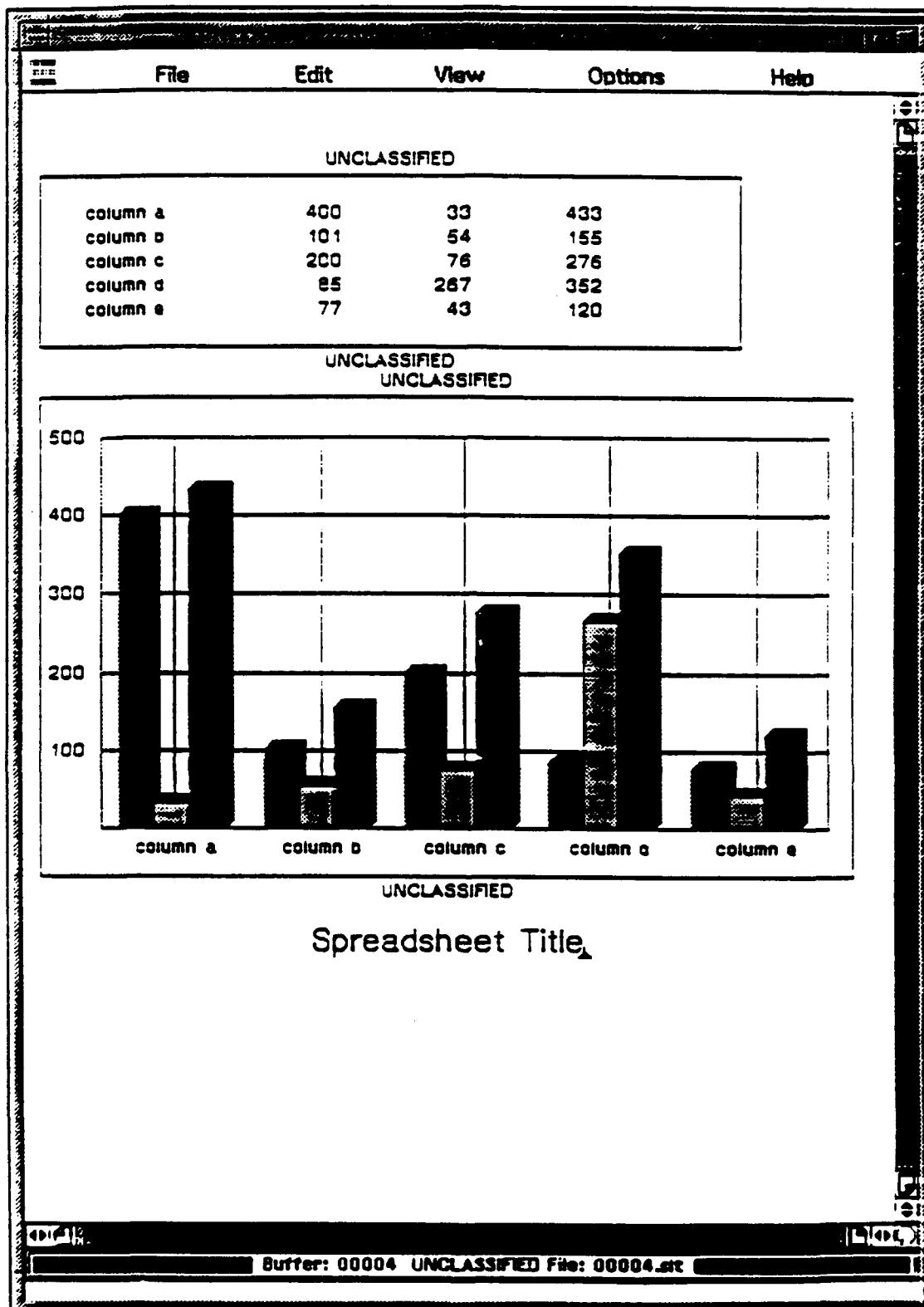


BRIEFING AND BOOK GENERATION AND DISPLAY

COMBINES INTEGRATED TEXT, GRAPHIC,  
AND GEOGRAPHIC CAPABILITIES

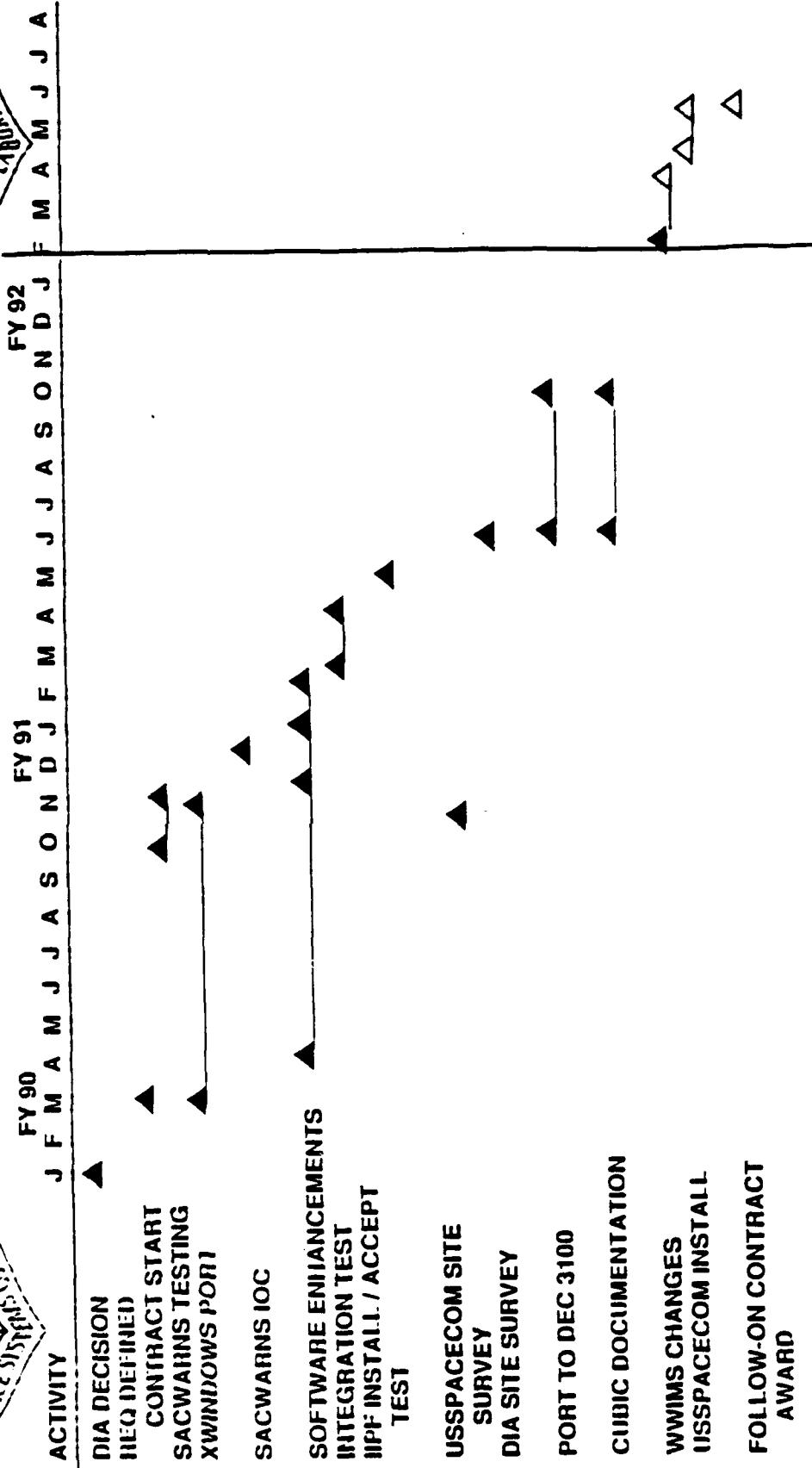
SPREADSHEETS TO BAR GRAPHS

MAP AND TEXT OVERLAY





# SCHEDULE





## MAJOR ACCOMPLISHMENTS



- COMPLETED PORT TO UNIX SERVER / CLIENT ARCHITECTURE
- COMPLETED PORT TO X-WINDOWS / MOTIF
- COMBINED AUTOMATED WWIMS / SACWARS FUNCTIONALITY
- INSTALLED BASELINE IN ROME LABORATORY INTELLIGENCE INFORMATION PROCESSING FACILITY
- COMPLETED USSPACECOM AND DIA SITE SURVEYS



## CONCLUSION

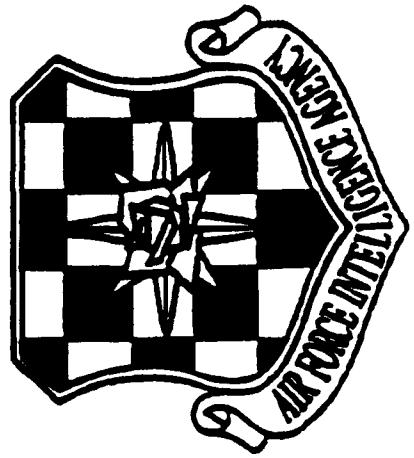
- DAWS IS THE FIRST AUTOMATED SYSTEM FOR THE I&W ANALYST
- ALLOWS ANALYST MORE TIME FOR ANALYSIS
- GOAL HAS BEEN TO FIELD IN LIMITED TIME AND FUNDING
- R & D ON-GOING FOR SYSTEM ENHANCEMENTS



Dennis O. Jones  
RI / IRDO

INTELLIGENCE AND RECONNAISSANCE DIRECTORATE

Computer Aided  
Tactical Information System  
(CATIS)



# CATIS



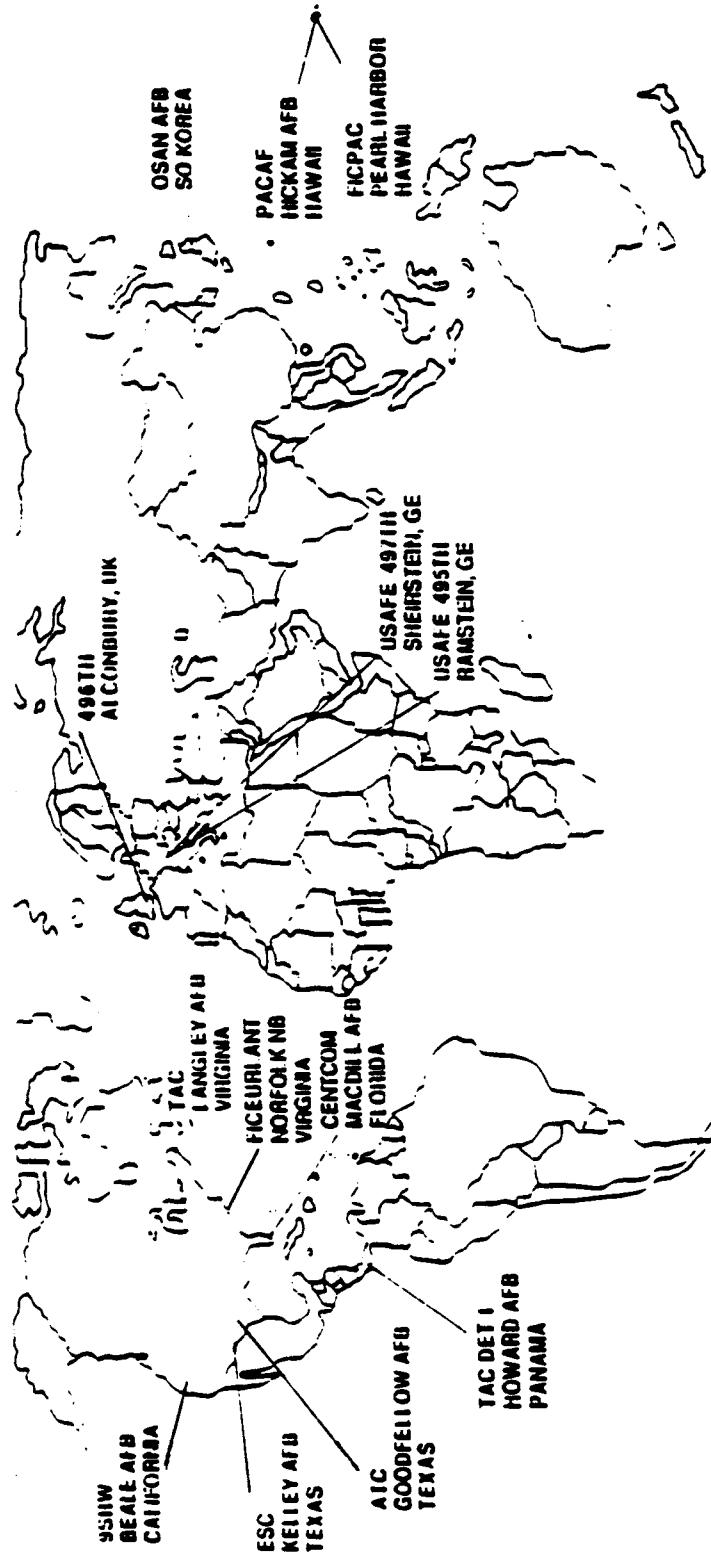
**OBJECTIVE:**

Provide imagery analysts the capability to exploit increased volumes of near-real-time intelligence, maintain current operational CATIS systems and provide transition for future system capabilities

**APPROACH:**

Concentrate on site support, central maintenance, soft copy demonstration support, DSNET'3 implementation and upgrading the CATIS LAN

# WORLDWIDE CATIS SITES



# HISTORY

1976 - 1978 CATIS

- DEVELOPMENT & DELIVERY OF INITIAL CATIS CAPABILITY TO UNITED STATES AIR FORCE EUROPE (USAFE) - 1 SITE

1978 - 1983 CATIS ENHANCEMENTS

- SINGLE CHU
- 16 BIT PROCESSORS
- 16 CRTs
- 100K LINES OF CODE
- SINGLE SITE

DEC 11/78

CATIS ENHANCEMENTS

DEC 11/78

SYSTEM DEVELOPED - 6 SITES

1983 - 1986 CATIS HOST UPGRADE (CHII)

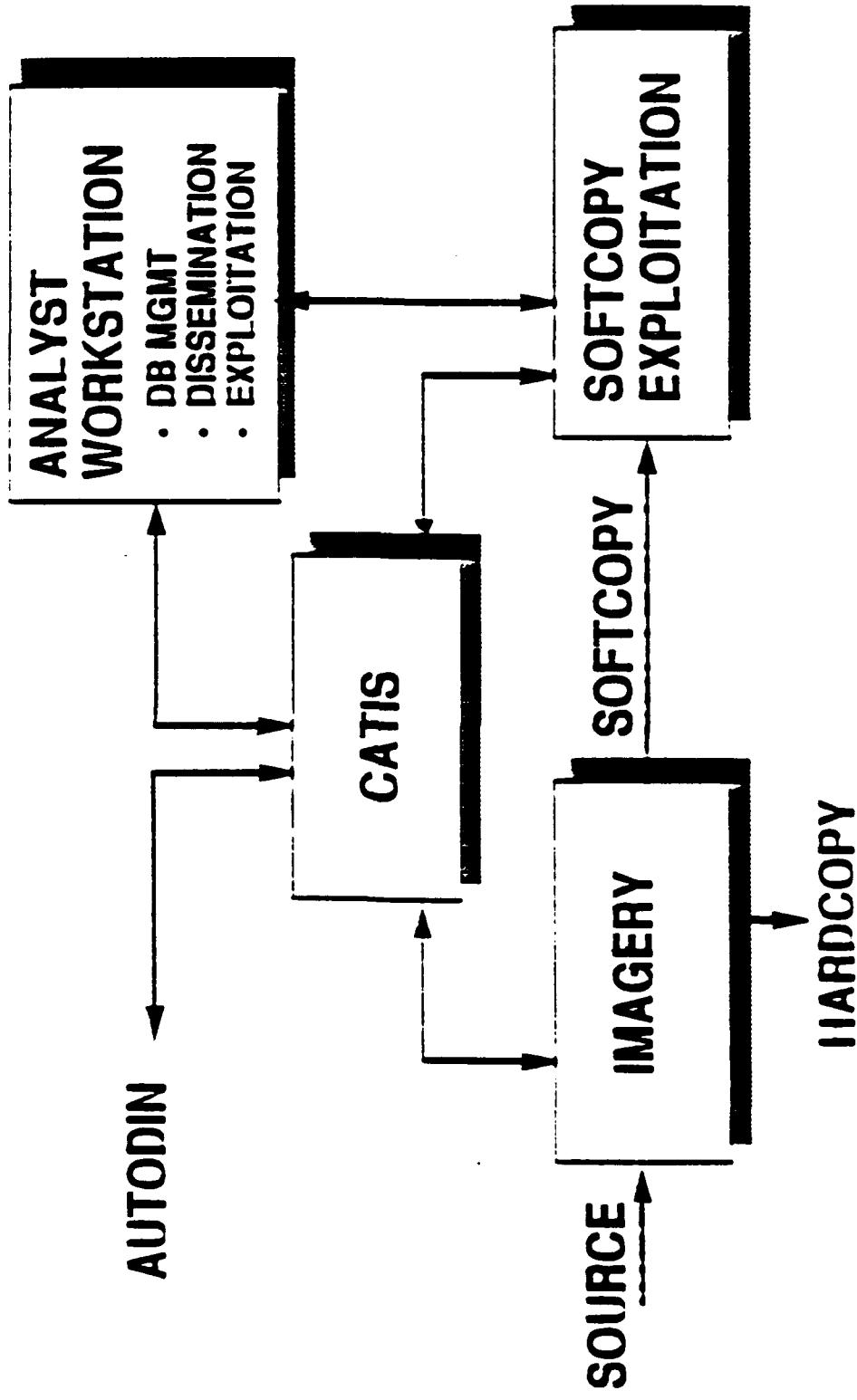
- SIGNIFICANT EXTERNAL INTERFACES
- MULTIPLE CPU CONFIGURATION
- 32 BIT PROCESSORS
- REAL TIME UPDATES
- 40 CRTs
- 750K LINES OF CODE
- 13 SITES

INCREASED COMPLEXITY

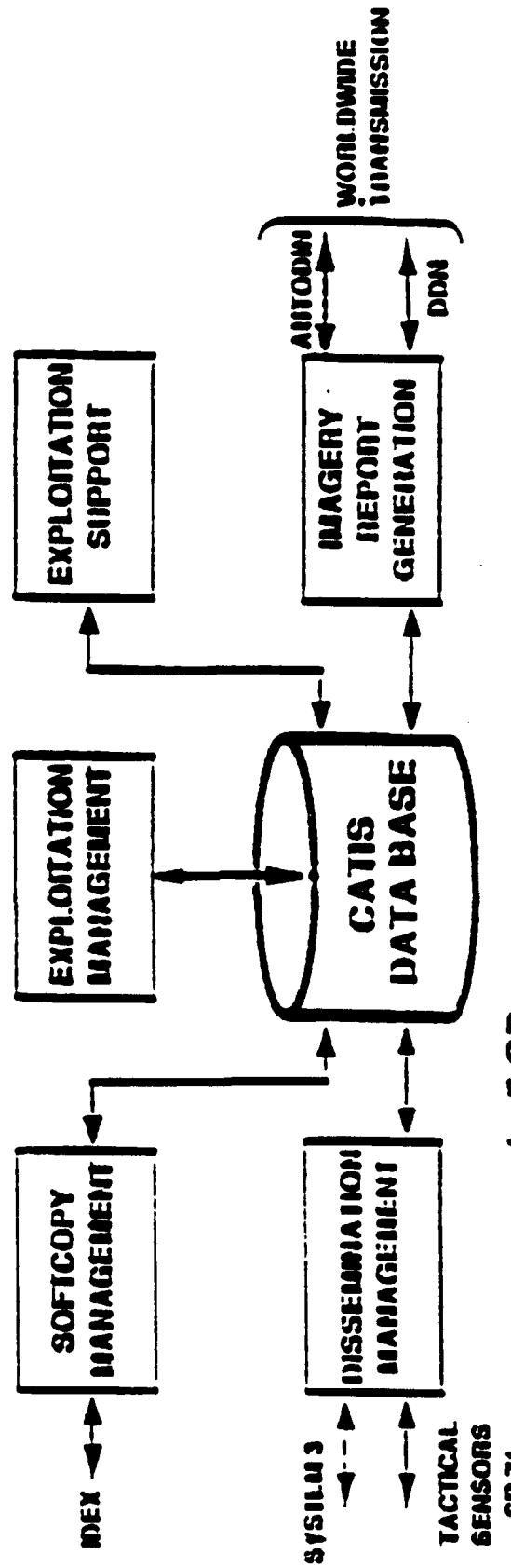
1985 REAL TIME UPDATES  
1987 - HOST UPGRADES COMPLETE

1990 → CATIS SOFTWARE

## CATIS ARCHITECTURE



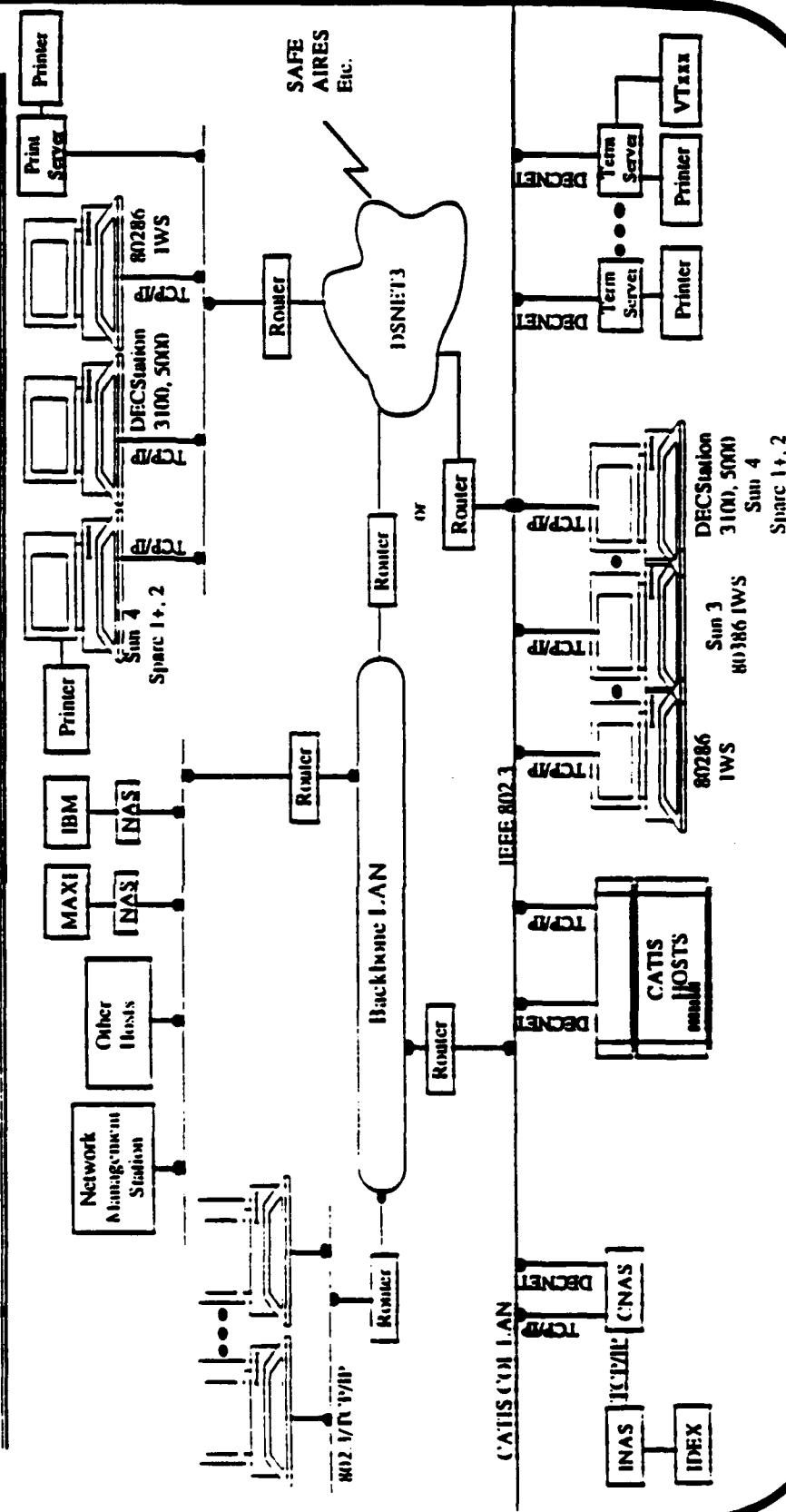
## MAJOR CATIS FUNCTIONS



- o SUPPORT FOR UP TO 60 USERS
- o GENERATE UP TO 3000 REPORT ITEMS DAILY
- o OVER 700K LINES OF CODE
- 1 - 5 GB
- Updated via Worldwide Message Traffic
- Comprehensive Target, Coverage, and Requirements Intelligence Information
- 150,000 Targets



CATIS LAN UPGRADE ARCHITECTURE



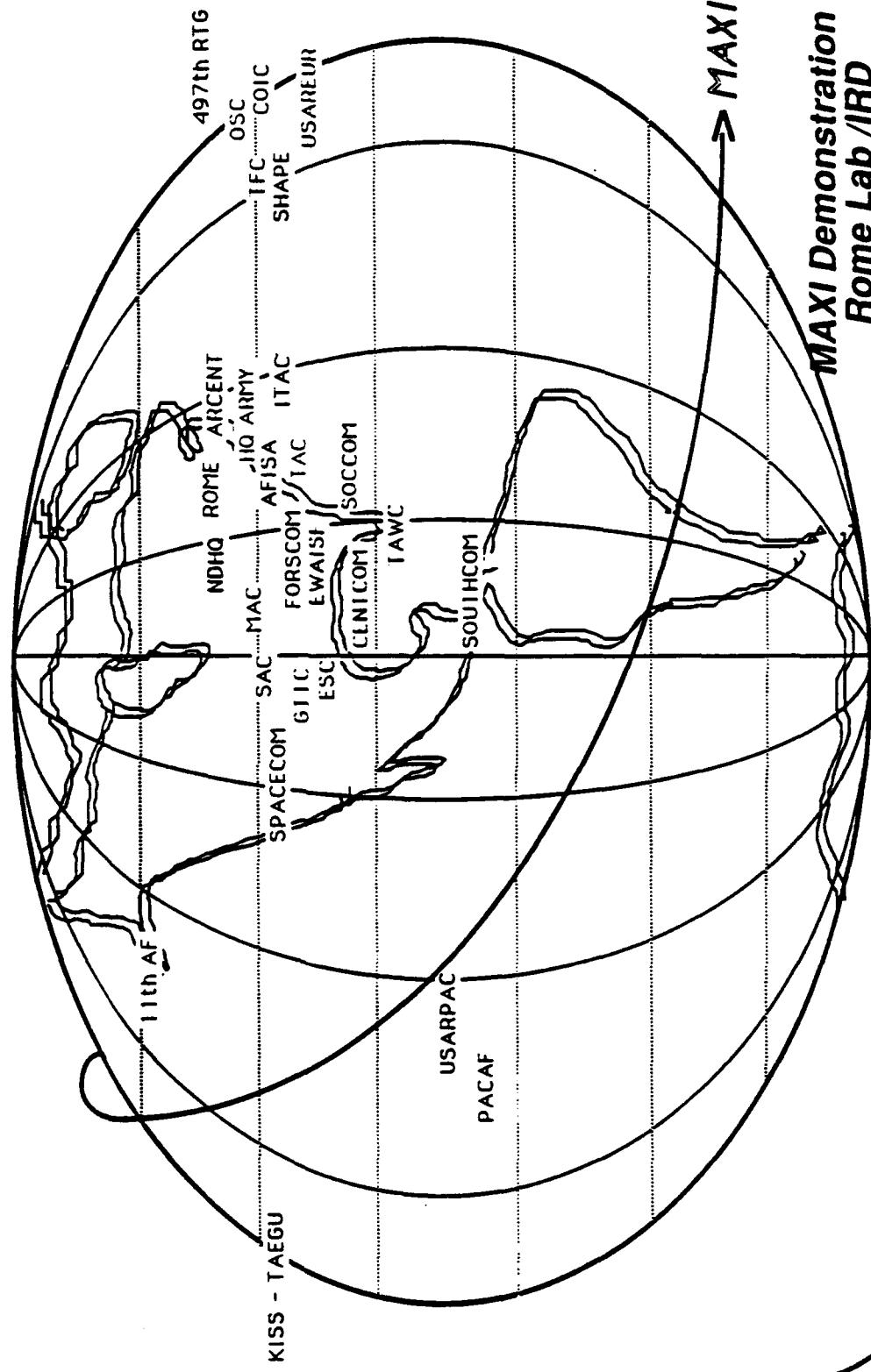
**MAXI System Demonstration**  
**Rome Laboratory**

**Intelligence Information Processing Facility**



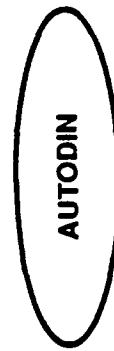
**MAXI Demonstration**  
**Rome Lab /IRD**

## Modular Architecture for the eXchange of Intelligence

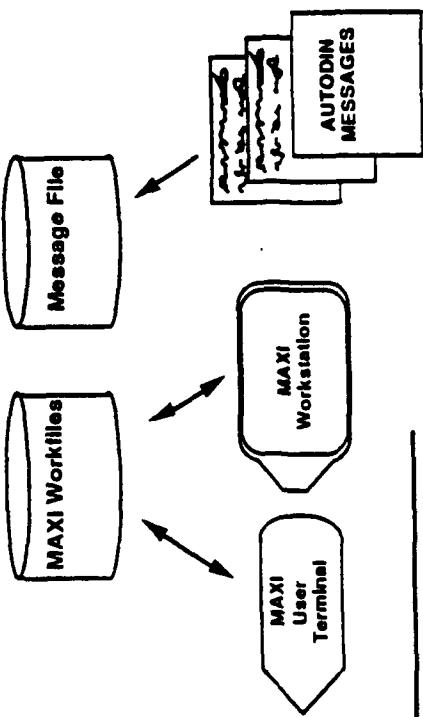


## MAXI Functional Overview

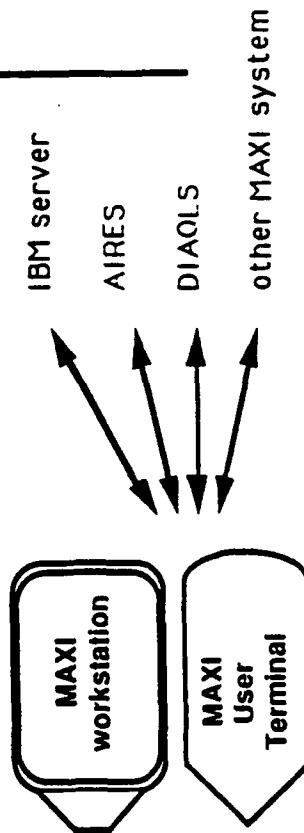
### message handling



### data storage (text files)



### network access

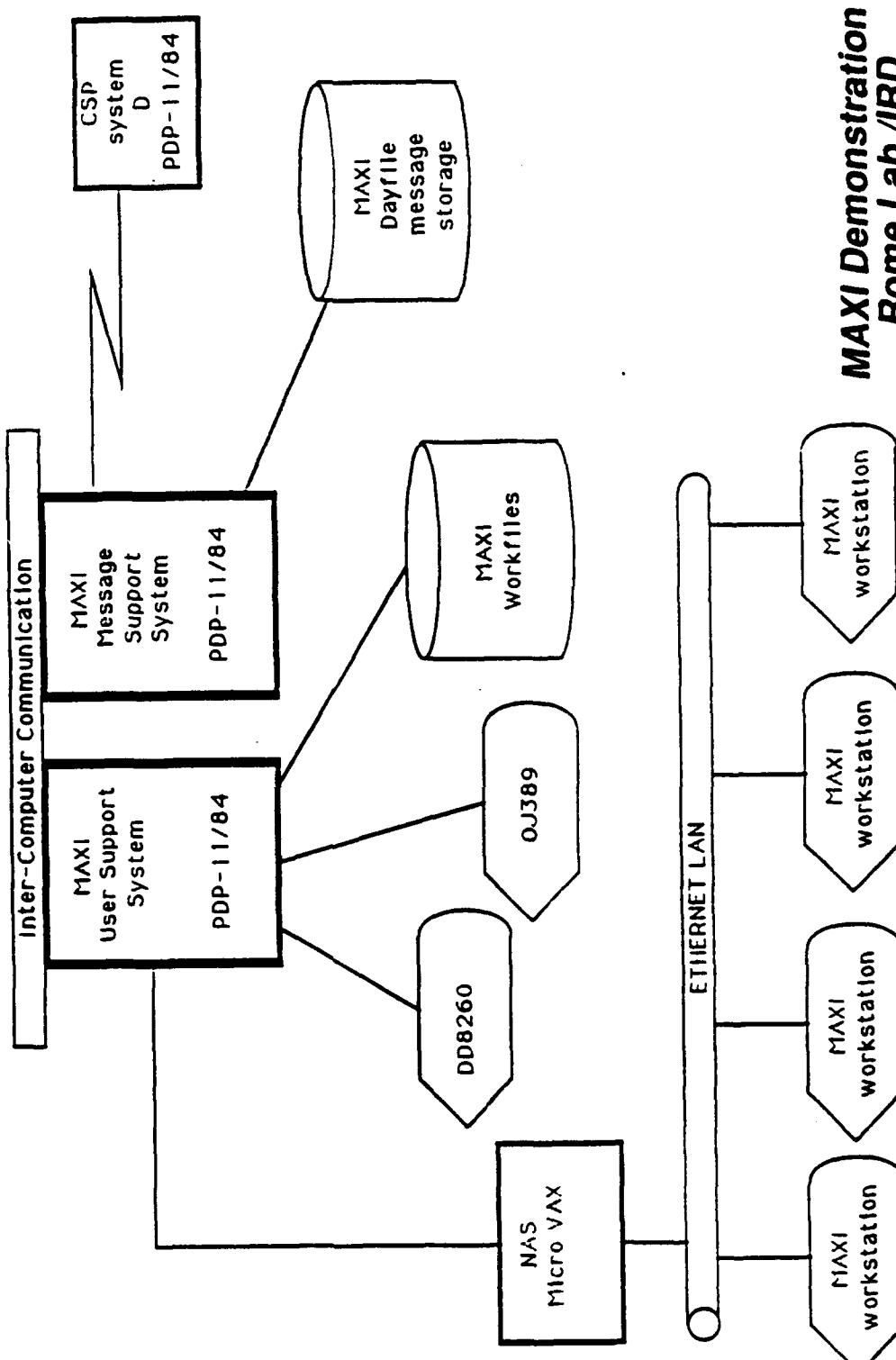


### security

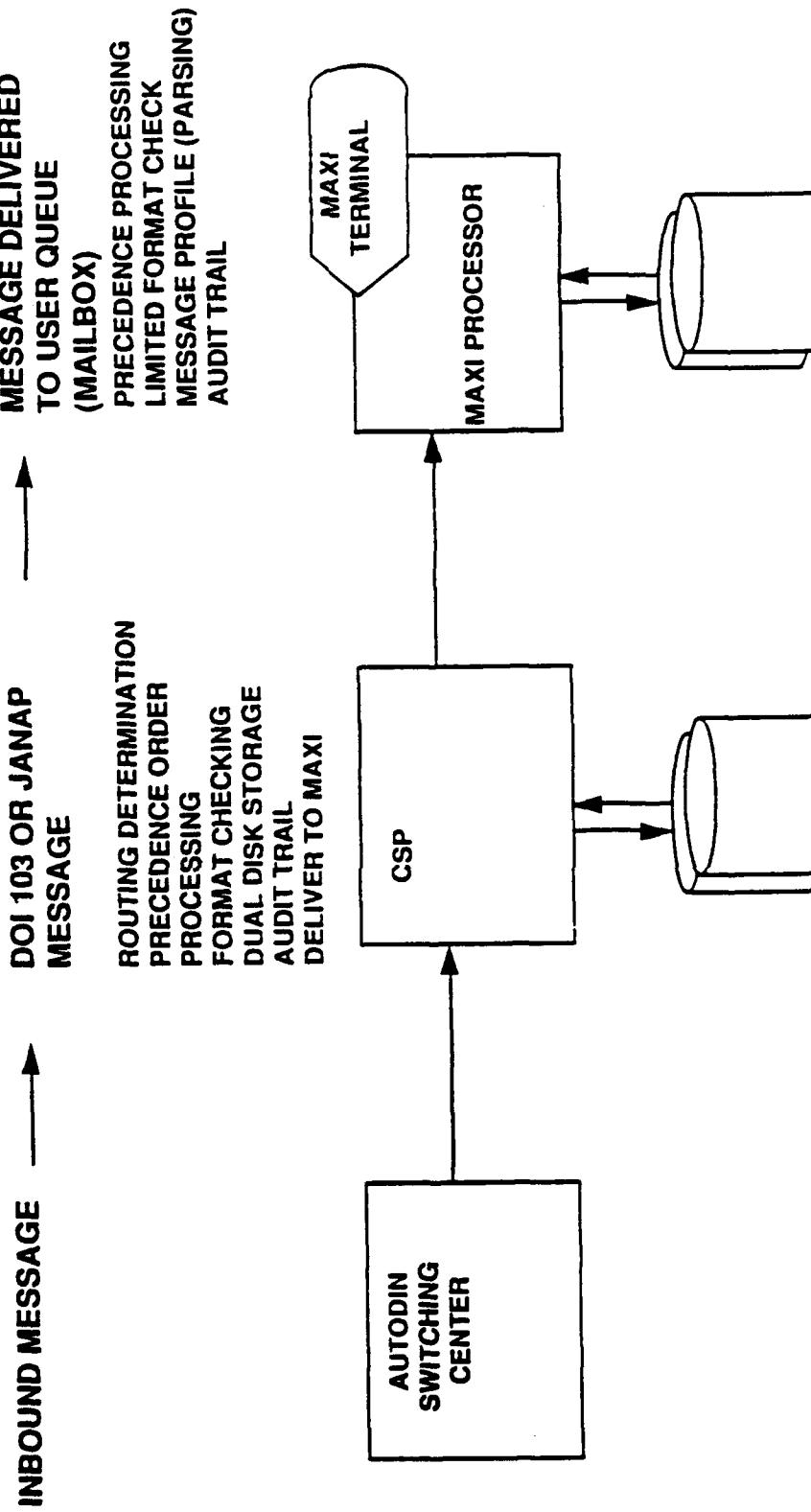


*MAXI Demonstration  
Rome Lab /IRD*

## System Overview



## MAXI Message Reception

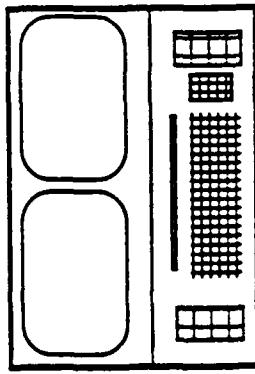


**MAXI Demonstration  
Rome Lab /IRD**

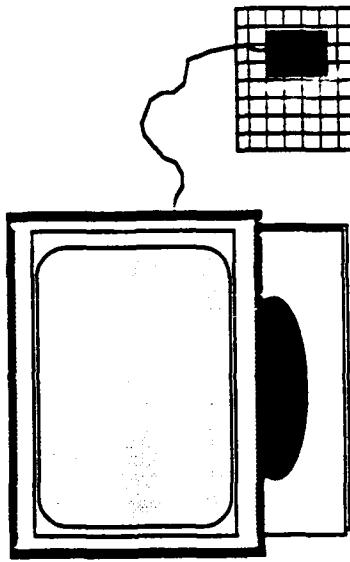
## **MAXI Man Machine Interfaces**

**Two distinct types:** slaved terminals and workstations

OJ389



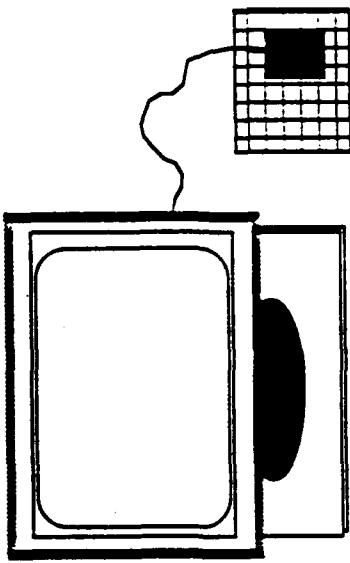
unix workstation



## **MAXI Workstation**

**Currently supported:**

- SUN**
- DEC 3nnn and 5nnn**
- Xterminal**



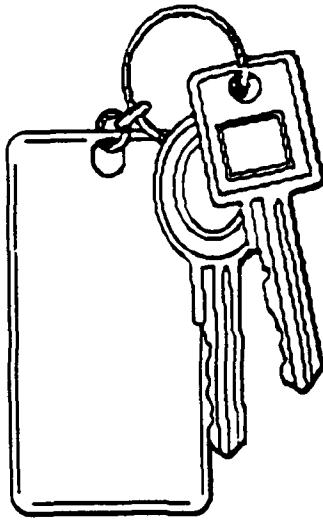
**The MAXI Workstation software brings commercially available desktop workstations to the secure local area network**

**MAXI Demonstration  
Rome Lab /IRD**

## Security

MAXI performs the following security tasks:

- audit trail
- password generation
- data integrity (validation)
- configuration control
- user authentication
- "deadman" timeout
- classification identification
- AMPE message integrity
- journalization



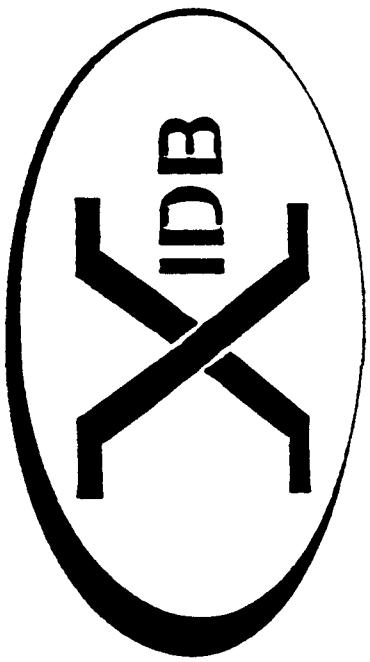
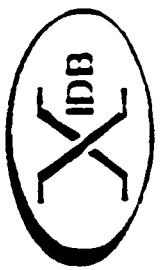
THE KEY SECURITY FEATURE: EXPERIENCED USERS.

*MAXI Demonstration  
Rome Lab /IRD*

## **MAXI Summary**

- proven message handling system
- provides MAXI application in a client/server relationship
- migrating the intelligence analyst to an open architecture

*MAXI Demonstration  
Rome Lab /IRD*



## Extended Integrated Data Base (XIDB)

# **OVERVIEW**

**Purpose**

**Background**

**Problems**

**Summary**

# **PURPOSE**

**Discuss integrated database concepts**

**Discuss problems and implementation lessons**

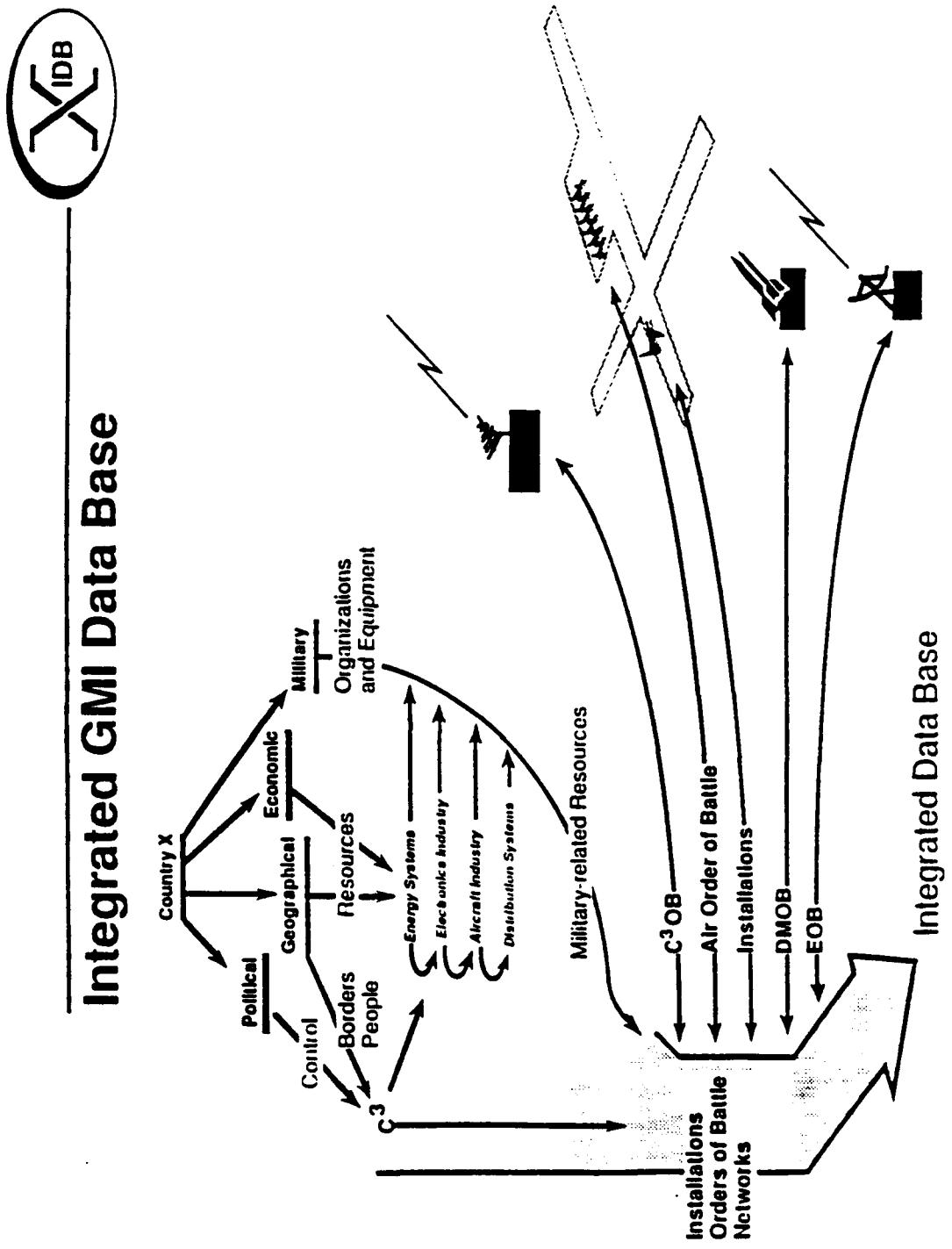
## **BACKGROUND**

- |                  |                                                                       |
|------------------|-----------------------------------------------------------------------|
| <b>1981-1990</b> | <b>Development of MIIDS/IDB to replace<br/>AIIF/DIOBS</b>             |
| <b>1990</b>      | <b>MIIDS/IDB LOC but rules of data<br/>production remain the same</b> |
| <b>1992</b>      | <b>All DPP Producers to be IDB compatible</b>                         |
| <b>1993</b>      | <b>All DPP Consumers to be IDB<br/>compatible</b>                     |

## MIDS REQUIRES CHANGE OF FOCUS REGARDING DATA

- FROM SOURCE ORIENTED TO FUNCTION ORIENTED
- EMPHASIS ON RELATIONSHIP BETWEEN DATA ELEMENT
- QUALITY IS A SOURCE FUNCTION
- FUNCTIONS NOW DONE IN APPLICATION PROGRAMS DONE IN DB
- INTEGRATED DATA BASE IMPLEMENTATION
- APPLICATIONS EASIER TO DEVELOP
  - PERFORMANCE SHOULD BE BETTER
- DATA BASE UPDATE MORE CRITICAL

## Integrated GM Data Base



## ENTITY FILES

UNIT	EQUIPMENT
PERSONNEL	INDIVIDUALS
LOCATION	INSTALLATION
FACILITIES	SITE
POPULATION	AGGREGATE NETWORK
SOURCE	REMARKS
EVENT	ORGANIZATION

EACH FILE HAS SPECIFIC STANDARDIZED DATA ELEMENTS  
AND DEFINITIONS.

## RELATIONAL FILES

UNIT/UNIT	UNIT/LOCATION
UNIT/FACILITY	EQUIPMENT/EQUIPMENT
EVENT/EVENT	COMPLEX
NETWORK	AGGREGATE
	UNIT/EQUIPMENT/LOCATION
	SITE/EQUIPMENT/LOCATION
	FACILITY/EQUIPMENT/LOCATION
EACH FILE HAS SPECIFIC STANDARDIZED DATA ELEMENTS AND DEFINITIONS	



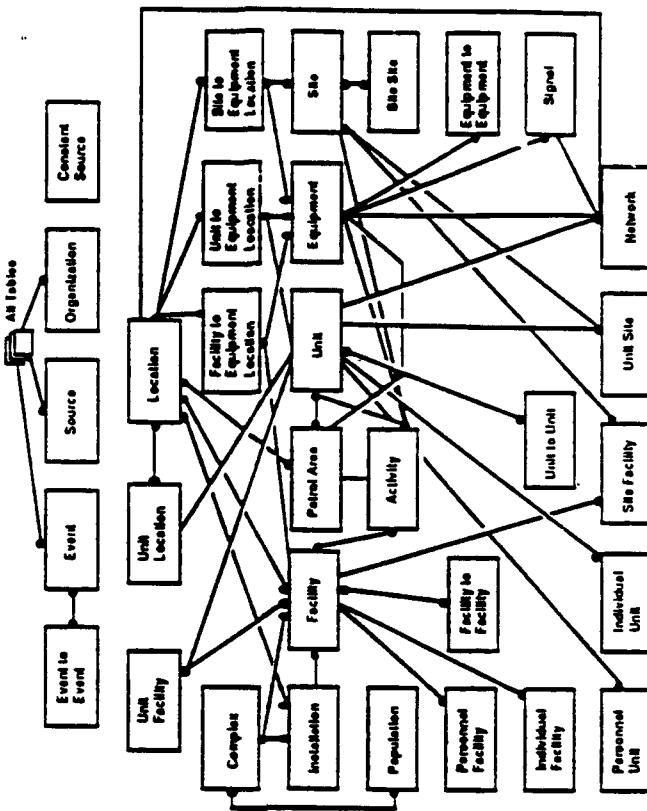
## Goals of XIDB Program

- Consolidate and Integrate General Military Intelligence across AF
- Implement MIIDS/XIDB at Command Level
- Field a Working System at 10 Sites during 1992
- Protect Existing Command Software Investment
- Integrate XIDB with future AF IDHS Architecture
- Satisfy User Requirements



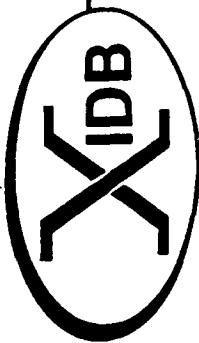
XIDB Data Core

- W MINDS/IDB and IDB/C3
  - W CONSTANT WEB
  - W RAILS
  - W SENTINEL BYTE
  - W Space Data
  - W Automated Air Facilities File (AAFF)



1992 Baseline

Current Intelligence (Manual Input)



## Data Sources And Sizes

AAFIF	250.0 MB
CONSTANT WEB (Unique, Excluding MIIDBS/IDB)	450.0 MB
Space (None Exists Now, But Estimates In Future)	1.0 MB
MIIDBS/IDB	2.0 GB
IDBC-3	35.0 MB
CONSTANT SOURCE (3 Days Of Data)	137.0 KB
World Data Bank II	120.0 MB
Worldwide Total	2.9 GB

# **PROBLEMS**

**Data Element Conflicts**

**Data Production Rules**

**Interoperability**

**Data Timelines**

# **DATA ELEMENT CONFLICTS**

**Definitions**

**Size**

**Type**

**Edit values**

## **Production Rules Types**

Type	Installation (BE Number)	Unit	Location (Coordinates)	Equipment/ Threat
Source			Location	Equipment
IMINT	Installation		Location	Equipment
COMINT		Unit	Location	Threat (Signal Type)
ELINT			Location	

## **Production Rules Types (continued)**

Type	Installation (BE Number)	Unit	Location (Coordinates)	Equipment/ Threat
<b>Distributed Production Program</b>				
Radars	Installation		Location	Equipment
SAMs	Installation		Location	Equipment
Aircraft		Unit (D)	Location	Equipment
Ships		Unit (D)	Location	Equipment
Tanks		Unit	Location (D)	Equipment (D)

- (D) indicates ability to "deploy" (i.e., change location without changing unit identifier)

## **Production Rules Types (continued)**

<i>Type</i>	<i>Installation (BE Number)</i>	<i>Unit</i>	<i>Location (Coordinates)</i>	<i>Equipment/ Threat</i>
<b>DESERT SHIELD/STORM Procedures</b>				
Situation Assessment	Installation	Unit	Location	Equipment

## **Production Rules Types (Continued)**

<b>Type</b>	<b>Installation (BE Number)</b>	<b>Unit</b>	<b>Location (Coordinates)</b>	<b>Equipment/ Threat</b>
<b>Air Tasking Order (ATO Cycle)</b>				
ATO Cycle ("Target")		Installation	Location	Equipment

## **Production Rules Types (Continued)**

Type	Installation (BE Number)	Unit	Location (Coordinates)	Equipment/ Threat
<b>Air Tasking Order (ATO Cycle)</b>				
ATO Cycle ("Target")	Installation		Location	Equipment

## **Interoperability**

---

- Data Maintenance/Production requirements differed between echelons (intelligence to intelligence)
- Inability to communicate between national, theater and tactical levels
- Could not take advantage of out-of-theater resource to support data production/maintenance burden
- Relevance of data at each echelon was different
- Lacked common understanding of problem
- No common view between Operations and Intelligence

## Timelines

Type	Condition	Updates	Dissemination
DPP Cycle	Peace	Weekly	Weekly/Monthly
	Crisis	24 - 48 Hours	24 - 48 Hours
DESERT STORM	NRT	12 Hours	Multiple/Day

Air Tasking Order (ATO Cycle)

## **SUMMARY**

**Integrated Data Bases still evolving**

**Rules of production require change**

**Data maintenance/production critical**

**Timeliness of data from different sources must be addressed**

**Data standardization and distribution critical**

# A WARFIGHTING PERSPECTIVE

## 480th AIR INTELLIGENCE GROUP

# PURPOSE

- REVIEW REQUIREMENT
- OUTLINE IMPLEMENTATION STRATEGY
- ADDRESS ISSUES

# WHAT WE DO

## 480TH AIR INTELLIGENCE GROUP

- PROVIDE 24 HOUR ALL-SOURCE INTELLIGENCE TO AIR COMBAT FORCES IN-GARRISON AND DEPLOYED
  - REQUESTS FOR INFORMATION
- APPLY INTELLIGENCE TO SATISFY A WIDE SPECTRUM OF UNIT AND AIR COMPONENT REQUIREMENTS
- DEPLOY TASK-ORIENTED TEAMS FOR SPECIALIZED INTELLIGENCE AUGMENTATION TO ACC
  - HELP AIR COMBAT UNITS TRAIN AS THEY WILL FIGHT

# WHAT WE DO

## SERVICES AND PRODUCTS

- TACTICAL OPS INTEL
  - INTEL WATCH
  - OPS INTEL CELL
  - CURRENT INTEL SUPPORT
- DISTRIBUTED PRODUCTION
  - AOB/DMOB
  - AIF
  - 102 COUNTRIES
- SWA, AFRICA / MED, LATIN AMERICA
- TARGET MATERIALS
  - BTGs
  - MOSAICS
  - TAILORED TARGET GRAPHICS

# WHAT WE DO

- AIR COMBAT TRAINING MATERIALS
  - RANGE IMAGERY PRODUCTS
  - VIDEO WEAPON SYSTEM ID TAPES
  - WEAPON SYSTEM GUIDES
  - EXERCISE SUPPORT
- MISSION PLANNING/EXECUTION PRODUCTS
  - DIGITAL MAPS, CHARTS
  - TAILORED DATA BASES
  - MENSURATED COORDINATES
- INTELLIGENCE STUDIES
  - LOGISTICS ANALYSIS
  - CONTINGENCY PLANNING GUIDES
  - VIDINT PRODUCTS
  - CONTINGENCY REFERENCE BOOKS
  - IMAGERY INTERPRETATION KEYS

# FUNDAMENTAL REQUIREMENTS

- INCREASED ALL SOURCE SUPPORT
- RAPID COMMUNICATIONS
- IMPROVED COLLECTION MGMT
- ENHANCED TRAINING SUPPORT
- QUALITY DISSEMINATION

DIGITAL NETWORK  
MASS DIGITAL STORAGE  
PHOTOLAB TO SOFTCOPY  
CMS  
NITF COMPATIBILITY  
CMWS  
2ID FOLLOW-ON  
DSNET 1 CONNECTIVITY  
SOFTCOPY STANDARDS  
TRANSMISSION STANDARDS

# ACC INTELLIGENCE NETWORK (ACCINNET)

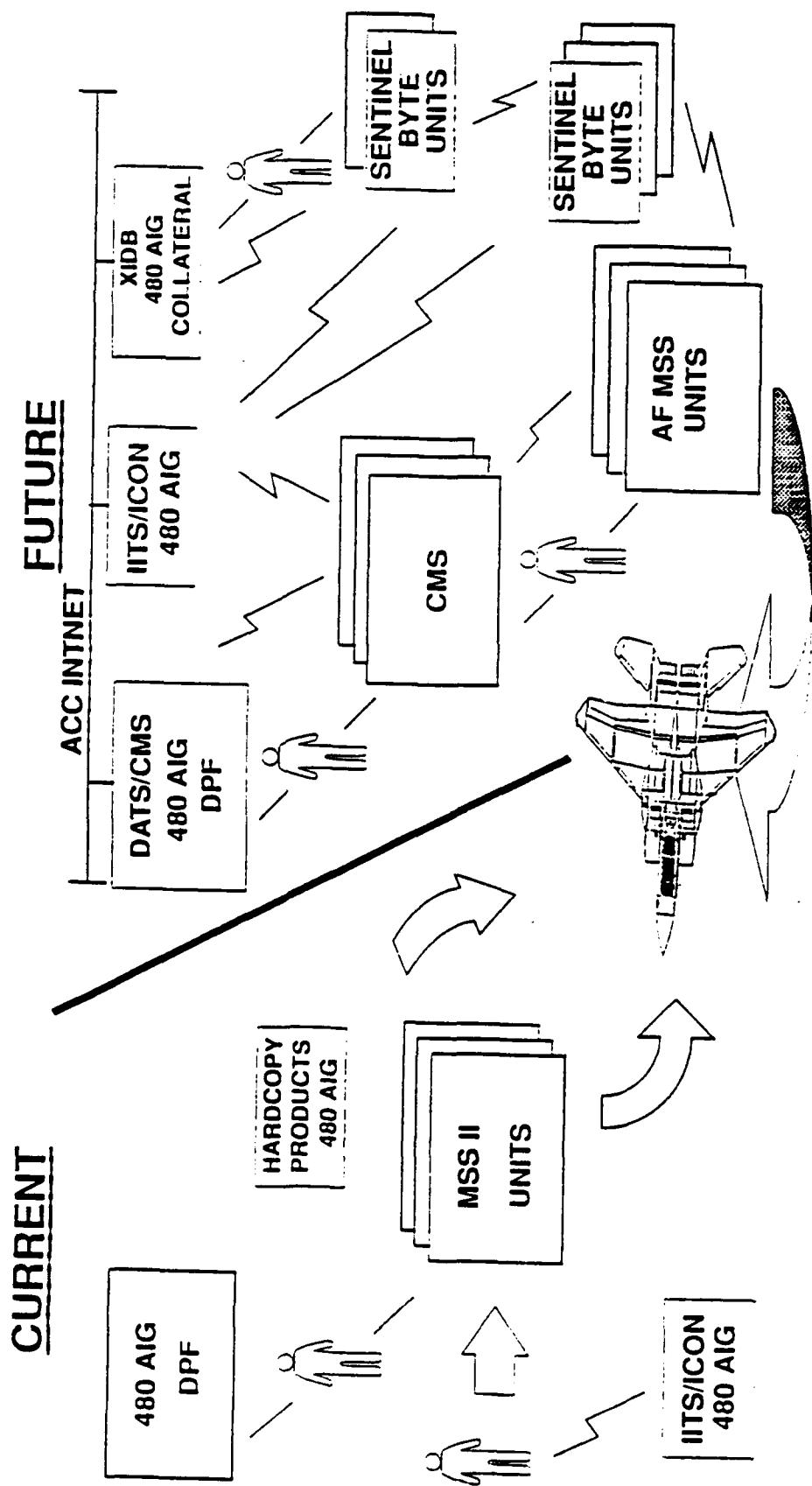
## REQUIREMENTS

- ALL-SOURCE INTELLIGENCE WIDE AREA NETWORK THAT:
  - INTERFACES ALL ADP, IMAGERY, COMMS, DATA BASES
  - PROVIDES ENHANCED HIGH QUALITY DATA THROUGHOUT 480 AIG, HQ ACC, AND SUBORDINATE UNITS VIA THE ELECTRONIC FOOTLOCKER
  - SUPPORTS CORPORATE INFORMATION MODEL

## LOCATION

- Langley AFB, VA. (BLDGs 23, 602, 693)
- COMM INTERFACES TO ACC UNITS VIA SENTINEL BYTE

# **ACCINTNET SUPPORT TO WARFIGHTING**



# SYSTEMS

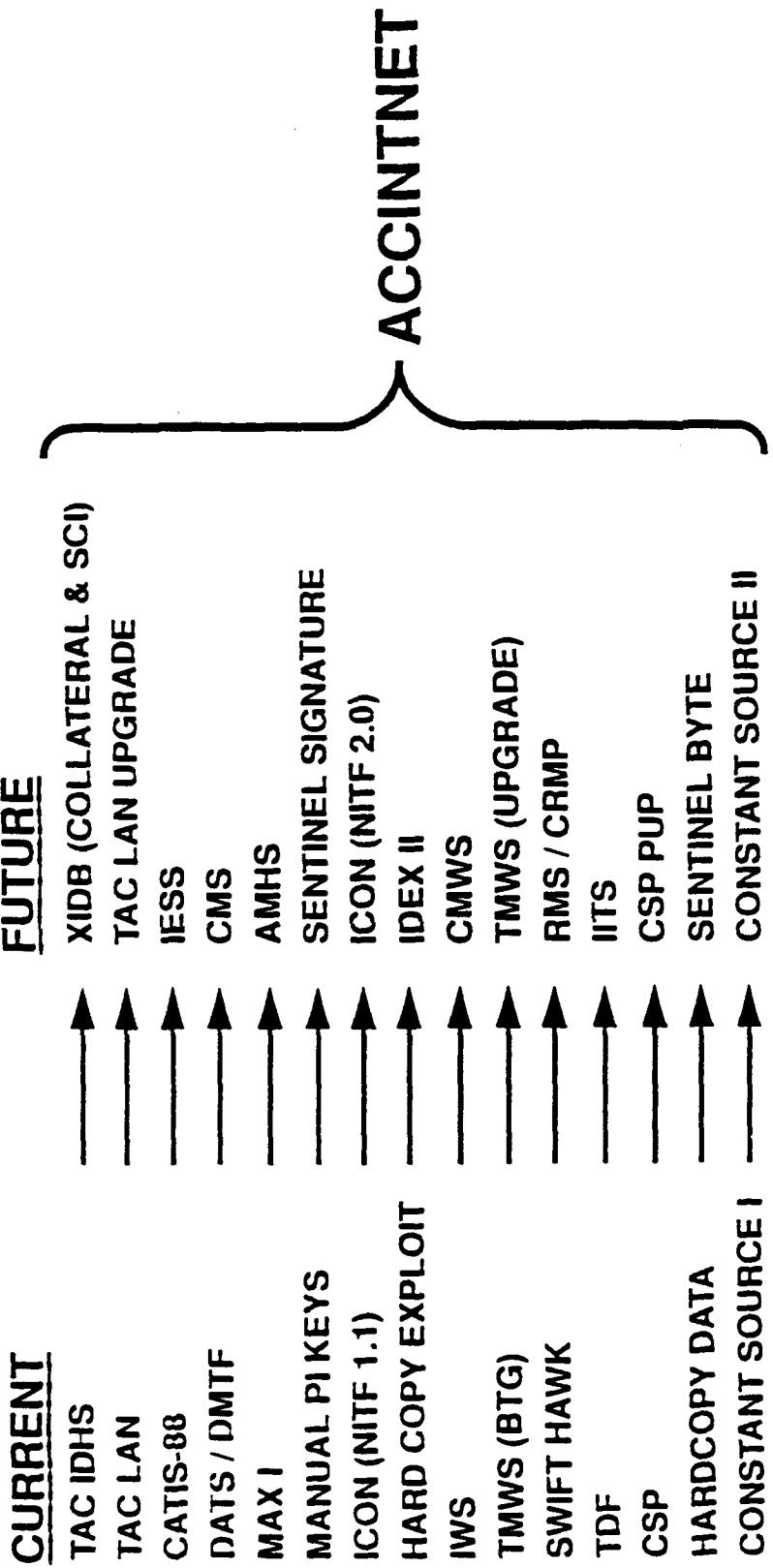
## CURRENT

- FUNCTIONAL SHORTFALLS
  - LACK OF INTEROPERABILITY
  - LACK OF COMMONALITY
  - LACK OF SOFTWARE INDEPENDENCE
  - LACK OF COST EFFECTIVENESS

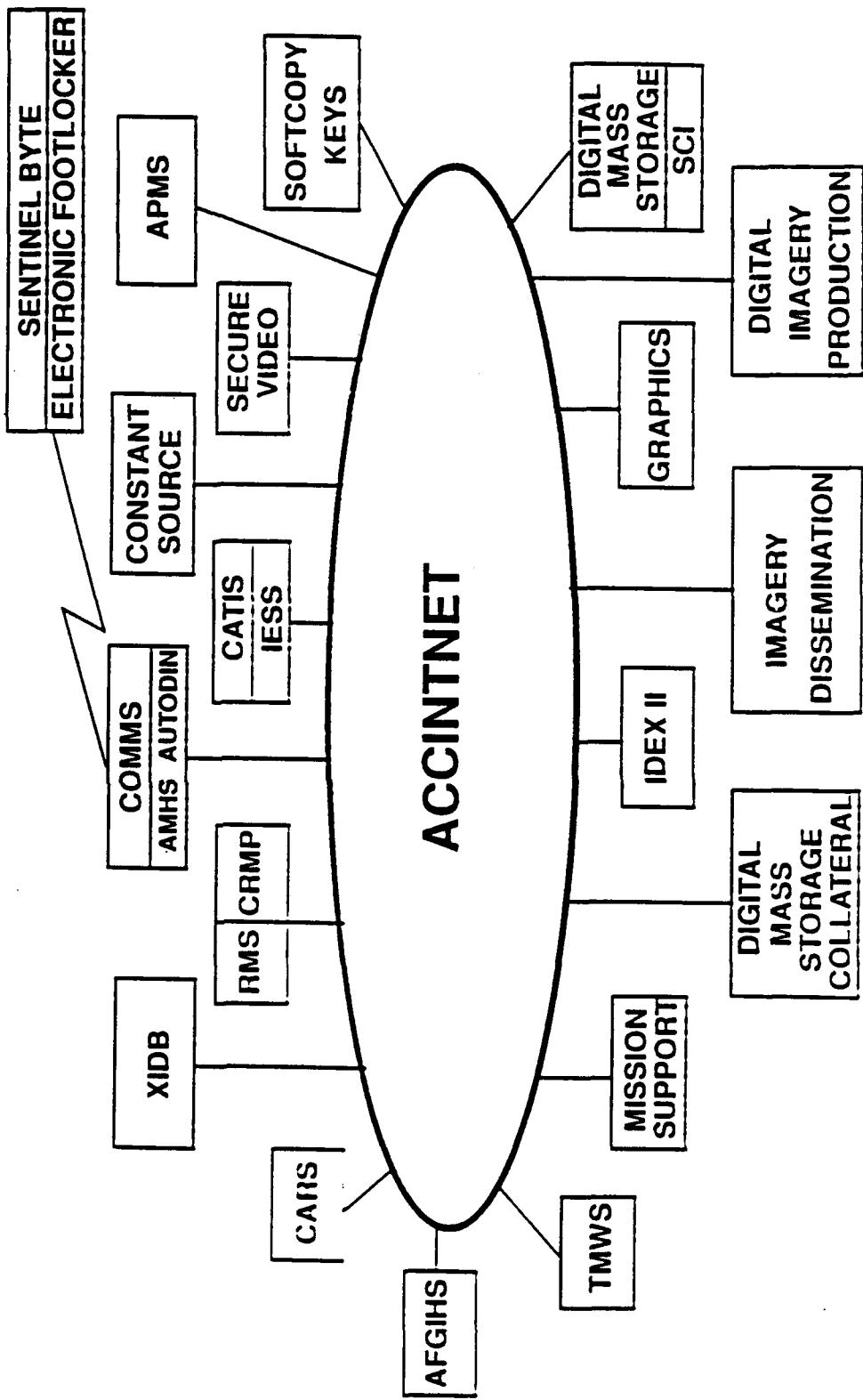
## FUTURE

- DESIGN GOALS
  - INTEROPERABLE / NETWORKABLE
  - COMMON USER INTERFACE
  - OPEN SYSTEMS COMPLIANT
  - COTS / GOTS

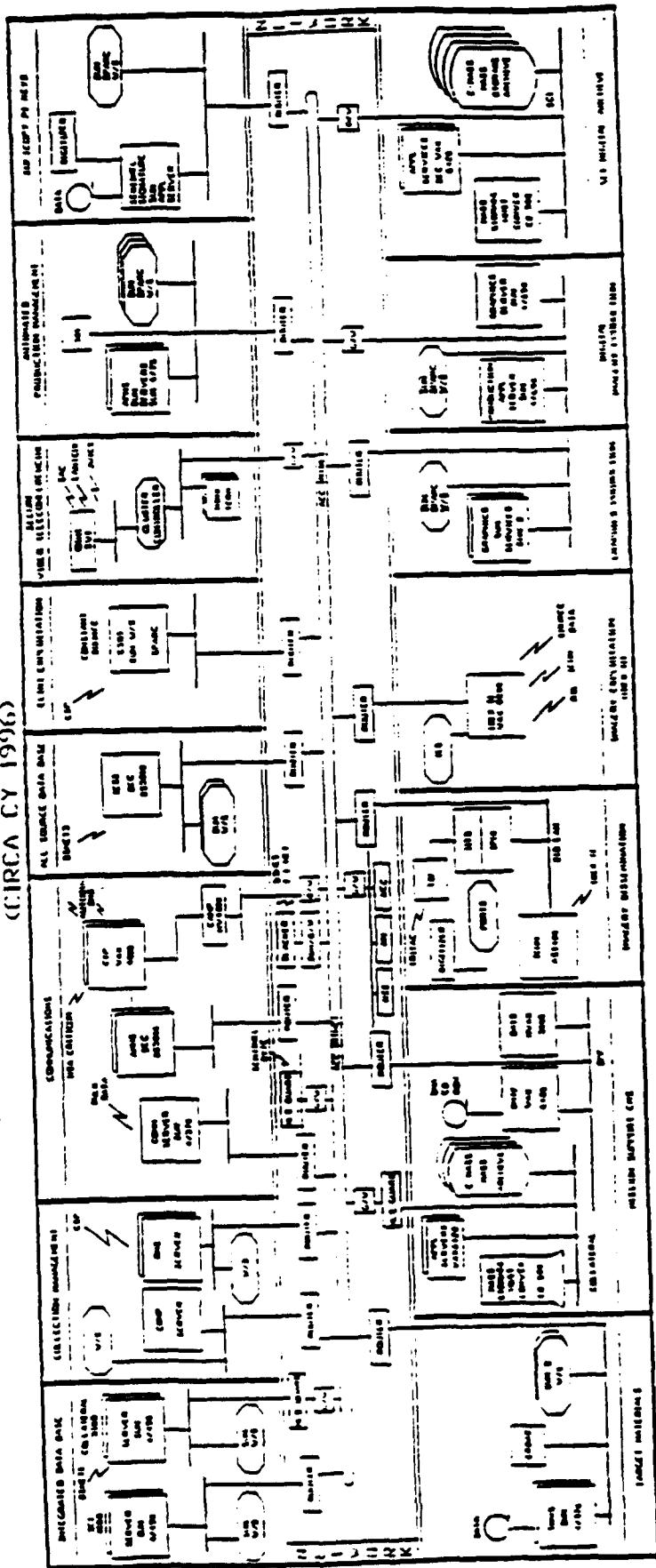
# 480 AIG SYSTEMS



# ARCHITECTURE



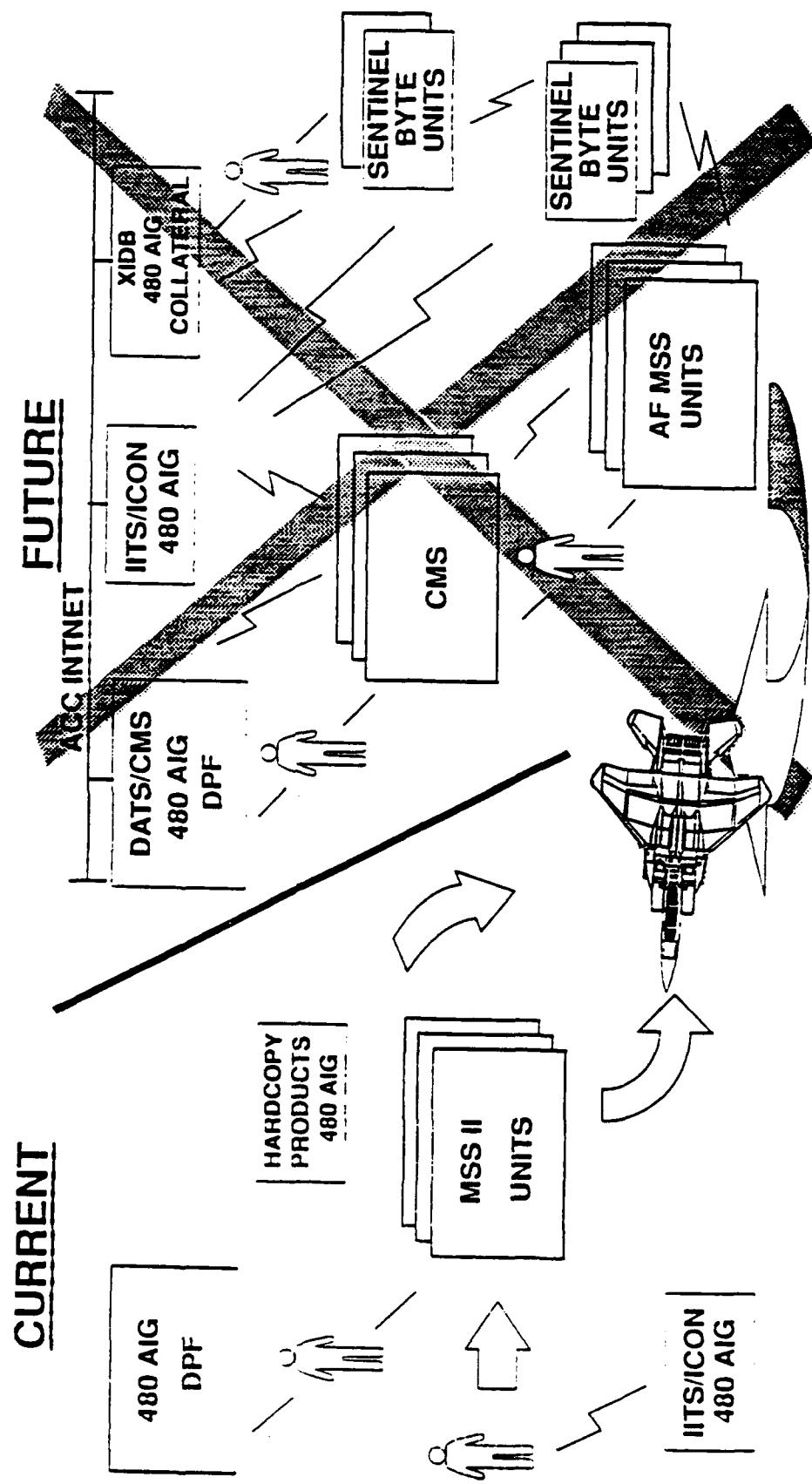
P1. ANN D ACC IDIS OPERATIONAL ARCHITECTURE  
(CIRCA CY 1996)



## **ACCINNET PROPOSED APPROACH**

- REQUIREMENTS VALIDATION NLT FY 2Q92
- FUNDING DECISION PRIOR TO FY 3Q92
  - INITIALLY FUNDS: TRAFFIC ANALYSIS
  - SITE SURVEY
  - INTEGRATION PLAN
  - CONOPS
- PHASED IMPLEMENTATION OVER 3 YEARS
  - CAPITALIZE ON EXISTING/PROGRAMMED SYSTEMS AND DATA BASES

# ACCINTNET SUPPORT TO WARFIGHTING



## **ACCINTNET IMPACT IF NOT FUNDED**

- CANNOT EFFICIENTLY AND EFFECTIVELY SUPPORT THE COCKPIT SYSTEMS AND TECHNOLOGIES
- CANNOT ACCOMPLISH ALL-SOURCE INTELLIGENCE FUSION, TIP-OFF, AND DATA EXCHANGE/VALIDATION - - - CANNOT FEED SENTINEL BYTE ELECTRONICALLY TO SUPPORT MISSION PLANNING AND EXECUTION
- CANNOT SUSTAIN ACC INTELLIGENCE MISSION IN DIRECT SUPPORT OF WARPLANNING AND WARFIGHTING PHASES OF COMMITMENT
- CANNOT TRANSITION TO AN INTEGRATED DIGITAL ENVIRONMENT AND THE CORPORATE RESOURCE BASE

**BOTTOM LINE:  
NO ELECTRONIC FOOTLOCKER**

ROME LABORATORY

INTELLIGENT PREDICTIVE  
ASSESSMENT SYSTEM

IPAS 2000

PRESENTED BY  
JOHN PIROG  
RL/IARDS - 315 330-3222

## PURPOSE

- DESCRIBE THE RL/IRD R&D PROGRAM
- PROVIDE A BASIC UNDERSTANDING OF THE PROGRAM
- GIVE INSIGHT INTO A FUTURE RL/IRD
- GUIDANCE TO ENGINEERS AND DEVELOPERS

# INTELLIGENCE DATA PROCESSING INTELLIGENT PREDICTIVE ASSESSMENT SYSTEM

## TECHNOLOGIES

EXPERT SYSTEMS  
NATURAL LANGUAGE UNDERSTANDING  
NEURAL NETWORKS  
DATA BASE VIEWS

## FUNCTIONS

ESTIMATIVE INTELLIGENCE  
THREAT ASSESSMENTS  
PREDICTIVE JUDGEMENT OF FUTURE  
LONG TERM TRENDS

## CURRENT INTELLIGENCE

SITUATION ASSESSMENTS  
TIMELY RESPONSE  
LIMITED ANALYSIS (TIME CRUNCH)  
PASS TO OPERATIONS

## PREDICTIVE INTELLIGENCE

INDICATOR ASSESSMENT AND MANAGEMENT  
SHORT TERM ANALYSIS  
SHORT TERM PREDICTIONS  
WARNING

# METHODOLOGY

- APPLICATIONS ORIENTED
- LOW RISK / HIGH RISK
- MERGE POINTS
- BROAD BASED - ALL OF INTEL

# INTELLIGENCE DATA PROCESSING

## ON GOING

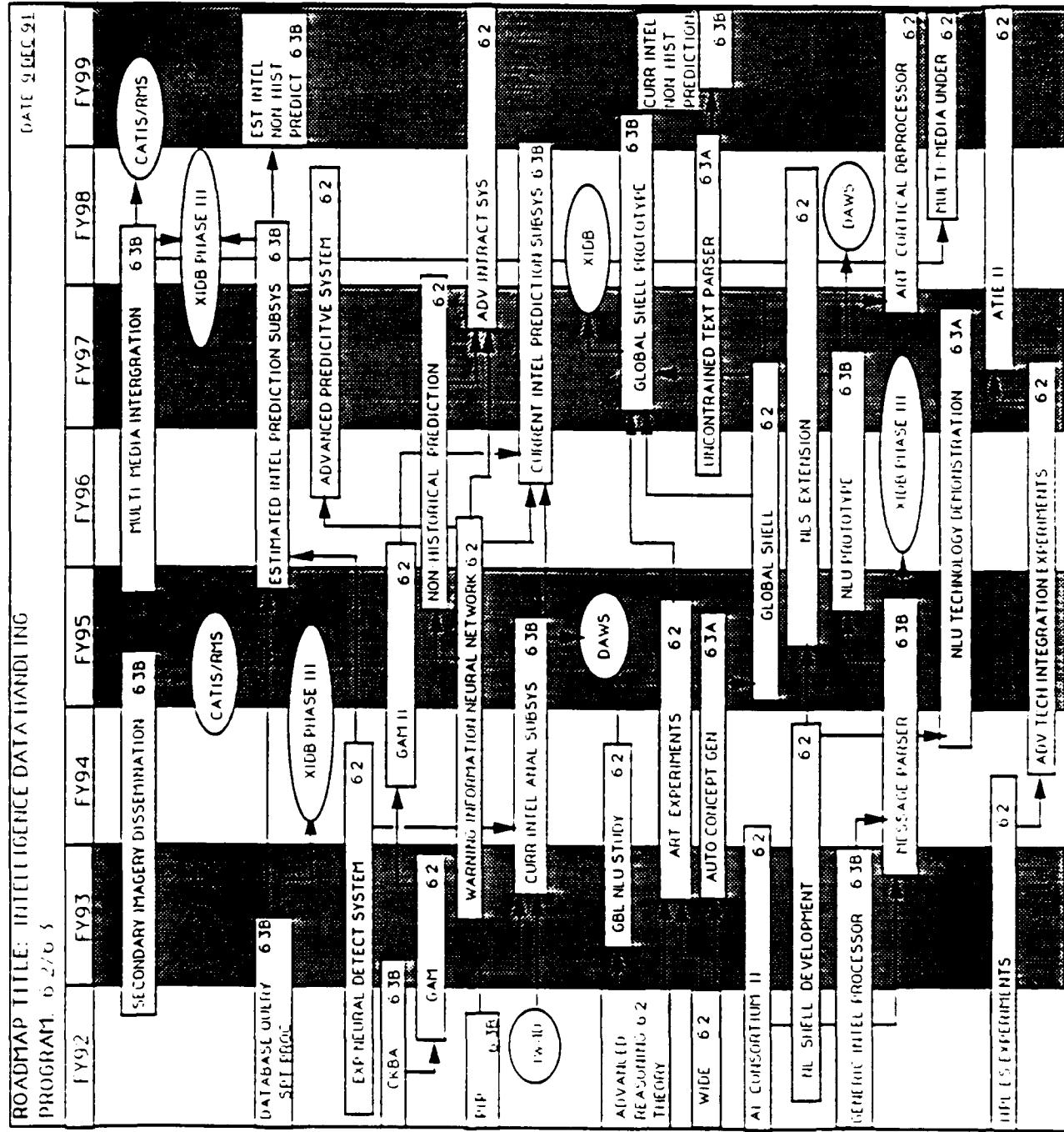
AUTOMATED LIBARIAN  
CLIB  
CKBA  
PIP  
IWAD  
GIP  
ART  
NLU/SPEECH INTEGRATION  
PLEASE  
'ENDS  
'GAM

\*NLU SHELL  
\*SECONDARY IMAGE DISSEMINATION  
DATA BASE QUERY SUPPORT PROCESSOR

## COMING ATTRACTIONS

WARNING INFORMATION NEURAL NETWORK  
ADVANCED REASONING THEORY EXPERIMENTS  
GLOBAL NATURAL LANGUAGE PROCESSING STUDY  
AUTOMATED CONCEPT DEFINITION  
MESSAGE PARSER  
CURRENT INTELLIGENCE ANALYSIS SUBSYSTEM

ROADMAP TITLE: INTELLIGENCE DATA HANDLING  
PROGRAM: D 2/0 5



# Generic Intelligence Processor

Sterling IMD KSC Operations

Technical Interchange Meeting  
Rome Laboratory/IRIS  
February 11, 1992

# **Generic Intelligence Processor Contract**

**Team**

KSC Operations

**Subcontractors:** GTE Government Systems  
PRC Inc  
Sterling Software IMD

**Contract Number :** F30602-91-C-0097

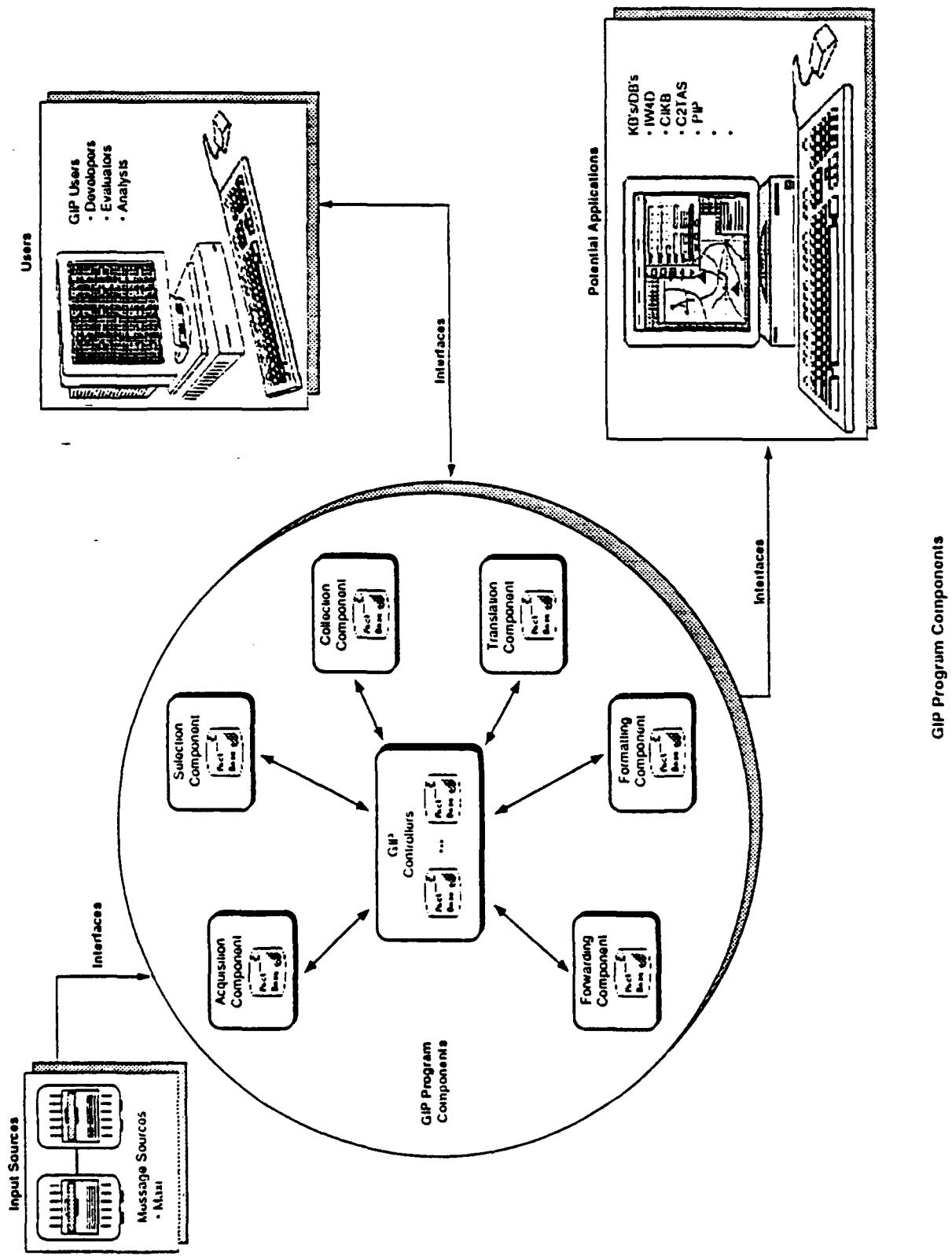
**Duration:** July 1991 - July 1993

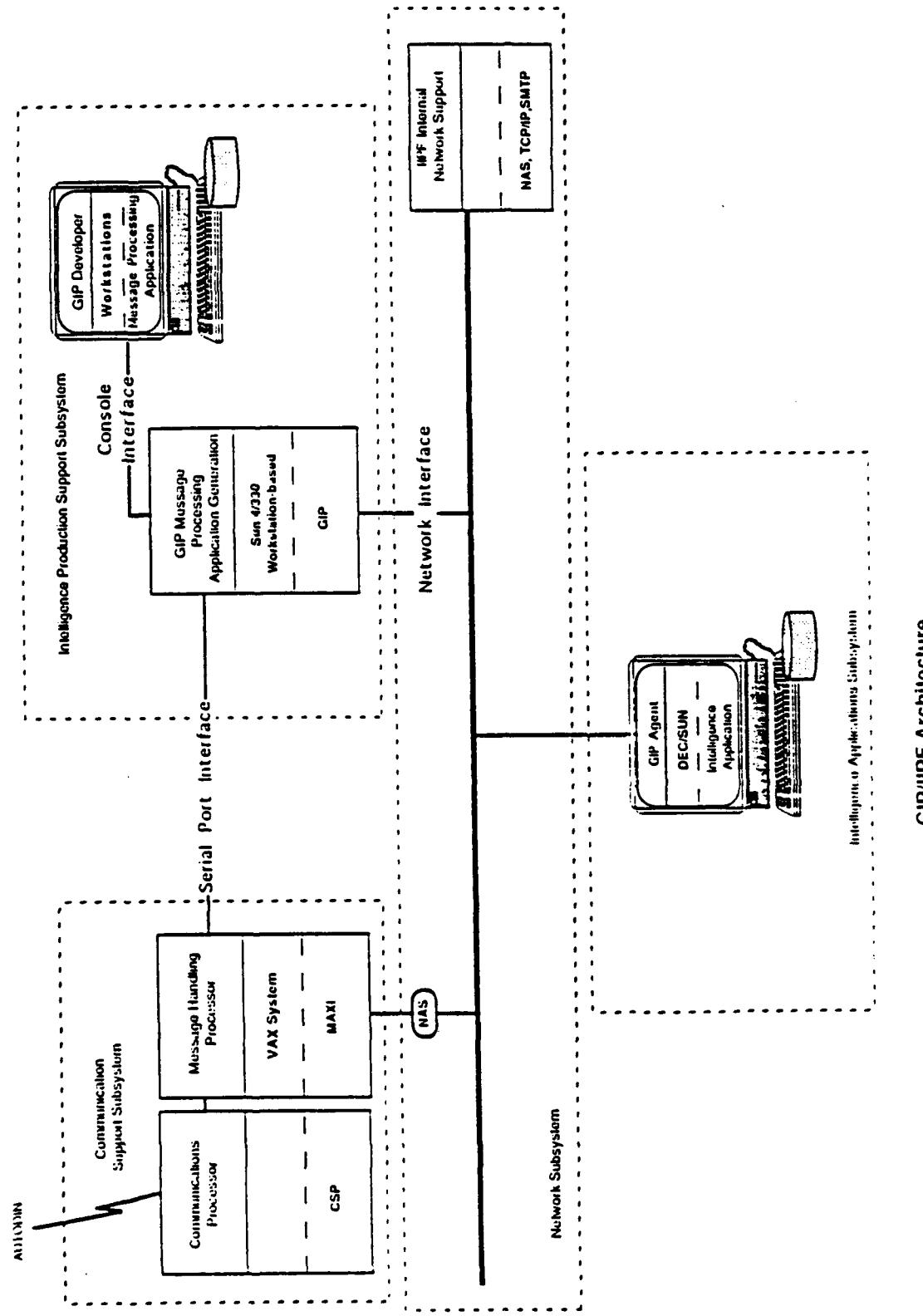
# GIP Project Team

- KSC Operations
  - Dr. Michael Thomas, Project Manager
  - Mr. William Reid, Lead Systems Engineer
  - Mr. David Gray, Systems Engineer
  - Mr. John Pendergast, Independent Testing
  - Mr. David Quinn-Jacobs, Engineering Consultant
  - Mr. Allen Lazzara, Engineering Consultant
- GTE
  - Mr. Howard Melching, Project Manager
  - Mr. Steve Engsberg, Lead Systems Engineer
  - Mr. Jess Miller, Engineering Consultant
- PRC
  - Mr. Gary Dolsen, Project Manager
  - Ms. Cheryl Kariya, Engineering Consultant
- Sterling IMD
  - Mr. John Sautter, Project Manager
  - Mr. Mark McGee, Lead Systems Engineer

# Key Technical Challenges

- Develop a Graphical User Interface in OSF/Motif™ to support operational use by non-computer scientists
- Manage external interfaces to message handling systems, test scenario files, and downstream databases and expert systems
- Develop a framework of cooperating processes that can be easily adapted to new input and output requirements
- Provide an environment for testing new technology under realistic conditions
- Facilitate the integration of new technology into operational settings with minimal disruption to the routine of intelligence analysts
- Be adaptable to a wide range of hardware and software environments





## Message Processing Subsystem

File Edit View Options Help

### Current Message

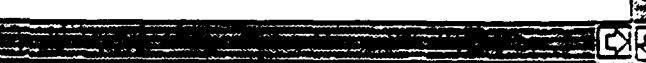
Open  
Message  
Queue

User  
Message

Delete  
From  
Queue

Re-  
queue  
Message

Fill  
Vectors



Current Vector: None

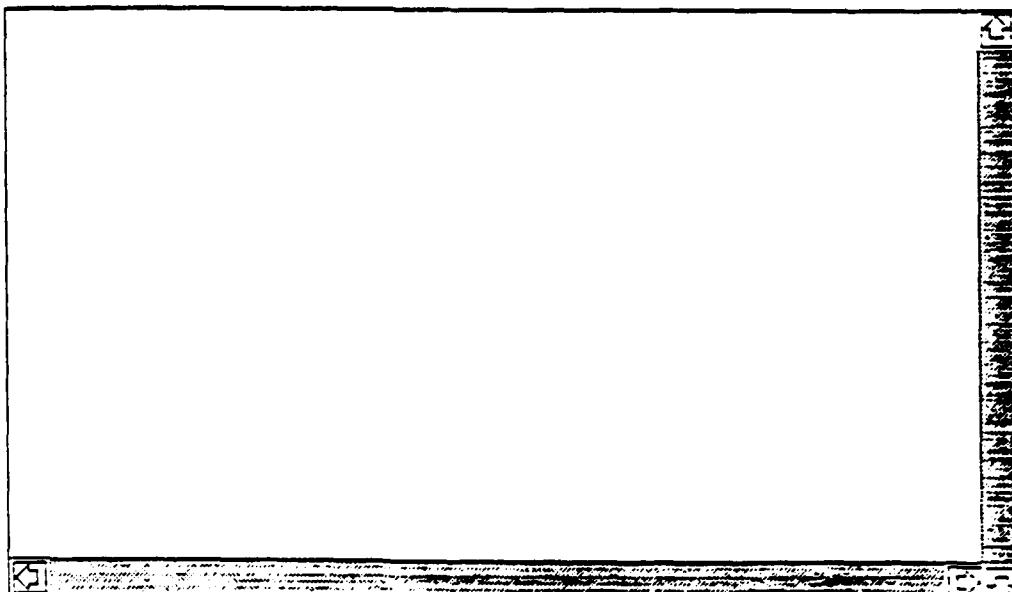
List  
Vectors

Save  
Vectors

Delete  
Vector

Validate  
Vector

Forward  
Vectors



(0)

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0

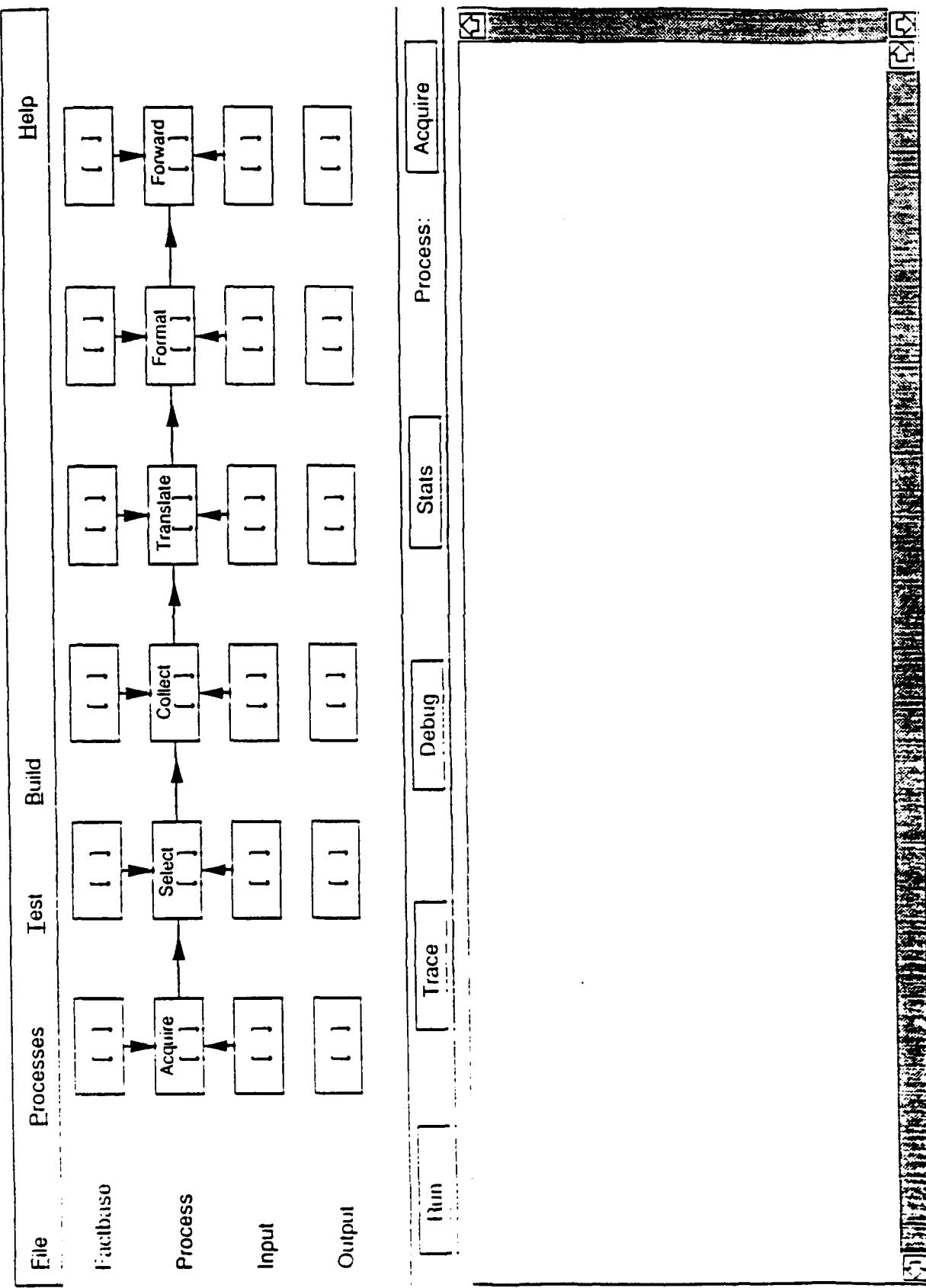
0

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## Development Schedule

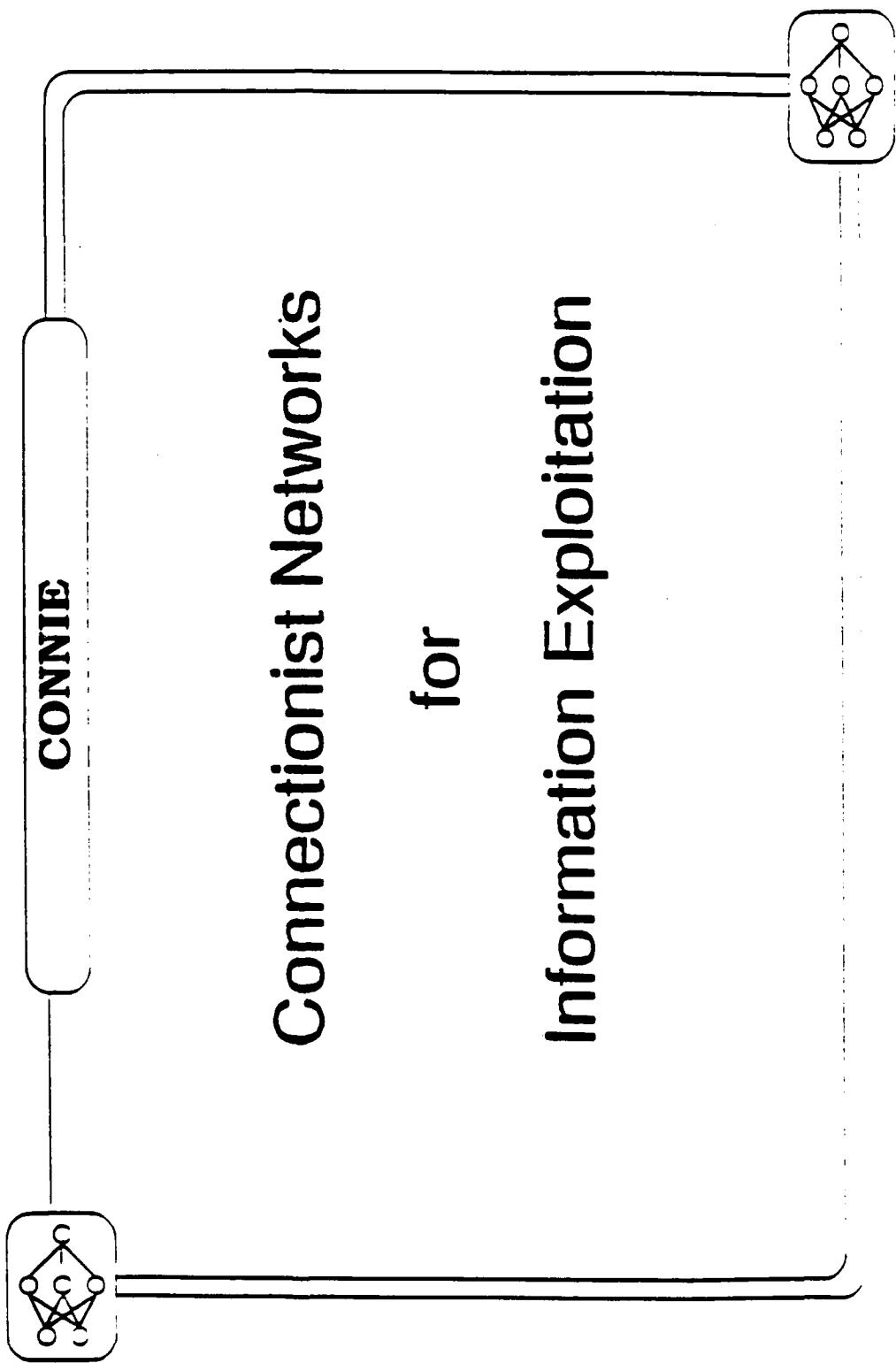
TASKS	1991												1992												1993																					
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul									
Task 1 (SOW 4.1.1) Develop A Software Requirements Specification for GIP																																														
Requirements Review																																														
Task 2 (SOW 4.1.2) Conduct A System Requirements Review																																														
Task 3 (SOW 4.1.3) Develop A Software Design for GIP																																														
Task 4 (SOW 4.1.4) Conduct A Limited Design Review																																														
Task 5 (SOW 4.1.5) Proceed With Implementation and Testing																																														
Task 6 (SOW 4.1.6) Develop A Software Test Plan																																														
- Conduct Initial Acceptance Testing																																														
Task 7 (SOW 4.1.7) Proceed Demonstration of GIP																																														
Task 8 (SOW 4.1.8) Familiarisation of GIP																																														
- Incremental Development of User's Manual																																														
- Incremental Development of Operator's Manual																																														
- Development of Training Plan																																														
- Familiarisation																																														
Task 9 (SOW 4.1.9) Incremental Software Delivery																																														
Task 10 (SOW 4.1.10) Status Reporting																																														
Task 11 (SOW 4.1.11) Phased Reporting																																														
Task 12 (SOW 4.1.12) Final Documentation																																														

# Information Exploitation

for

## Connectionist Networks

**CONNIE**



# **CONNIE**

## **CONNECTIONIST NETWORK FOR INFORMATION EXPLOITATION (CONNIE)**

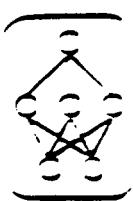


**OBJECTIVE:** STUDY THE APPLICATION OF NEURAL NETWORK  
TECHNOLOGY TO THE INDICATIONS & WARNING PROBLEM

<b>INVESTMENT:</b> <b>(\$ IN K)</b>	<b>TYPE</b>	<b>PRIOR</b>	<b>FY81</b>	<b>TOTAL</b>
3600/6.2	300	50	350	

**CONTRACTOR:** GRUMMAN DATA SYSTEMS

**PERSONNEL:**  
BLAIRDS  
MR J. PIROG  
AFSC/XTBI  
CAPT B. KASPAR



## CONNIE

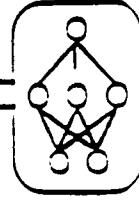
INITIAL EXPERIMENT WITH CONNECTION (1987)

LANKS  
MILES  
LINEAR

( $\Sigma$ ) LINEAR?

TRAINING DATA				LIVE DATA			
LANKS	5	20	40	100	20	22	20
MILES	100	10	20	55	0	50	25
LINEAR	N	N	N	Y	Y	Y	N

EXPERIMENT VERY SIMILAR



## **CONNIE**

### **Goal**

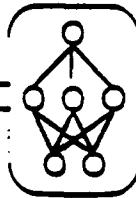
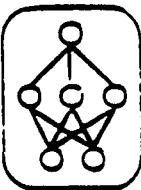
- DETERMINE FEASIBILITY OF NEURAL NETWORKS IN I&W

### **Tasking**

INVESTIGATE CURRENT NEURAL NETWORK APPROACHES/PRODUCTS

INVESTIGATE LEARNING/TRAINING OF NEURAL NETWORKS

Demonstrate possible application



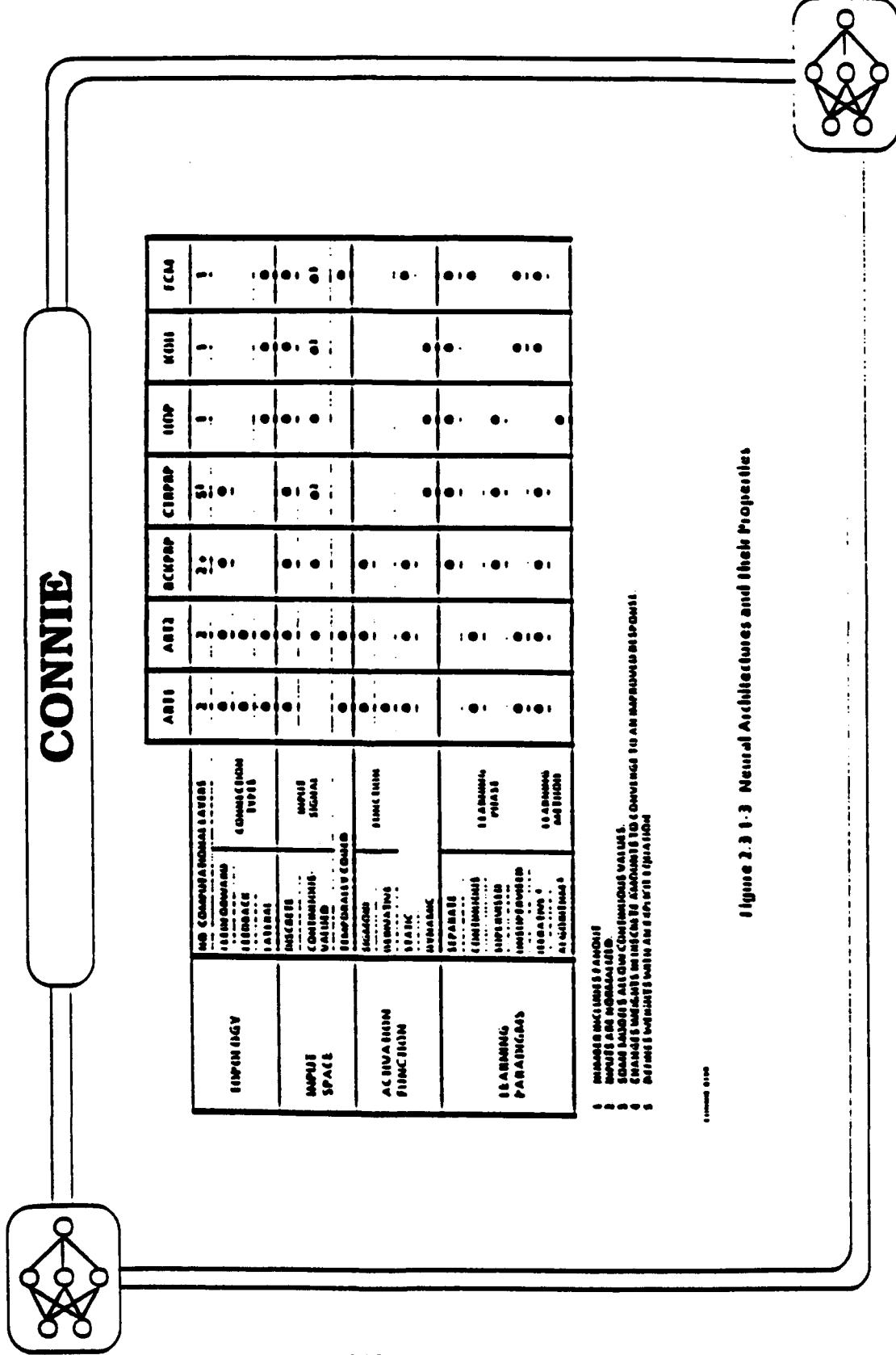
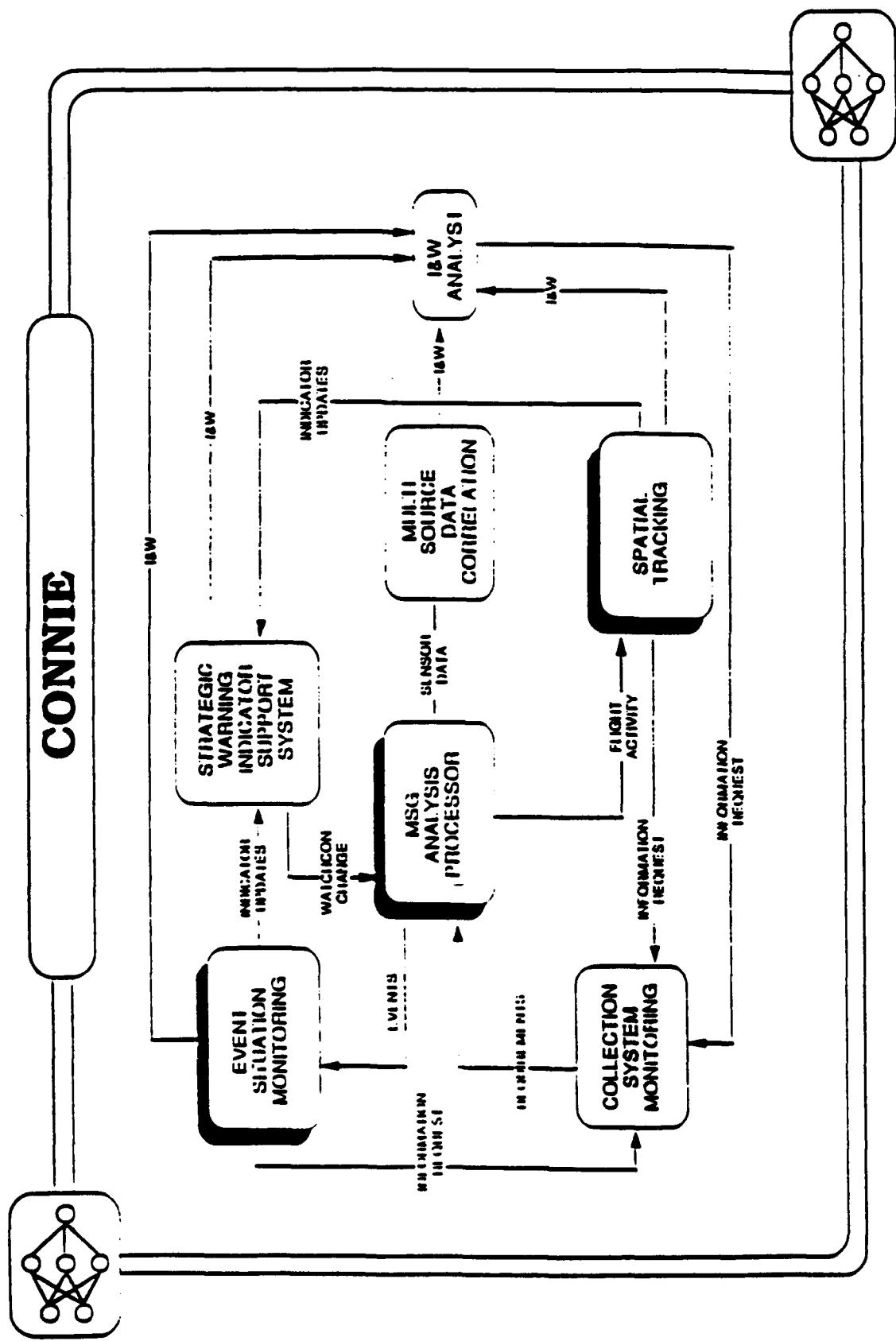
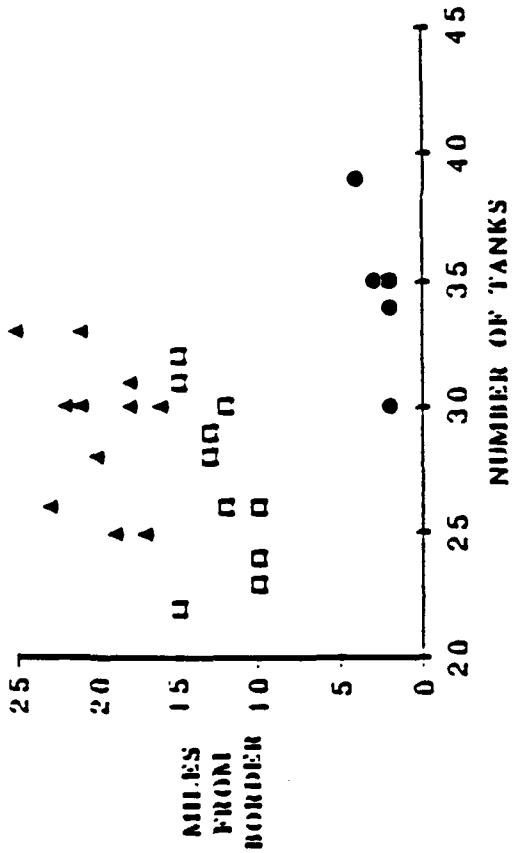


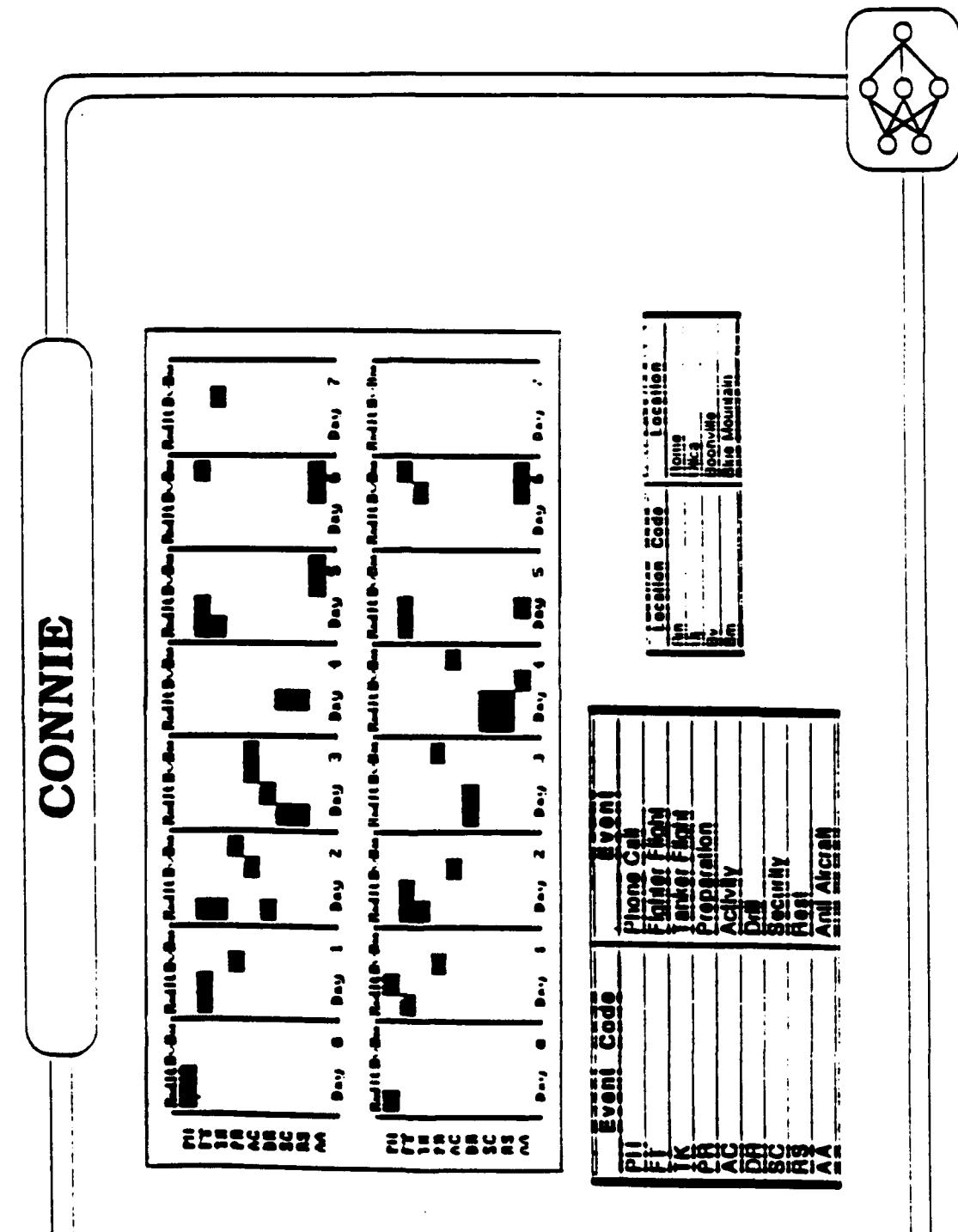
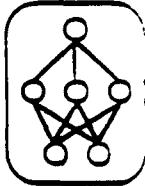
Figure 2.3 1-3 Neural Architectures and their Properties



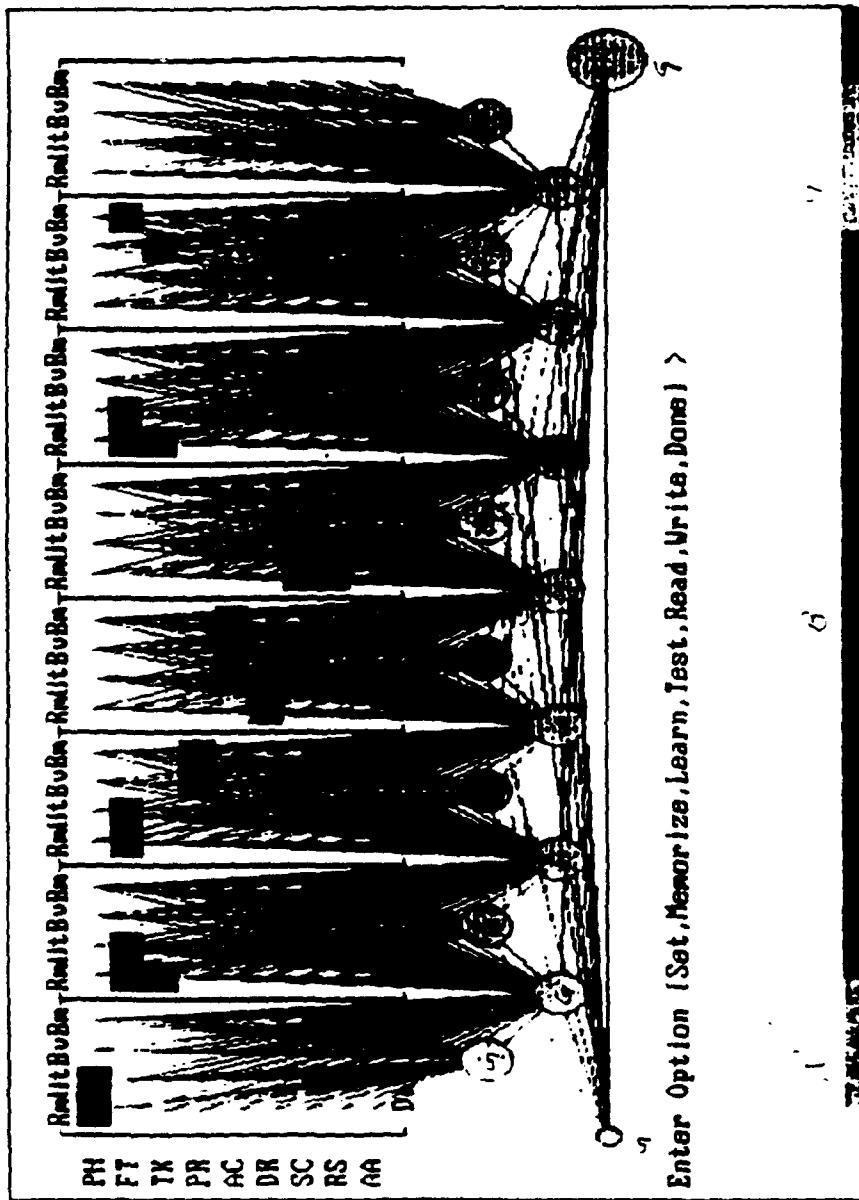
**CONNIE**

**INDICATIONS ANALYSIS  
RUN # 1**





# CONNIE



# **Database Query Support Processor**

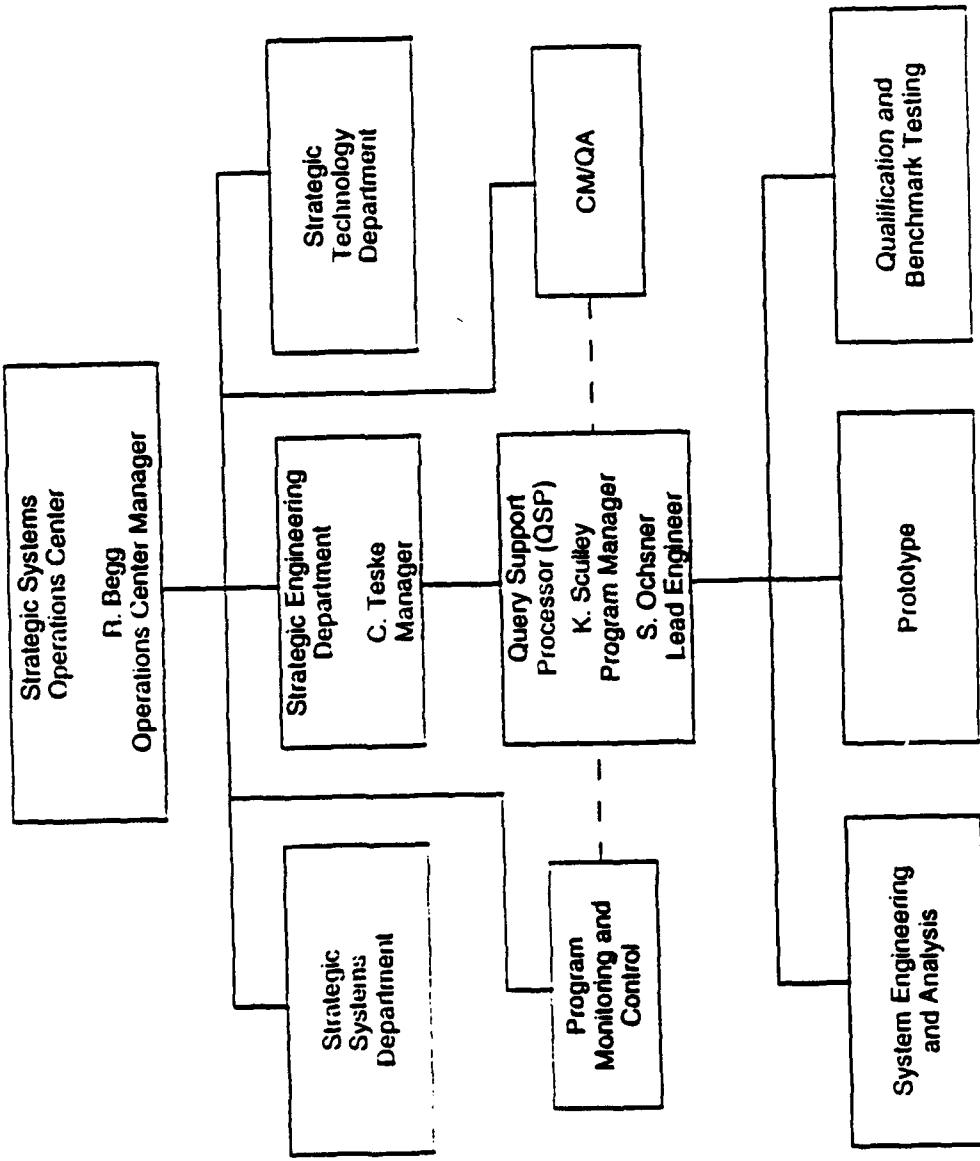
## **Technical Interchange Meeting**

**12 February 1992**

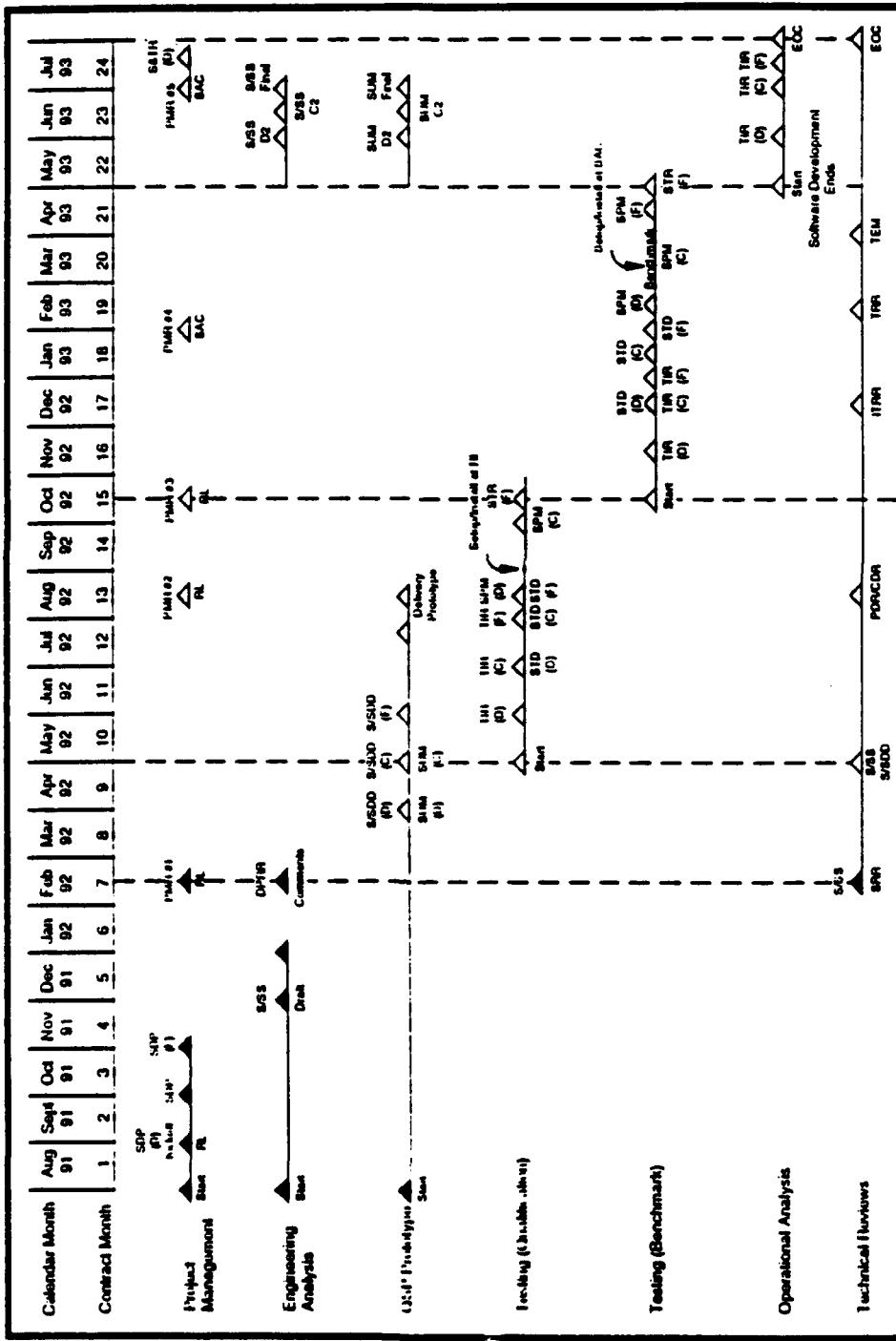
## Database Query Support Processor

Period: 25 July 91 - 31 July 93  
Type: Fixed Price Incentive Fee Firm Target  
RL/QSP LPM: P. McCabe  
RL/PKRM: D. Masi  
Contractors: PRC Inc. (Prime)  
AOG Systems (Subcontractor)  
Location: PRC Inc.  
1410 Wall Street  
Bellevue, NE 68005  
(402) 293-3900  
Project Manager: K. Sculley  
Deliverables: QSP Software (None Proprietary except DBMS)  
DoD Std 2167a Documentation (S/SS, SRS, S/SDD, STD, SPS,  
SPM, SUM, STR)  
Technical Reports - Implementation Plan for IIPF and IDHS  
Environments  
Support Laboratory and Field Prototype Testing

## QSP Organization Chart



## Database Query Support Processor (QSP) Master Implementation Schedule



**Milestone Legend**

- Past: ▲ Made
- Future: ▲ Missed or Improved △ Projected to be made ▽ To Be Missed or Improved

**PPC**

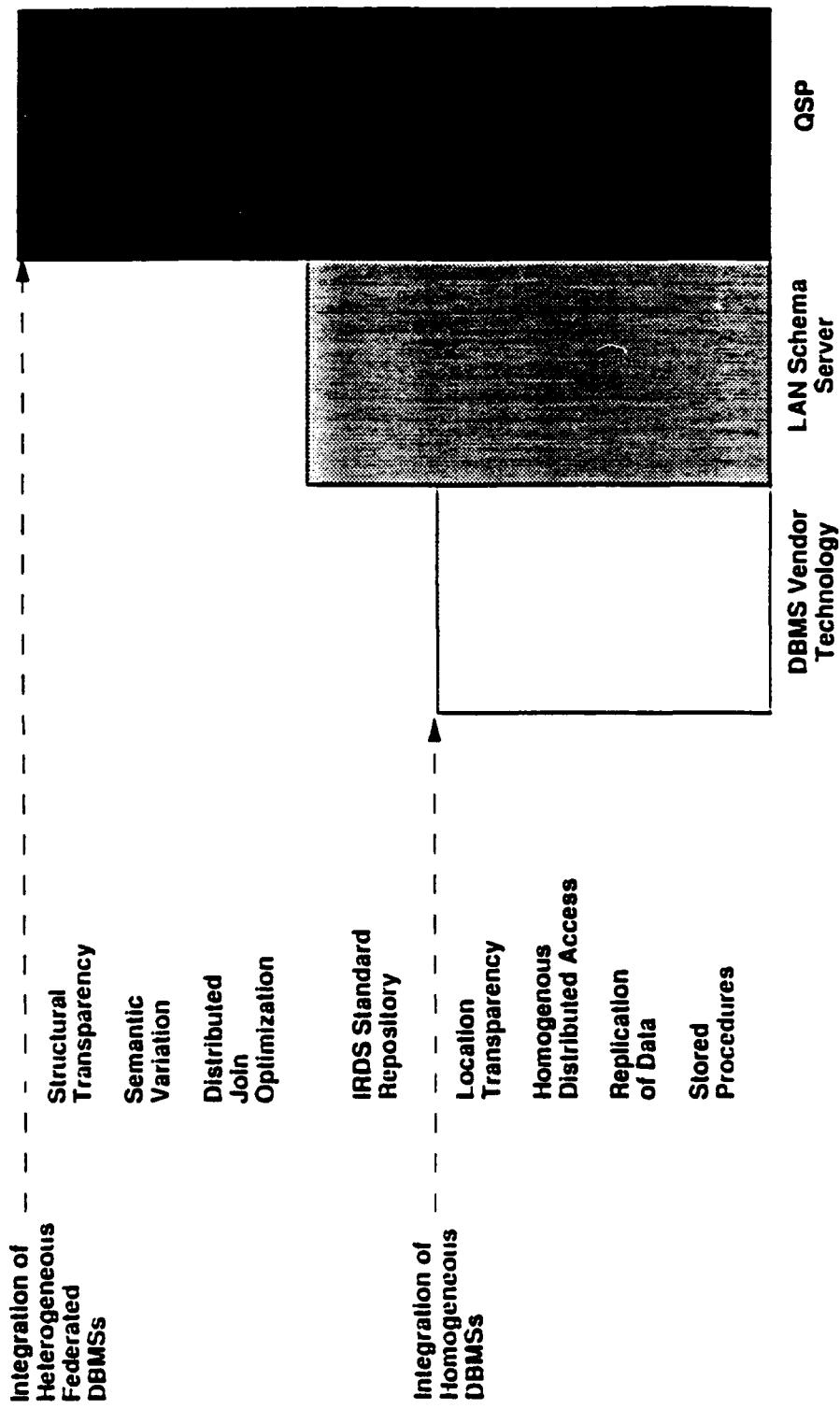
**Strength Through Understanding**

**Strategic Systems Operations Center**

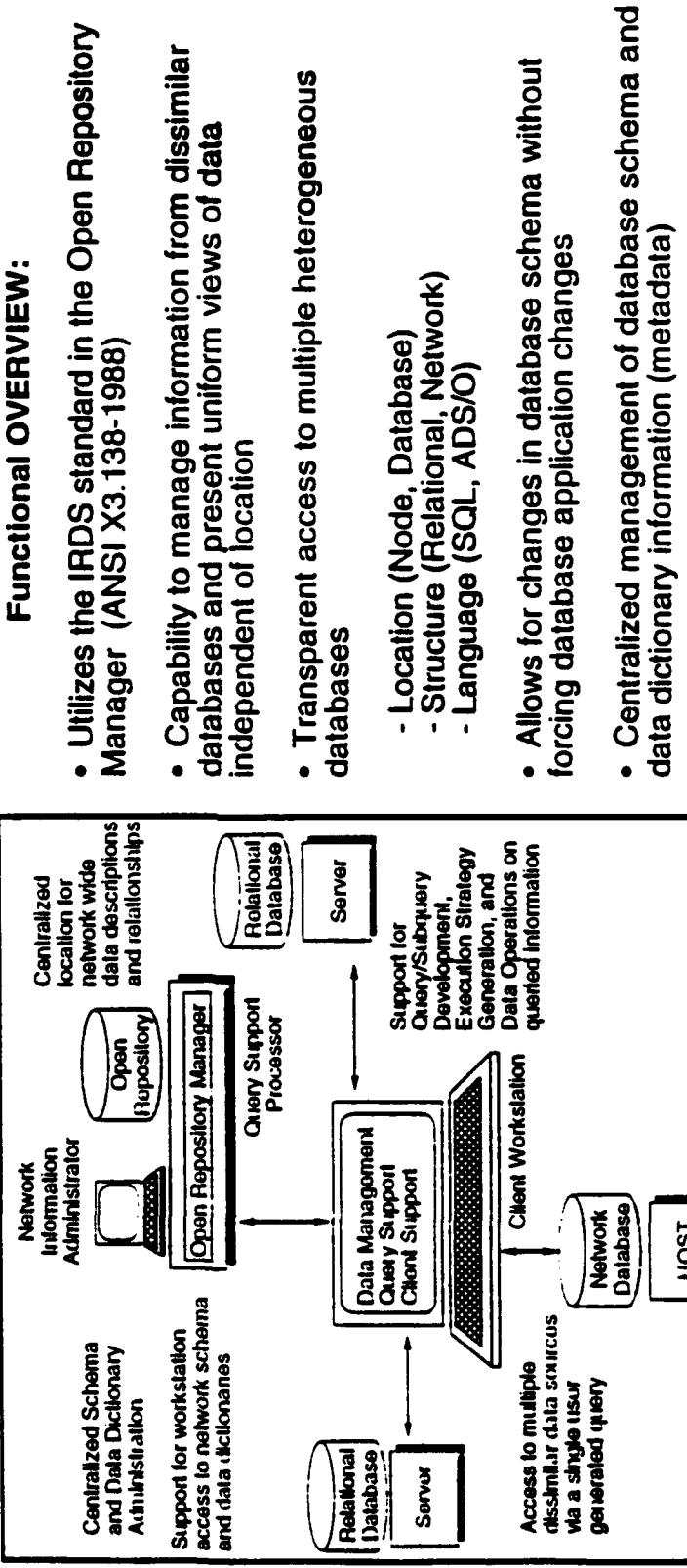
## Database Query Support Processor (QSP)

- Provides an integrative solution to the problem of data access in an existing environment of federated databases
- Provides expanded query access for both ad hoc and application users with a minimum impact on current operations
- Based on the Information Resource Dictionary Standard (IRDS) for repository systems
- Built upon the work of the LAN Schema Server (LANSS) project which was previously sponsored by Rome Laboratory

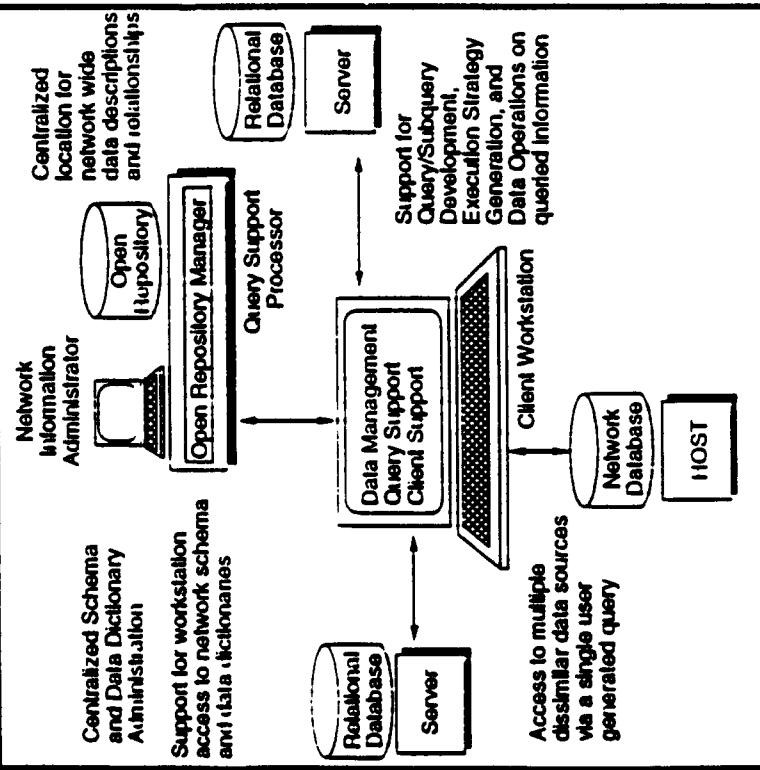
## QSP Overcomes Data Access Barriers in Existing Environments



## PROJECT DESCRIPTION: Database Query Support Processor



## PROJECT DESCRIPTION: Database Query Support Processor

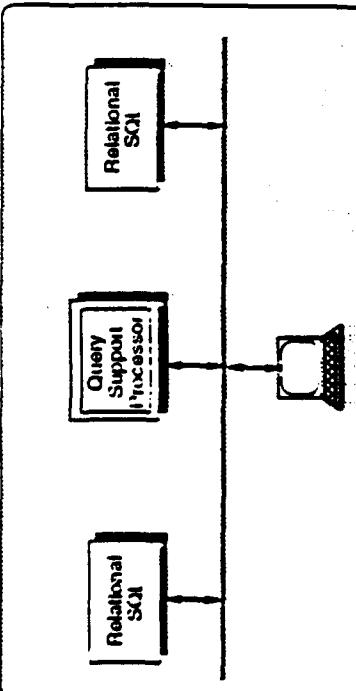


### Functional OVERVIEW (con't):

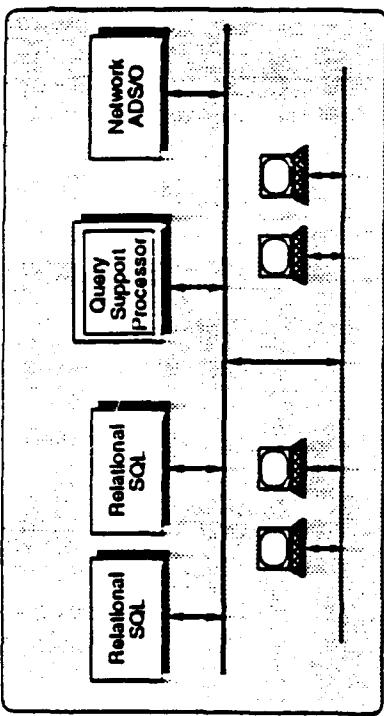
- Centralized administration of network wide data elements and relationships
- Client support for query development
  - Query analysis and validation prior to execution
  - Automatic subquery generation
  - Join optimization
  - Generation of execution statistics for analysis
- Data operations to merge information from multiple sources via cross system joins
- Provide logical views of network data environment by individual user or group of users

## QSP Functional Environment

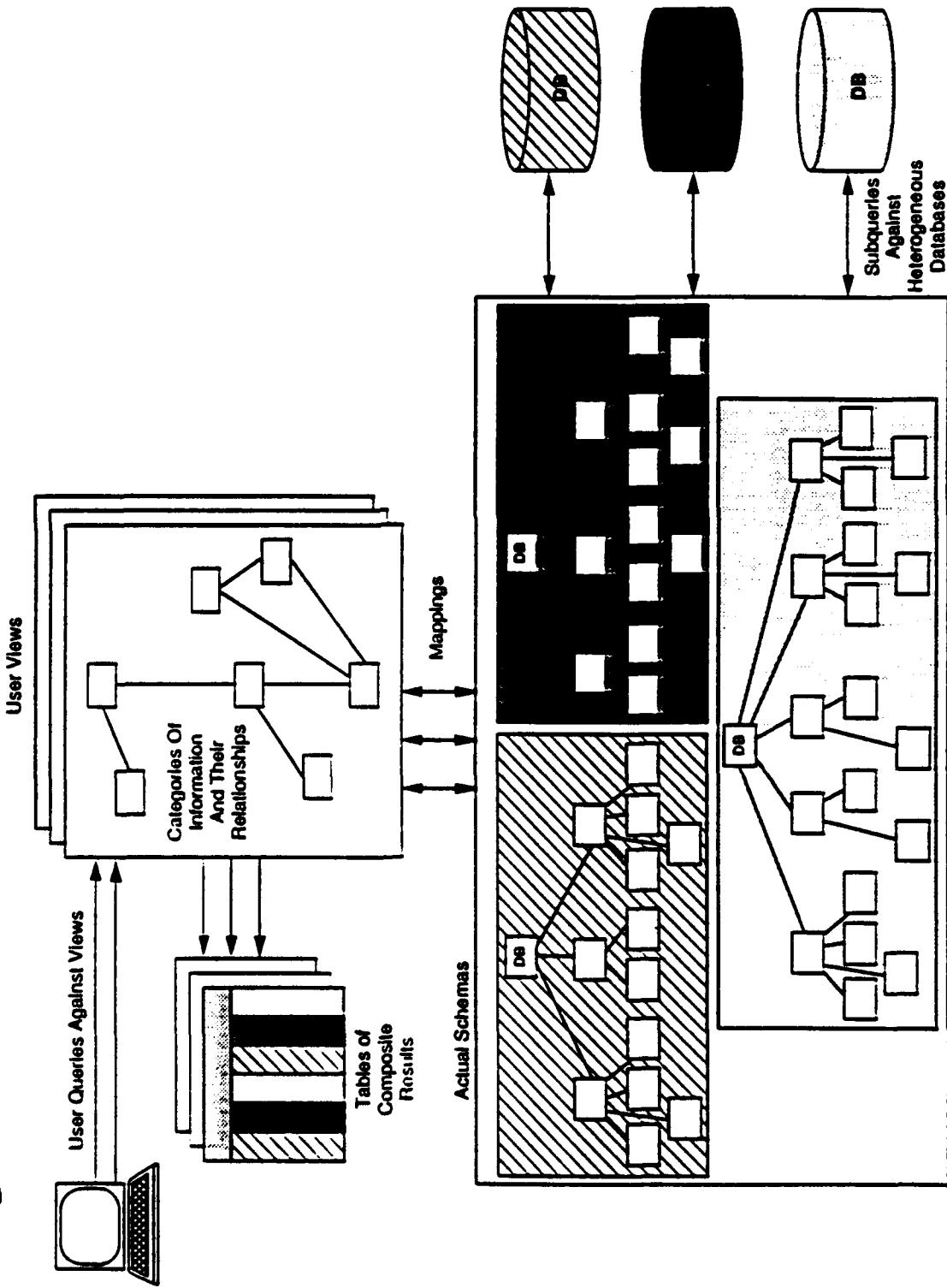
Laboratory Configuration (Qualification)



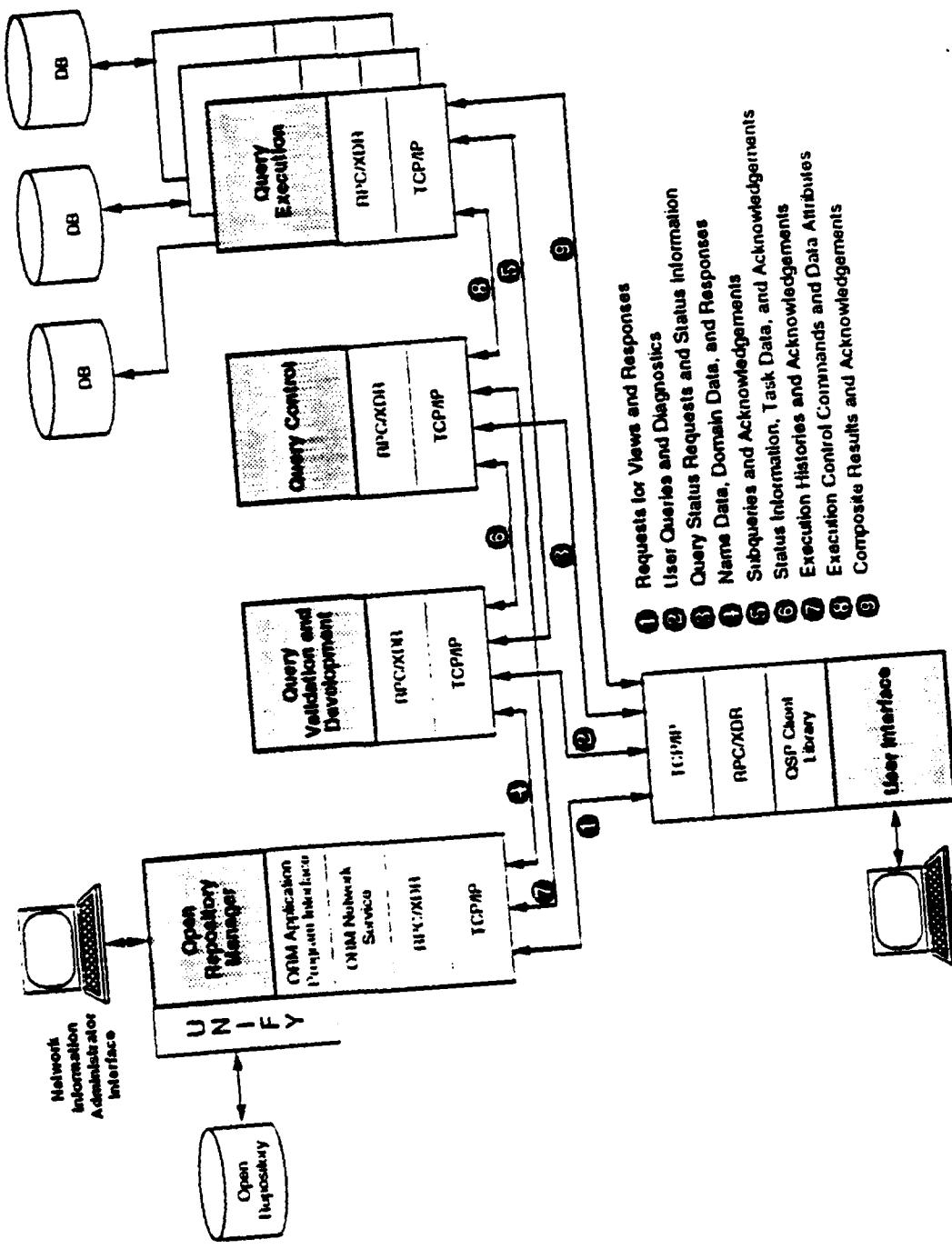
IDHS Configuration (Benchmark)



## Logical View of QSP



## QSP Physical View

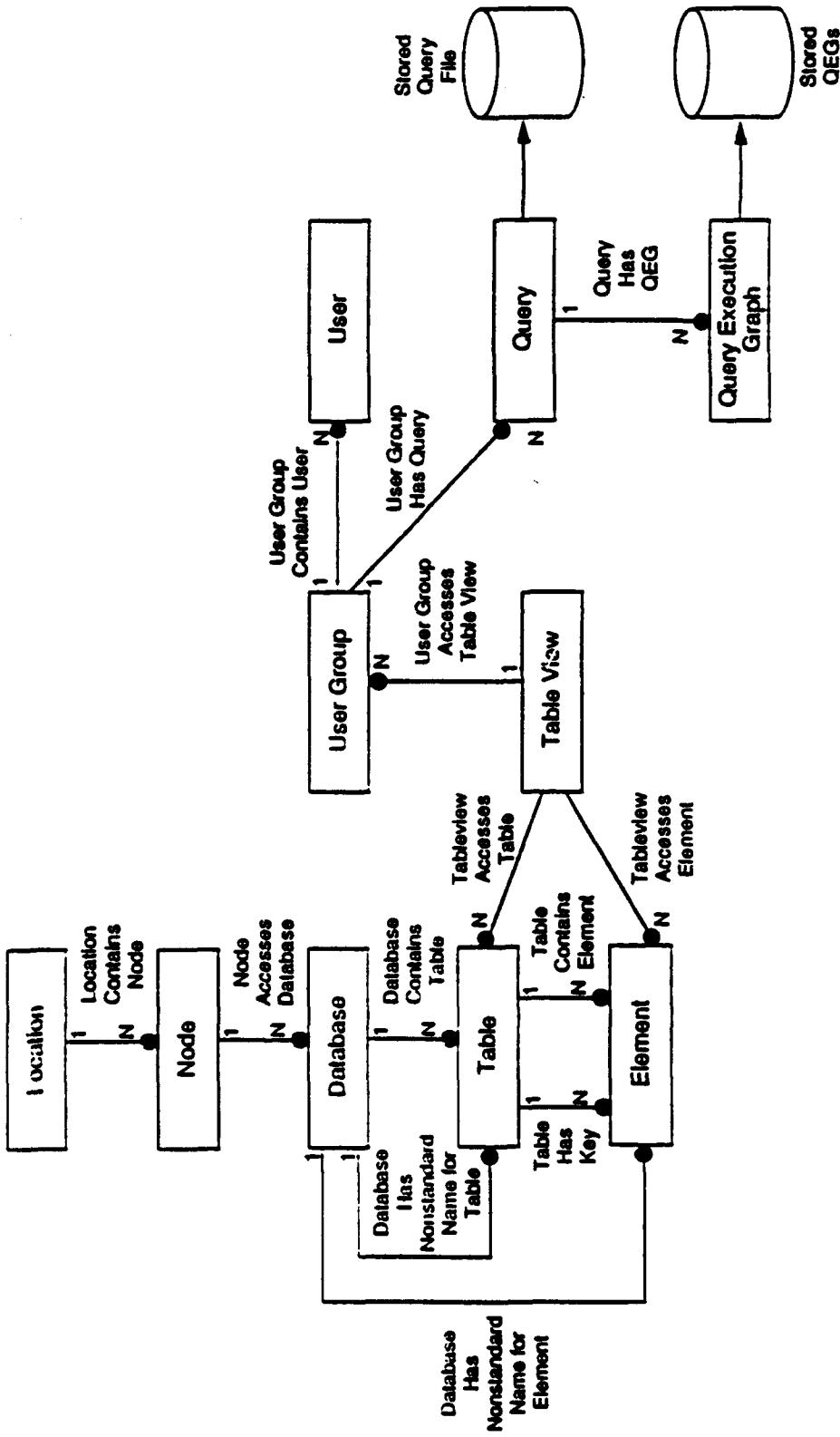


## QSP Network Information Administration

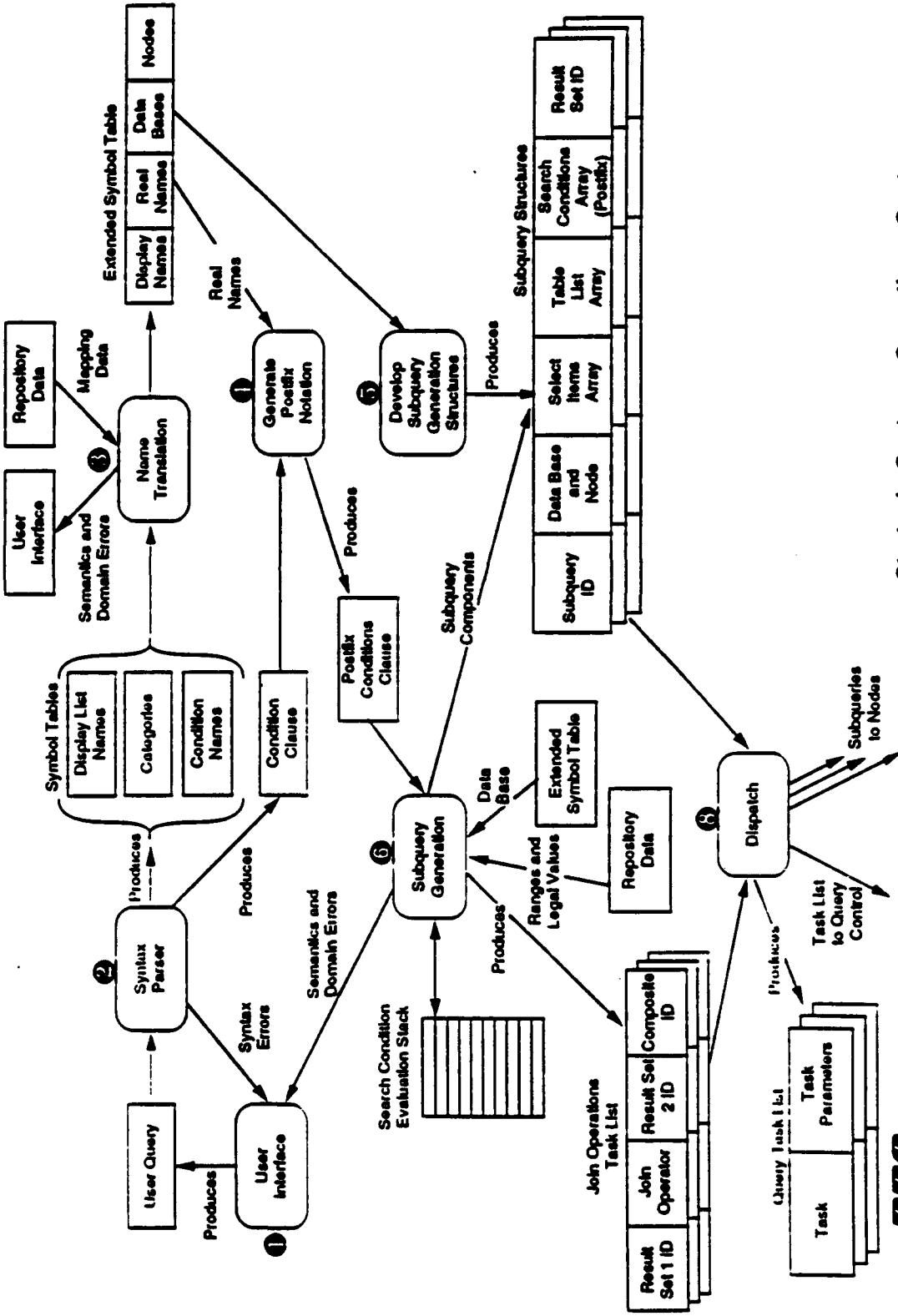
### Capabilities

- Definition of QSP users and user groups
- Definition of table views to control user access to distributed data
- Definition of database schemas as a single repository managed information model
- Resolution of synonym and homonym ambiguities
- Definition of legal value parameters for elements
- Definition of information categories which may span tables or databases but are presented to the user as a related set of elements

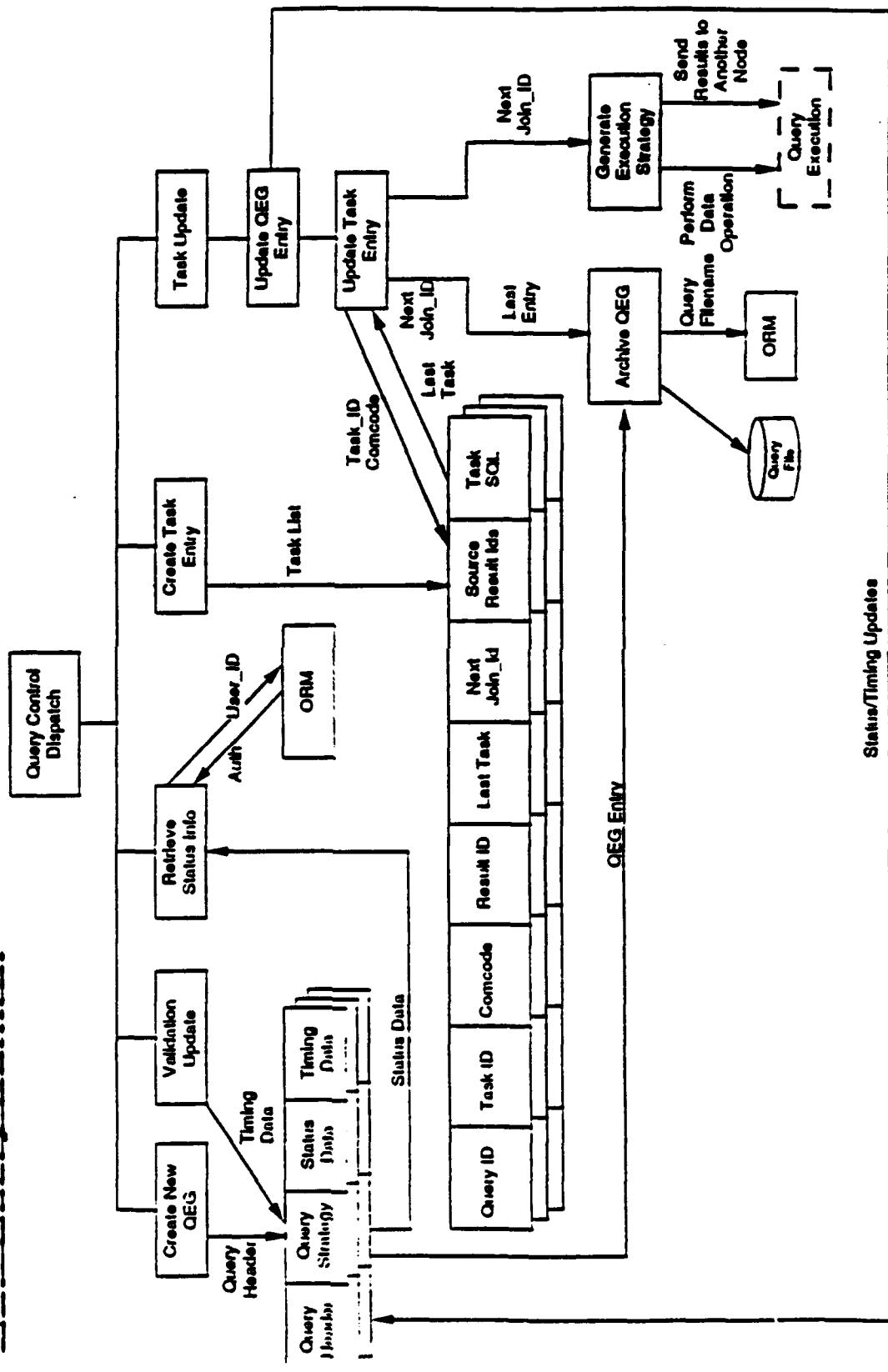
## QSP Open Repository Schema



## QSP Query Validation and Development

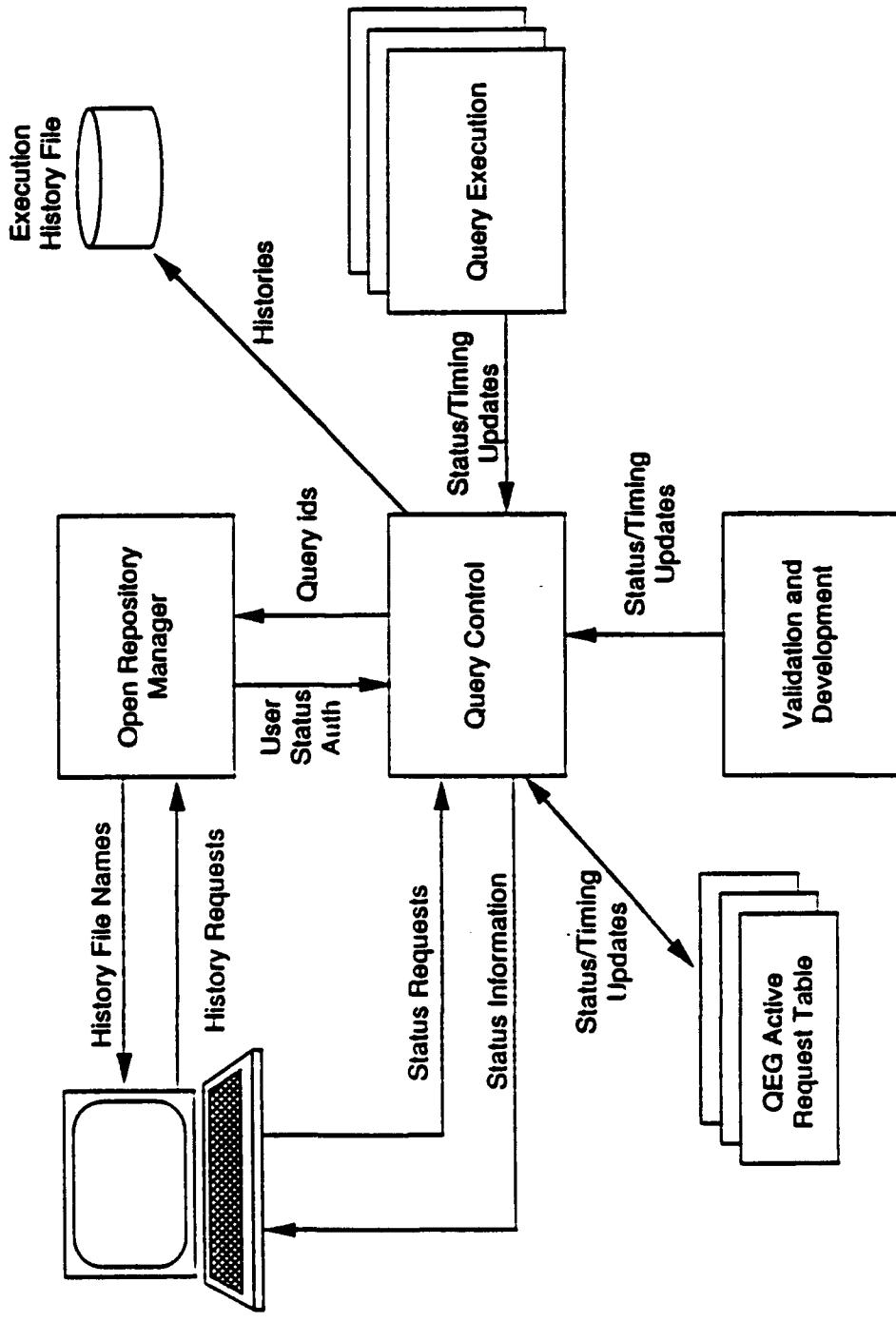


QSP Query Control

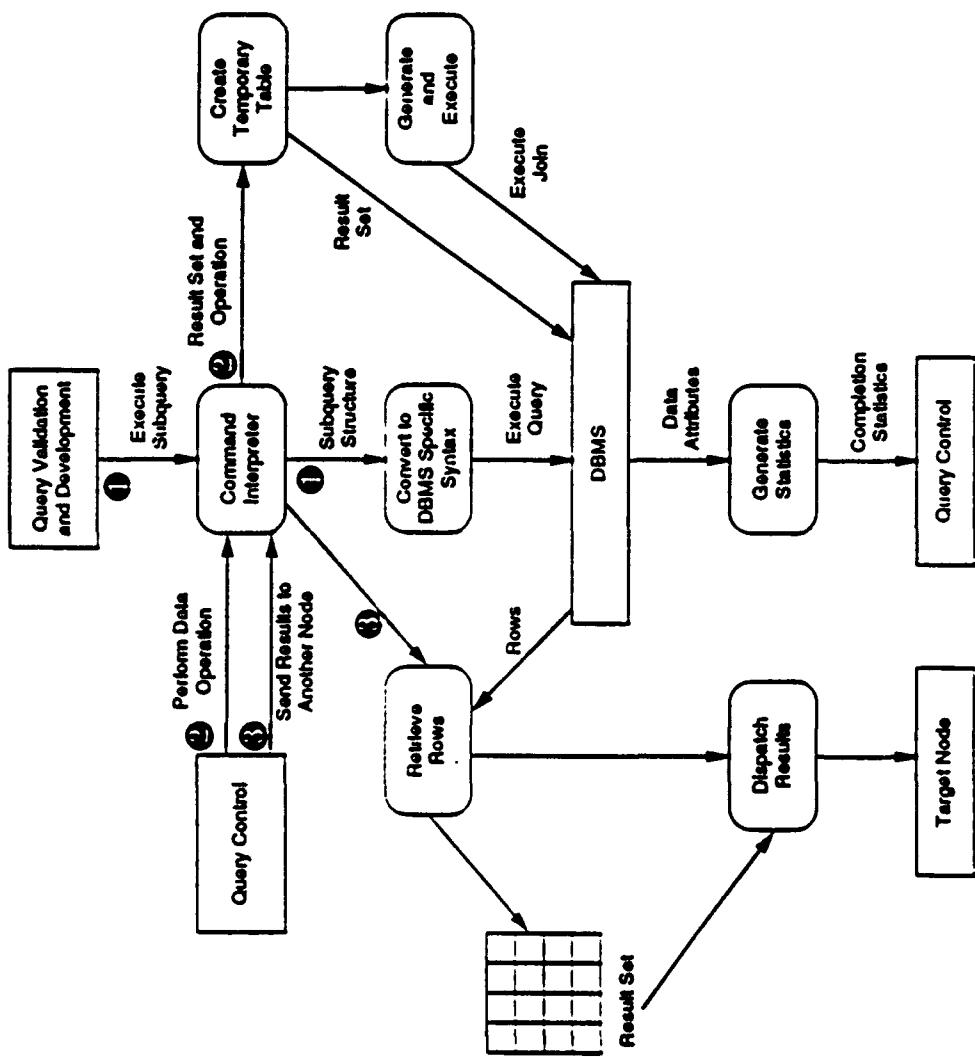


128

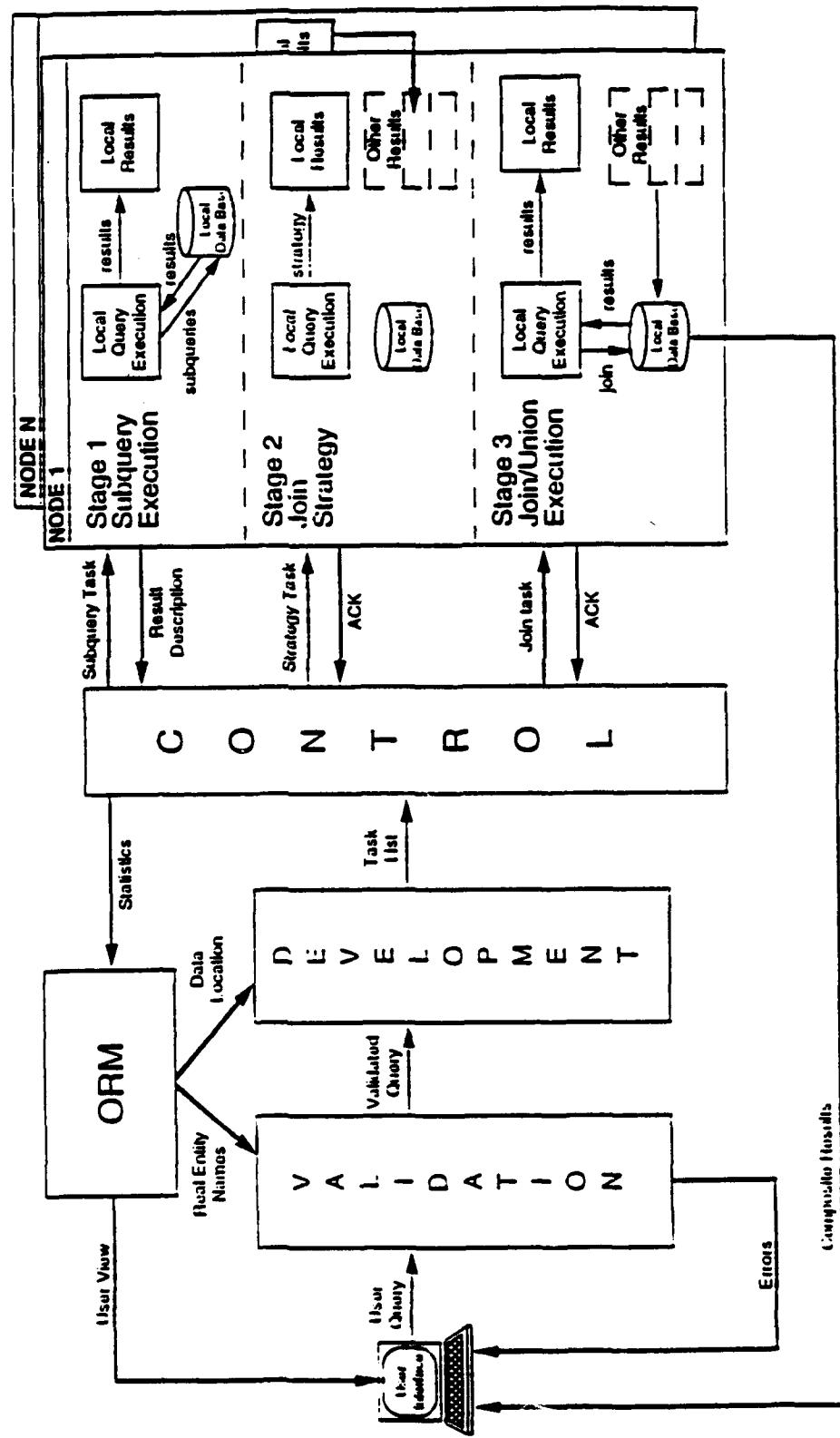
## QSP Monitoring and Statistics System



## QSP Query Execution



## QSP Query Processing



## Summary

- Based on an IRDS standard schema server
- Provides distributed access solution for federated environments where information integration is required
- Allows user queries to be generated against a logical view of the network database environment
- Organizes user views by categories of information rather than physical tables
- Maps user views to actual database schemas regardless of structure
- Derives subqueries from the original query and performs translation to actual database schemas
- Performs cross-system joins according to optimized join strategies based on subquery result size
- Returns a single composite result table

# **COOPERATIVE KNOWLEDGE BASE ARCHITECTURE (CKBA)**

**Brandon L. Buteau  
PRC**

***prc***

## Agenda

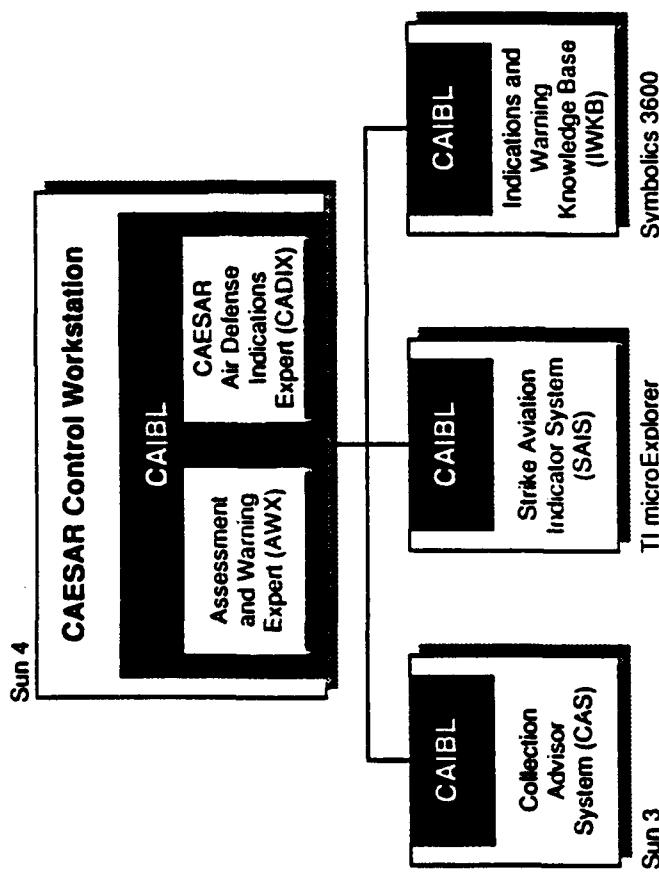
- Project objectives
- Architecture
- Communication framework
- Knowledge exchange language
- Current status
- Discussion of ICD

## Project Objectives

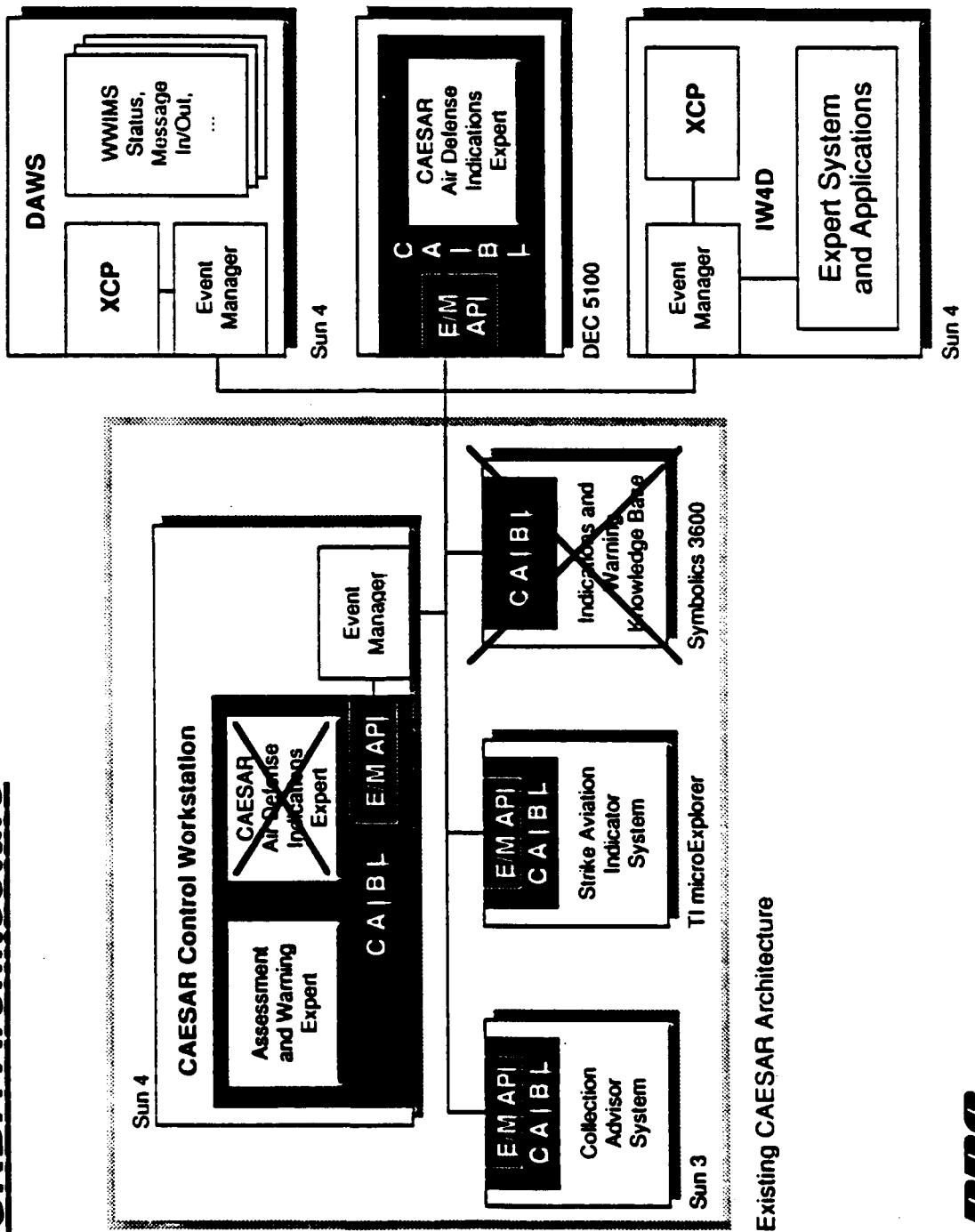
Extend results of prior research from the CAESAR project to include:

- Increased portability of communication facilities
- Development of a generic interface gateway
- Integrate new expert systems, IDHS software, hardware
- Improve existing CAESAR systems
- New scenario development

## CAESAR Technology Baseline



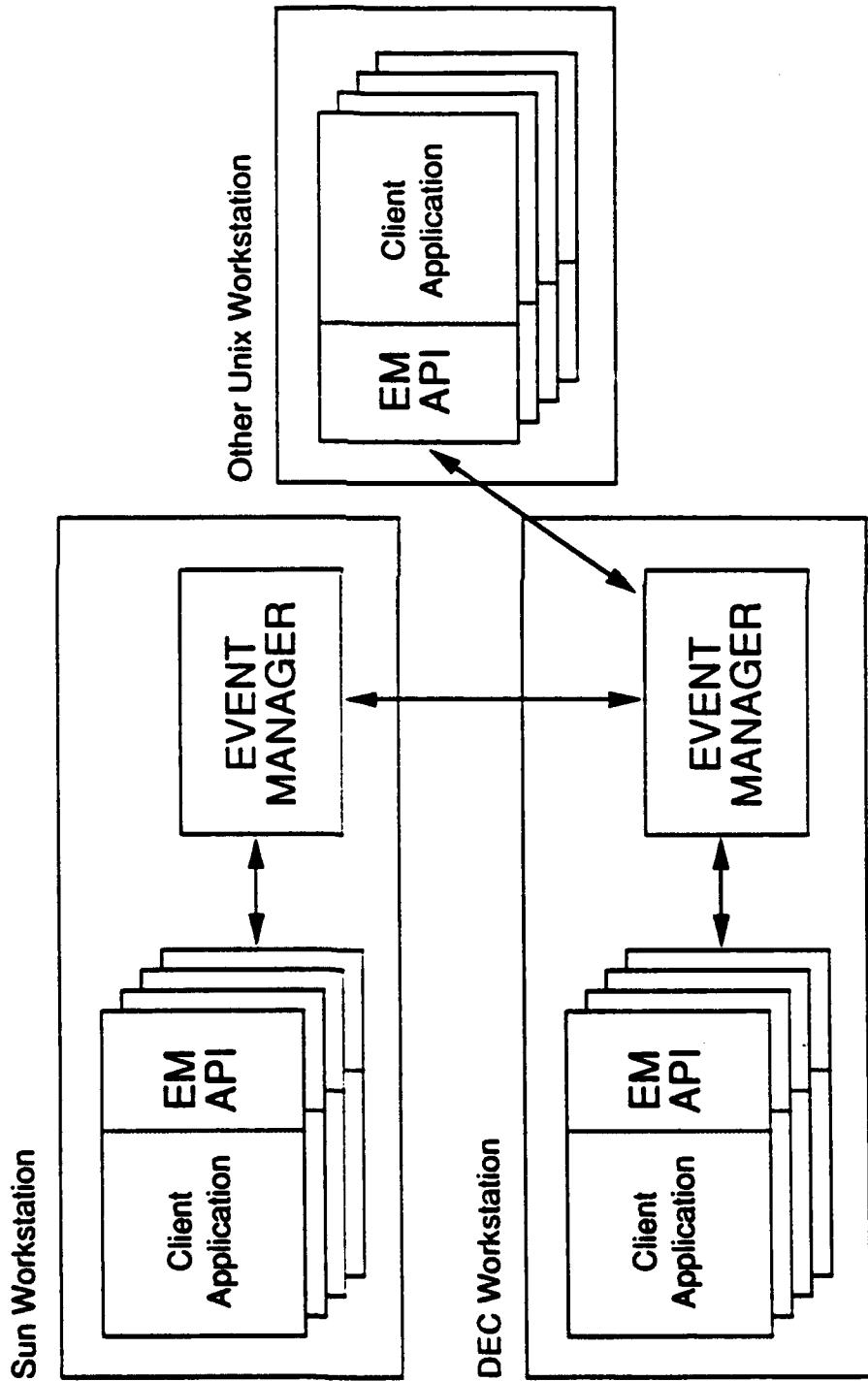
## CKBA Architecture



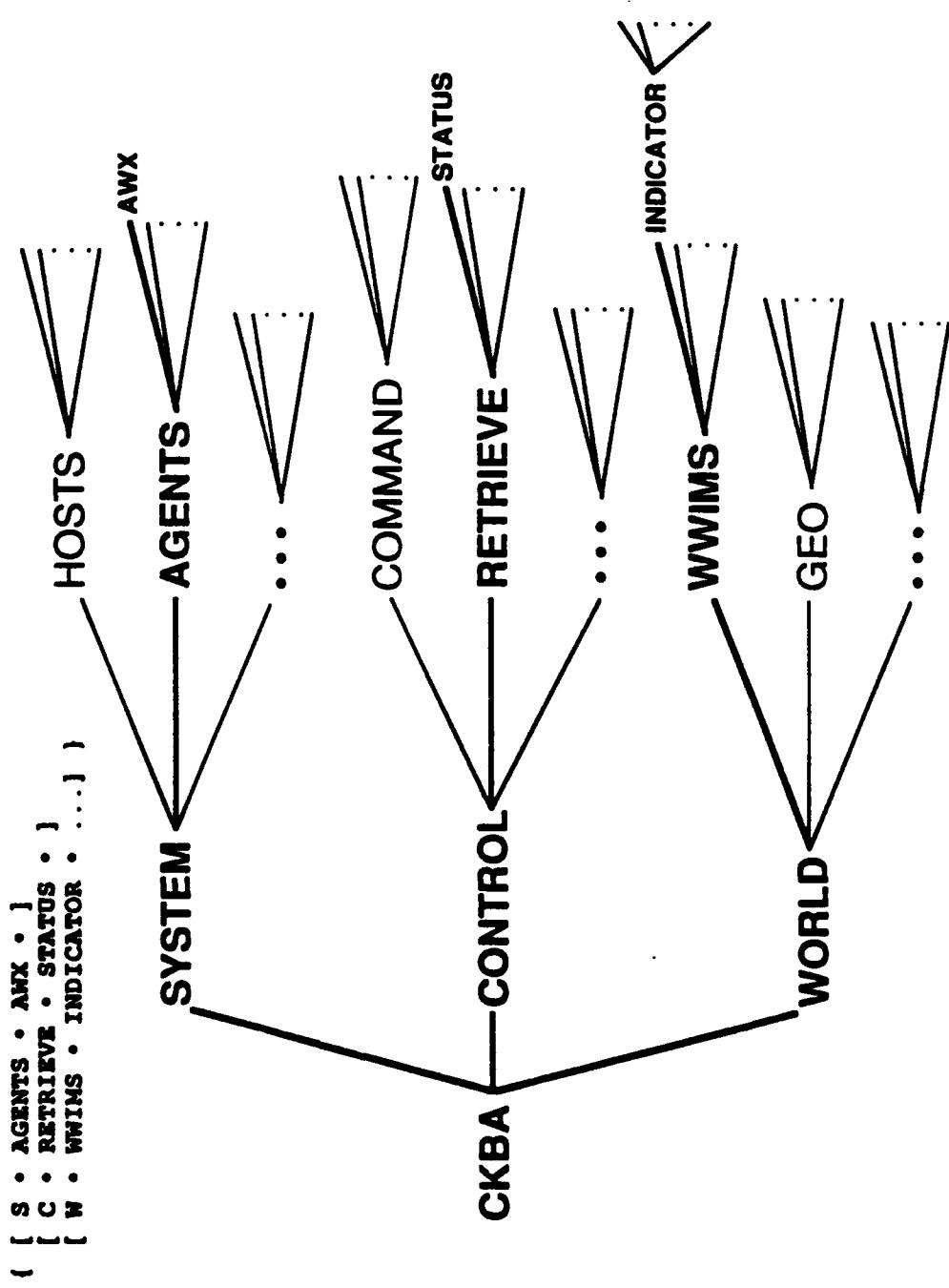
## Event Manager

- Distributed interprocess communication via a publish and subscribe paradigm
- IP socket-based communication between Event Managers and client applications
- Variable-size, opaque event structures containing world or system data
- Event domains, signatures, and subscriptions

## Event Manager Concept



## Event Namespace



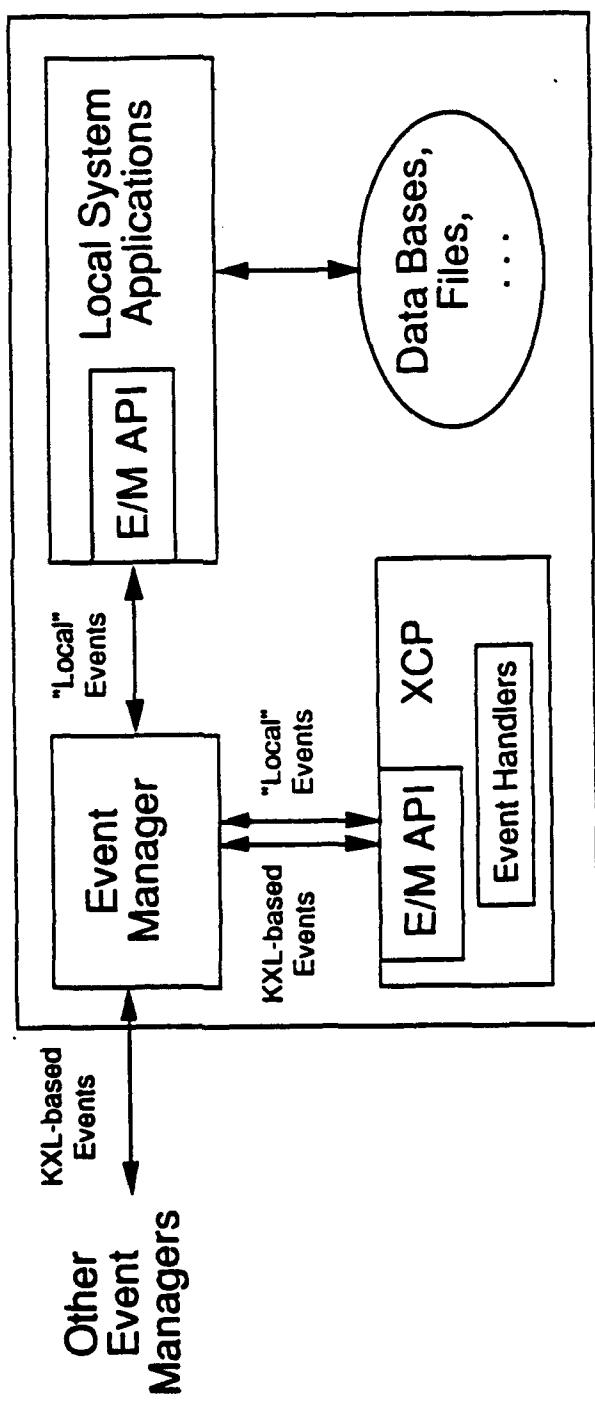
## Event Manager Application Program Interface (API)

- InitEvents
- TerminateEvents
- WaitNextEvent
- PostEvent
- ChangeSubscription
- ChangeSubscriptionDomain
- ChangePostingDomain
- SetEmOptions
- Signature utilities

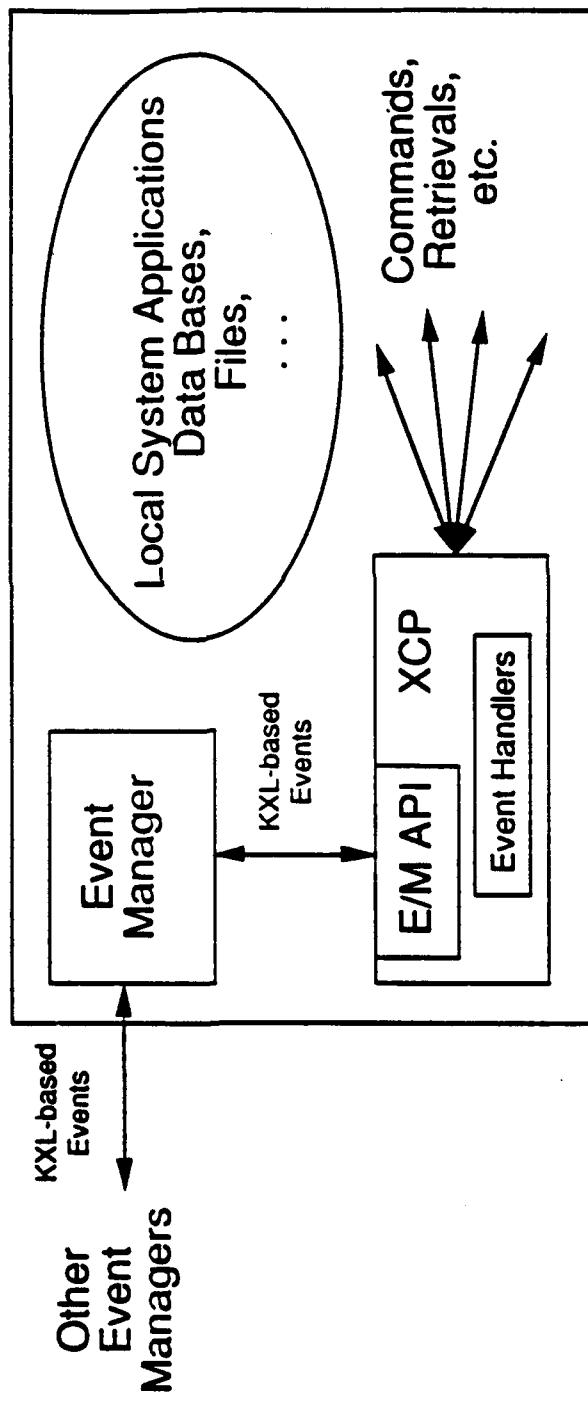
## Expert Communication Process (XCP)

- Data normalization across heterogeneous platforms
- Translation services across heterogeneous knowledge representations
- Filtering services for more flexible subscription
- Control services for client surrogates
- Standalone process or callable library
- Dynamically constructed event handlers
- Event expression through a knowledge exchange language (KXL)

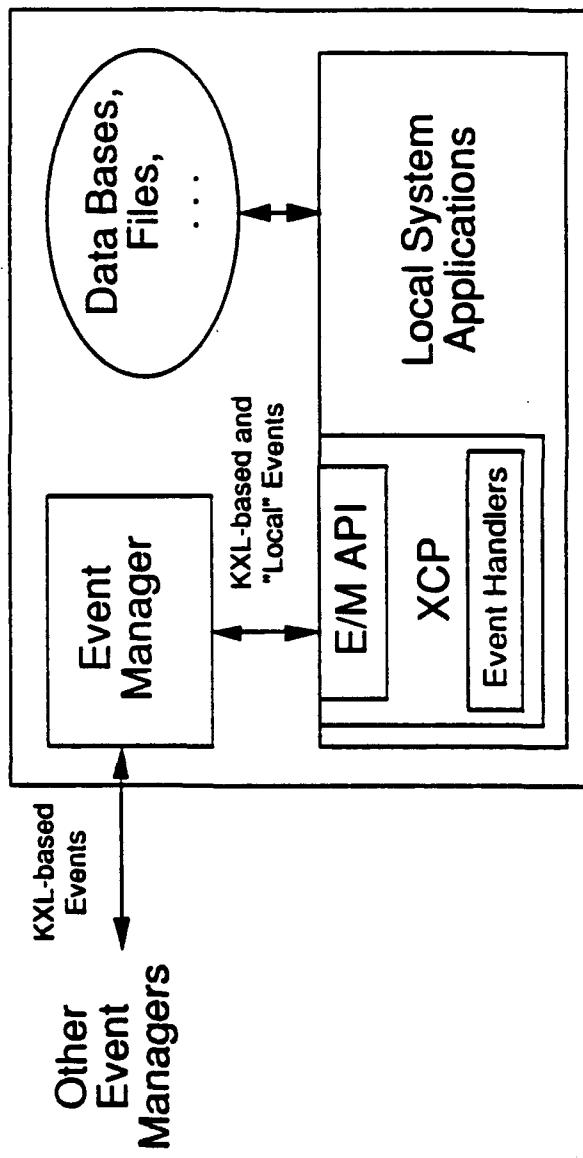
## XCP as a Network Event Translator



## XCP as a Surrogate Client



## **XCP as an Embedded Event Handler**



## XCP API

- MakeEventHandler
- HandleEvents
- SuspendHandler
- ResumeHandler
- ParseKXL
- BuildKXL

## KXL Requirements

- Facilitate real-time sharing of knowledge between cooperating expert systems
- Focus on knowledge that can be usefully shared
- Avoid contextually-dependent knowledge and predefined domain structures
- Recognize similarities among principal knowledge representations
- Reduce domain knowledge to two forms: objects and relations
- Explicitly represent control knowledge

## KXL Encodings

- Transmission encoding — expresses KXL forms moving from one local environment to another
- Print encoding — expresses KXL forms in a human-readable fashion
- Local encoding — expresses KXL forms in a representation suitable for manipulation within a local environment

## KXL Values

- Symbolic
  - Null — **NIL**
  - Boolean — **T**
  - String — "FOO"
  - Atomic — **FOO**
- Numeric
  - Integer (Long and Multiple) — **13452349**
  - Float (Single and Double) — **2.345567E+1**
- Special
  - Opaque — **FF00131A0000A4F0**
  - Default — **v**
  - Pattern Variable — **?x**
- Compound
  - Lists — (FOO 1 "A string")
  - Arrays — [6 1 45 137 NIL]

## KXL Domain Knowledge – Objects & Classes

```
ObjectForm ::= (OBJ DeclaredEntityID  
[CLS EntityID]  
[DSP DisplayName]  
[ATT AttributeList]  
[REL EntityRelList]  
[HYP HypothesisID]  
[CER CertaintyValue])  
  
ClassForm ::= (CLS DeclaredEntityID  
[DSP DisplayName]  
[ATT AttributeList]  
[REL EntityRelList]  
[HYP HypothesisID]  
[CER CertaintyValue])
```

## KXL Control Forms

- Commands — for achieving a side effect
- Retrievals — for ad hoc acquisition of information
- Monitors — for continuing information retrieval
- Guidance — for establishing task priorities
- Responses — for returning results
- Information — for notifying without commitment

## KXL Command Syntax

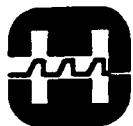
```
CommandForm ::= (CMD CommandType  
                  ID IntegerValue  
                  [PRM ParameterList]  
                  [ABT IntegerValue])  
  
CommandType ::= KXLIdentifier  
  
ParameterList ::= (ParameterForm {ParameterForm})  
  
ParameterForm ::= (KXLIdentifier KXLValue)
```

## Complete KXL Form

```
(CTRL (RSP (RTR 15)
           ID 22
           DOM ((OBJ TRK-25
                  CLS FLIGHT
                  DSP "Track 25"
                  ATT ((LAT "2345N")
                        (LONG "12345W")
                        (TYPE (CONDOR/A CONDOR/C))
                        (QTY (5 2)))
                  ))
           SRC CADIX
           DIS (CCW)
           SIG ((W "FLIGHT"))
           TIM ((TRANS "011705ZMAY91"))
           ONT CKBA-I&W
           VER 14)
```

## Current Project Status

- CAIBL & CADIX port to DECstation
- Implementation of causal modeling for AWX
- Demonstration scenario
- KXL specification
- CAIBL / Event Manager integration
- XCP interfaces



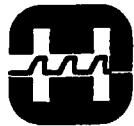
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Government Information  
Systems Division

## **Advanced Reasoning Theory Program**

**Rome Laboratory/IRDS  
Technical Interchange Meeting**

**February 11-12, 1992**

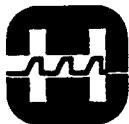
**Jonathan Reed  
Noreen Heyda  
Harris Corporation**



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## **Agenda**

- 1. Program Objectives**
- 2. Program Status**
- 3. ART-based Message Understanding System (AMUS)**
- 4. AMUS Demo**



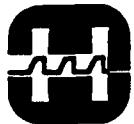
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## Objectives

**Continue the research and development of a new, general architecture for natural language understanding.**

**(general = not-brittle + multi-domain = 14+ technical issues).**

1. Rename architecture from Cortical Thought Theory (CTT) to Advanced Reasoning Theory (ART).
2. Port ART algorithms/software from Symbolics to Sun/Unix.
3. Redesign system based on:
  - lessons learned during NTDG (e.g. dictionary),
  - PGIP specification.
4. Simulate/measure parallelism in NL algorithms.
5. Formally test.
6. Investigate addition of imagery/speech inputs.



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**Status**

1. Software development completed (for the most part).
2. Program has been "on hold" while awaiting approval of new SCIF.
3. Soon to begin development of LRA knowledge bases.

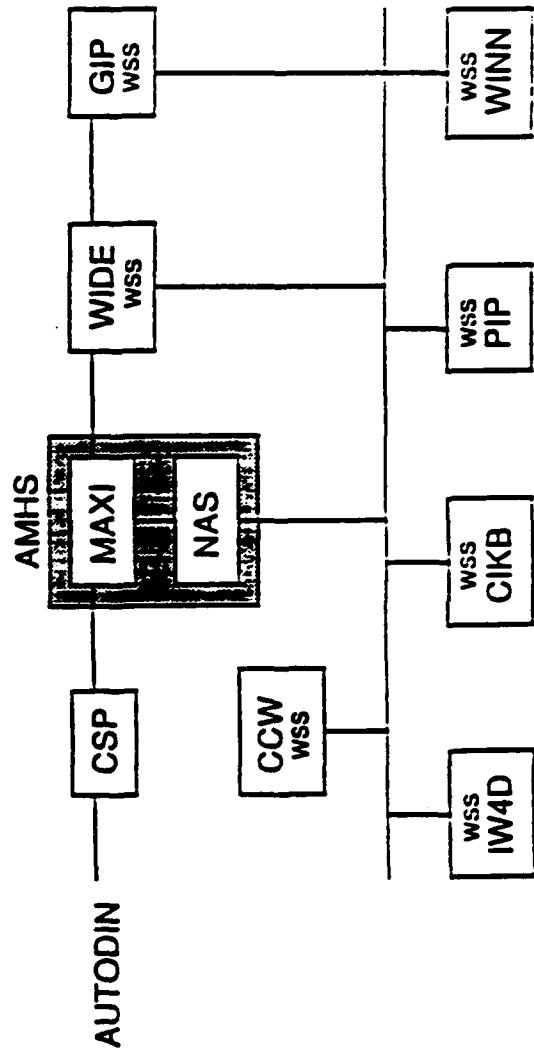


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## IPAS 2000

### Intelligent Predictive Assessment System

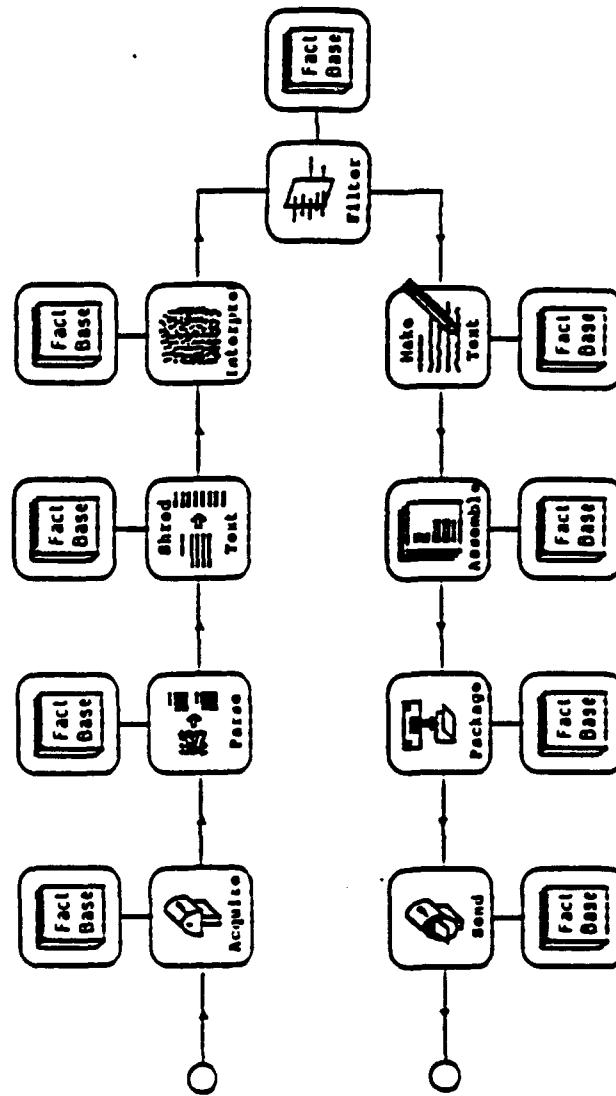
- Rome Laboratory/IIRD
- Complete, Integrated, Intelligence Data Handling System
- Open systems, standards, expert systems, message understanding





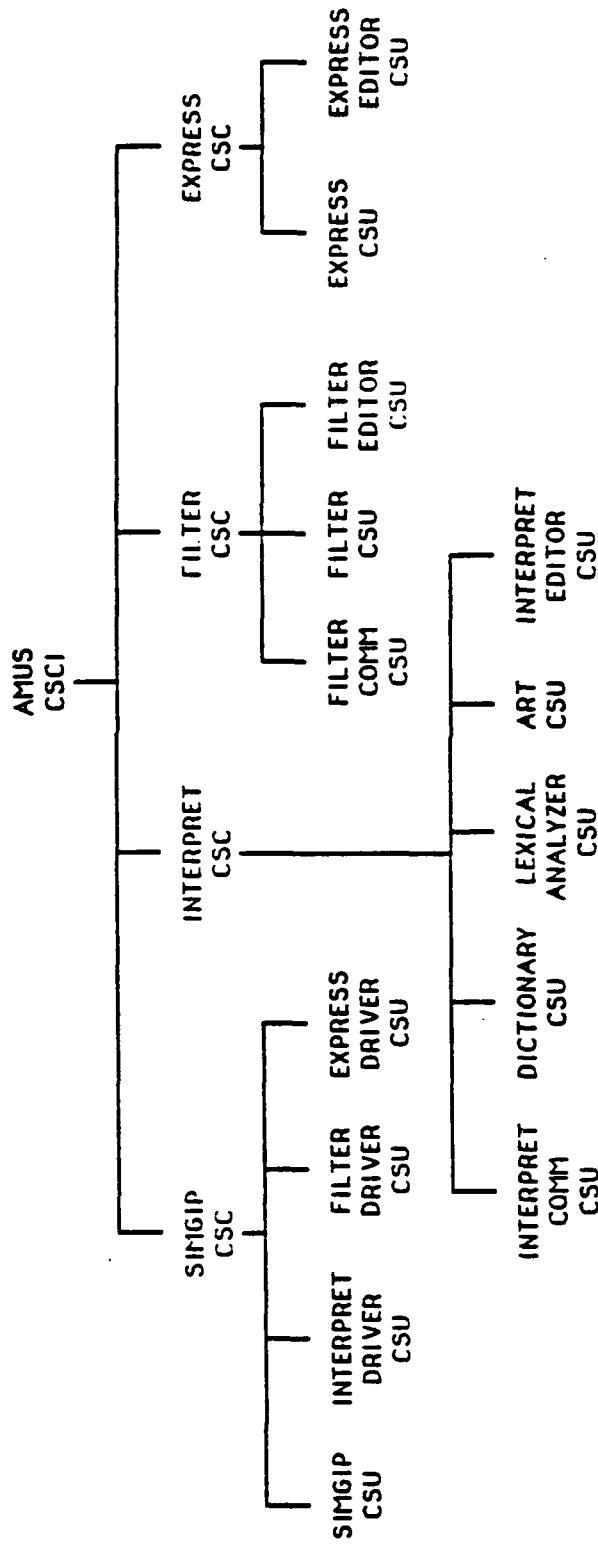
## GIP Architecture

- Generic Intelligence Processor
- Knowledge Systems Concepts
- Message Understanding
- Broad Interpretation: acquisition, parsing, NLU, packaging, etc.





## ART Message Understanding System CSCI Structure

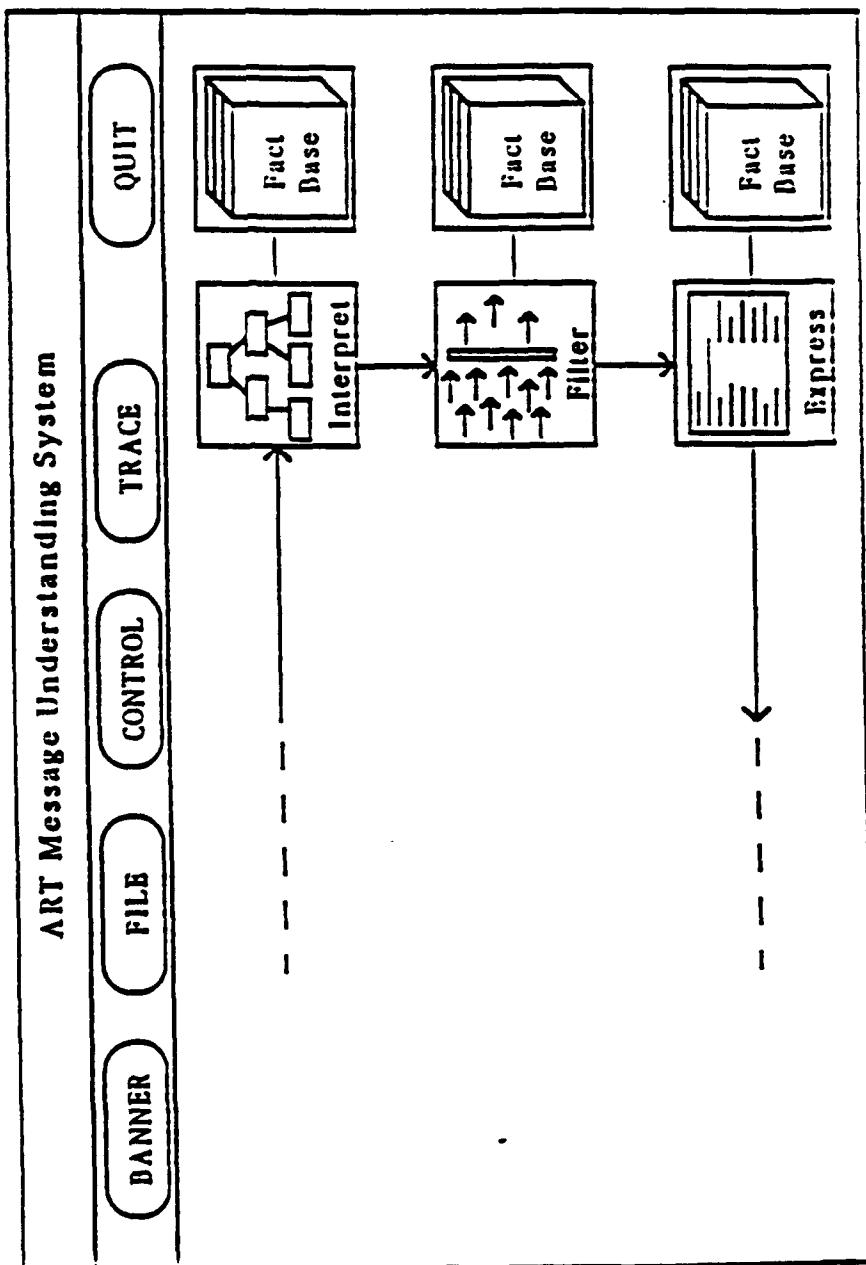


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SIMGIP CSU

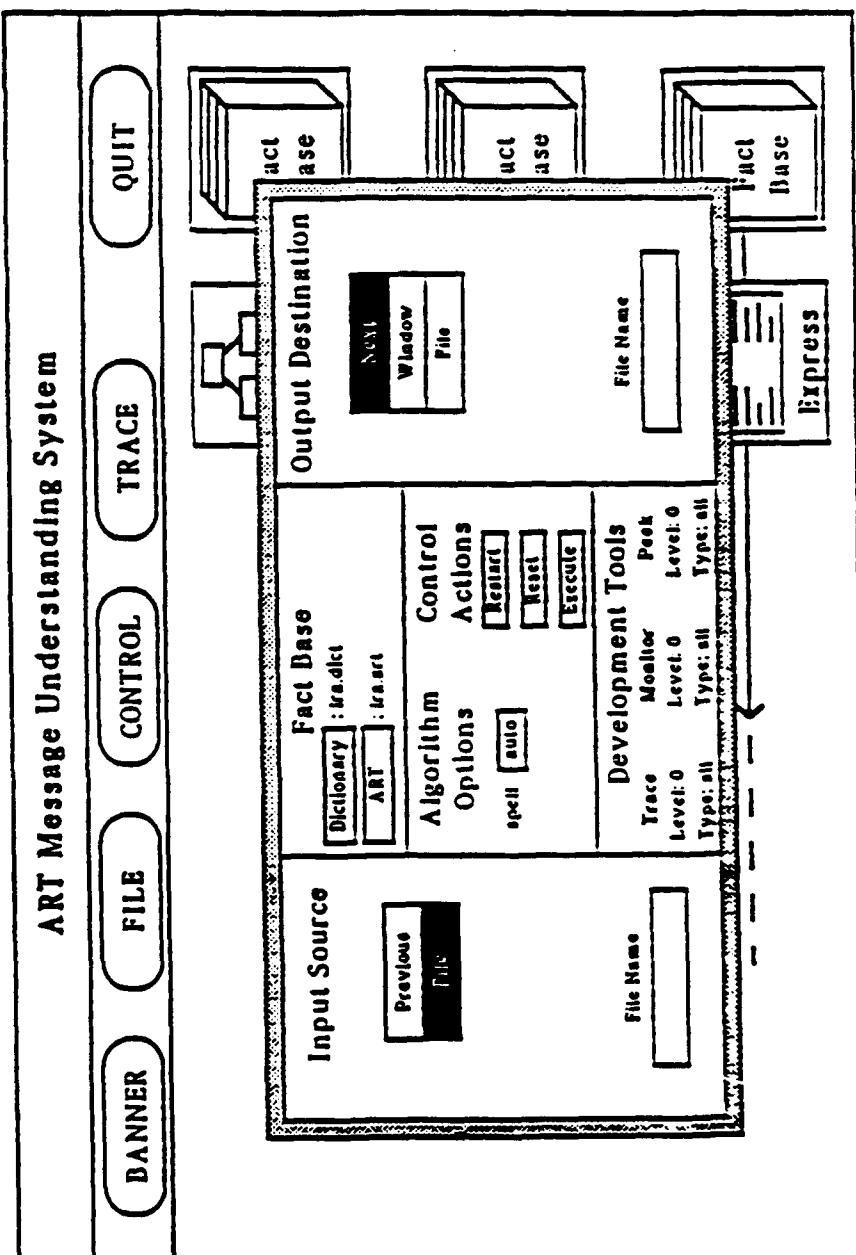




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## SIMGIP CSU

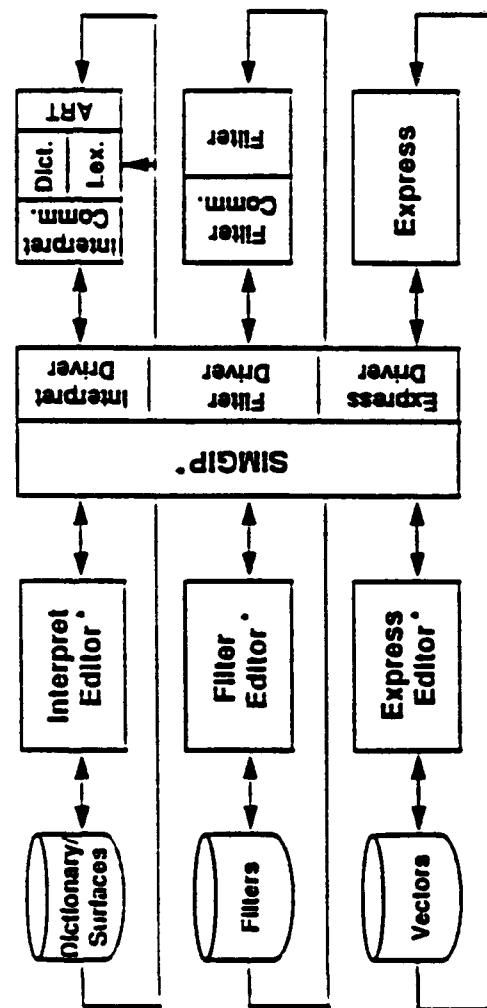
After the Interpret icon button is selected.





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### ART Message Understanding System Architecture

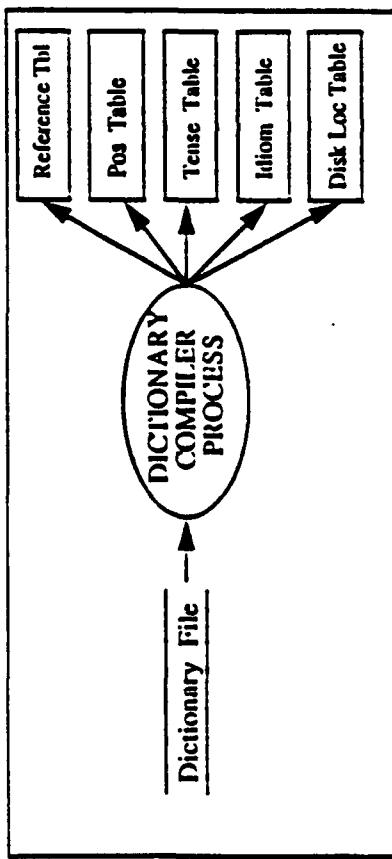




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## DICTIONARY CSU

- Dictionary entries follow Webster's New World Dictionary
- Five tables generated from the dictionary to be used in lexical analysis



Reference Table (RT) - Contains references to other words eg: "MURK C SHILLIN G."

Pos Table (PT) - Contains possible parts-of-speech of words

Tense Table (TT) - Contains the possible tenses of words

Idiom Table (IT) - Contains idioms

Disk Location Table (DLT) - Contains disk locations for dictionary entries



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## DICTIONARY CSU

#AIR FORCE: n. 1 the aviation branch of a country's armed forces %class:military-branch

#BASE: n. 1 the thing or part on which something rests %class:foundation; 2 a headquarters or a source of supply %class:base; 3 any of the four markers a baseball player must consecutively touch to score a run %class:baseball-base; v. (baseball) 1 to make a base for %event:locate; %agent:reasoning; %object:locomotive; -adj. (base, bases) 1 located at %class:location;

#BEGIN: v. (began, begun, beginning) 1 to start doing, acting, etc. %event:initiate; %agent:locomotive; %object:event; 2 to originate %event:locate; %agent:event; %object:location;

#BUFF-C SEE: B-60.

DISK LOCATION TABLE	
AIR	0
BASE	55
BASED	55
BASING	55
BAKER	55
BASEST	55
BEGIN	283
BEGAN	213
BEGUN	283
BEGINNING	283

PART-OF-SPEECH TABLE	
AIR	"
BASE	n,v,adj
BASED	v,adj
BASING	n,v
BAKER	adj
BASEST	adj
BEGIN	v
BEGAN	v
BEGUN	v
BEGINNING	n,v

TENSE TABLE	
BASE	pres
BASED	past,past
BASING	pres,past
BEGIN	pres
BEGAN	past
DEBUNK	past
BEGINNING	pres,past
...	...

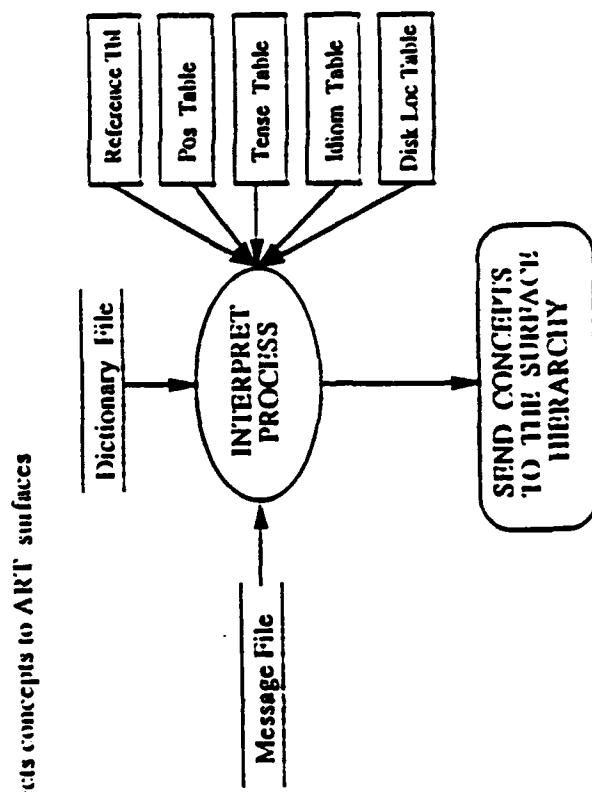
IDIOM TABLE	
AIR	(FORCE)
...	...
REFERENCE TABLE	1260



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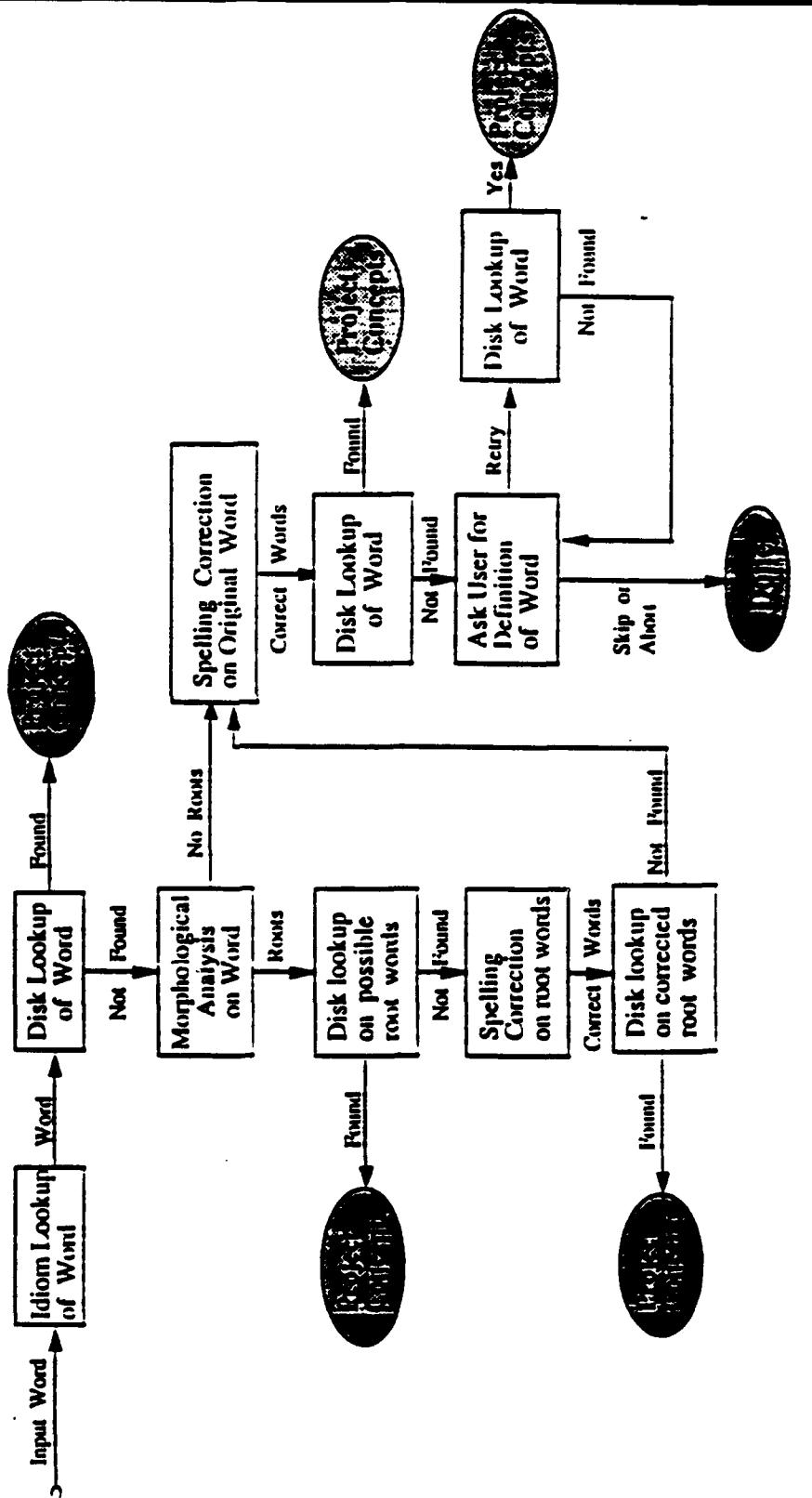
## LEXICAL ANALYZER CSU

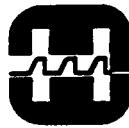
- Uses the 5 tables generated from dictionary compiler
- Performs idiom preprocessing, spelling correction, morphological analysis, and dictionary look-up on the words in the message
- Projects concepts to ART surfaces





## LEXICAL ANALYZER CSU





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ART CSU

THE  
pos:art  
def:def

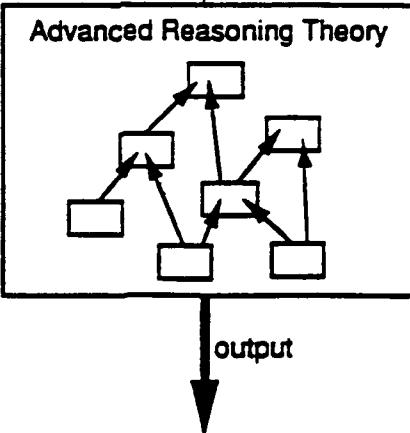
CAPTAIN  
pos:adj  
class:rank  
pos:noun  
class:human  
mod:rank

FLEW  
pos:verb  
event:move  
agent:human  
object:aircraft  
pos:verb\*  
event:move  
agent:bird  
pos:noun  
class:insect  
pos:noun\*  
class:ball

THE  
pos:art  
def:def

PLANE  
pos:adj  
class:level  
pos:noun  
class:airplane  
pos:noun\*  
class:tool

input



```
graph TD; Input((input)) --> Box[Advanced Reasoning Theory]; Box --> Output((output))
```

Advanced Reasoning Theory

output

EVENT-1

VERB: VERB-1  
AGENT: OBJECT-1  
OBJECT: OBJECT-2

VERB-1

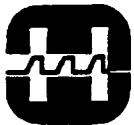
WORD: FLY  
TYPE: (MOVE ACTION EVENT)

OBJECT-1

WORD: CAPTAIN  
TYPE: (HUMAN ANIMAL AGENT)

OBJECT-2

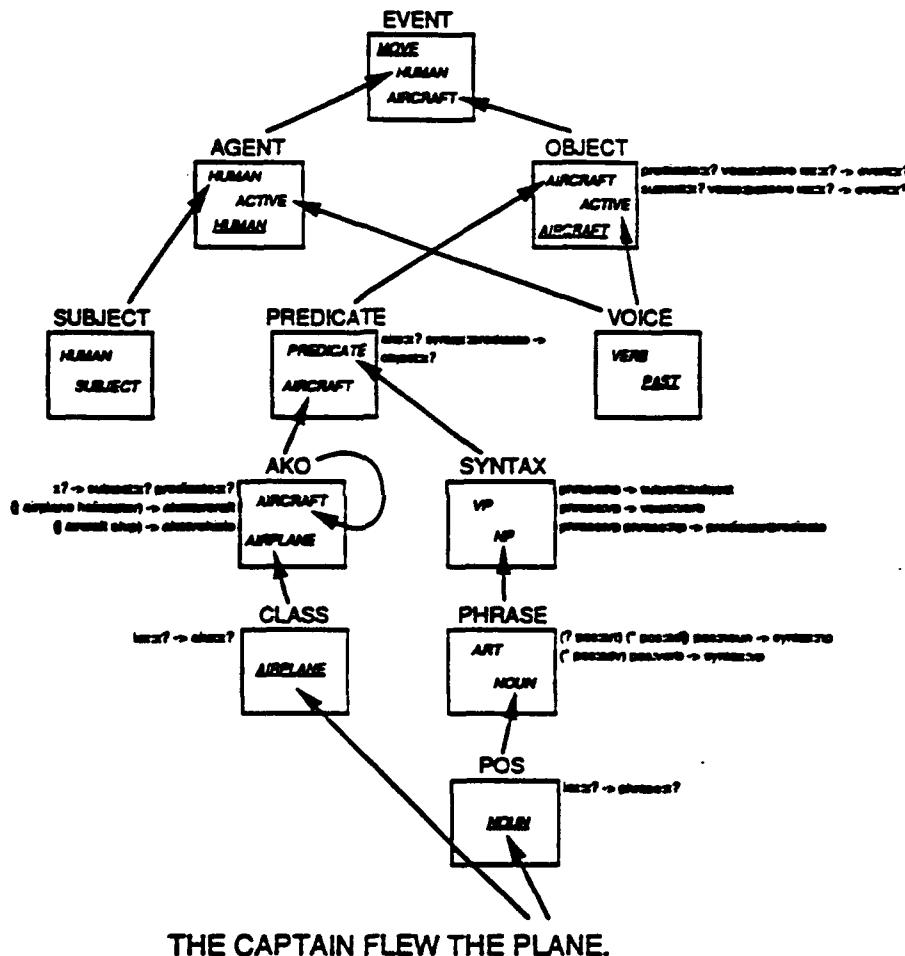
WORD: PLANE  
TYPE: (AIRPLANE AIRCRAFT VEHICLE)



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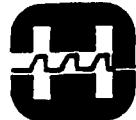
## Example ART Hierarchy



THE CAPTAIN FLEW THE PLANE.

#FLY v. (flew, flown, flying) %pos:verb; 1 to operate an aircraft %event:move; %agent:human; %object:aircraft; 2 to move through the air using wings, as a bird %event:move; %agent:bird; -n. (flies) %pos:noun; 1 any of a large group of insects with two transparent wings %class:insect; 2 a baseball batted high into the air %class:ball;

#PLANE adj. %pos:adj; 1 flat, level %class:level; -n. %pos:noun; 1 short for airplane %class:airplane; 2 a carpenter's tool for leveling or smoothing wood %class:tool;



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**FILTER CSU**

**Input Event  
From ART**

Sentence: The B-60 flew.

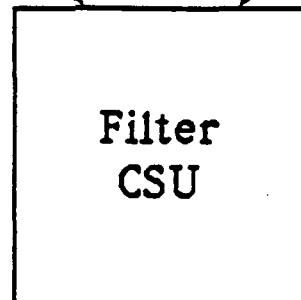
```
(object-1
  (word (B-60))
  (type (aircraft)))
(verb-1
  (word (fly))
  (type (move)))
(event-1
  (verb (verb-1))
  (agent (object-1)))
```

•  
•  
•

**Rules and Tables  
from Fact Base**

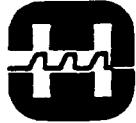
```
RULE Move-1
((verb :type move)
 (agent :type aircraft
       :word X?))
->
AIRCRAFT_TYPE, X?
```

•  
•  
•



**Attribute-Value Pairs**

```
AIRCRAFT_TYPE, B-60
NUMBER_OF_AIRCRAFT, 1
FROM_LOCATION, UGANDA
TO_LOCATION, KENYA
TIME, 0945Z
```



**HARRIS**  
Government Information  
Systems Division

FILTER CSU

Sentence:  
The B-60 flew.

((verb (type move))  
(agent (type aircraft))  
(word X?))  
(event-1  
(verb (verb-1))  
(agent (object-1)))

Binding for RULE:  
AIRCRAFT\_TYPE, B-60

((verb (type move))  
((verb (verb-1))  
(agent (object-1))))

(agent (type aircraft))  
(word X?)  
((verb (verb-1))  
(agent (object-1)))

((verb (type move))  
(verb (verb-1)))

(agent (type aircraft))  
(word X?)  
(verb (verb-1))

(agent (type aircraft))  
(word X?)  
(agent (object-1))

(type move)  
((word (fly))  
(type (move)))

(type aircraft)  
((word (B-60))  
(type (aircraft))  
(art (the)))

(word X?)  
((word (B-60))  
(type (aircraft))  
(art (the)))

(type move)  
(word (fly))

(type move)  
(type (move))

(type aircraft)  
(word (B-60))

(type aircraft)  
(type (aircraft))

(word X?)  
(word (B-60))

FAIL

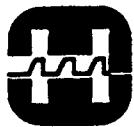
SUCCESS

FAIL

SUCCESS

SUCCESS

Binding Created:  
(X? (B-60))



# HARRIS

Government Information  
Systems Division

## Express CSU Input & Output

```
UNIT, KAS30/GULU
AC_TYPE, B-60/BUFF-C
NUM_AC, 3
ACTIVITY_DAY, 08
ACTIVITY_MONTH, AUG
ACTIVITY_DAY, 09
ACTIVITY_MONTH, AUG
UNIT, KA000/MASA
AC_TYPE, F-TYPE/BUNNY
NUM_AC, 2
ACTIVITY_DAY, 08
ACTIVITY_MONTH, AUG
...
...
```

```
FLIGHT
%UNIT
#STYLE: QUOTES
#ALIASES: UNIT
#SUBCLASS_DEST: UNIT
#INSTANCE_DEST: UNIT
#TYPE: CLASS_KEY
%AIRCRAFT_TYPE
#STYLE: QUOTES
#ALIASES: AC_TYPE
#SUBCLASS_DEST: AC_TYPE
#INSTANCE_DEST: AC_TYPE
#TYPE: CLASS_KEY
%MIN_NUM_OF_AIRCRAFT
#ALIASES: MIN_NUM_AC, NUM_AC
#SUBCLASS_DEST: MIN_NUM_AC
#INSTANCE_DEST: MIN_NUM_AC
#FILLED_ACTION: COMPARE_LESS_CLASS
...
...
```

FILTER output

Vector Definition  
from KB file

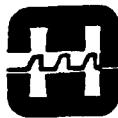
EXPRESS

Output Vectors

```
VECTOR: FLIGHT
UNIT: "KAS30/GULU"
AIRCRAFT TYPE: "B-60/BUFF-C"
MIN NUM OF AIRCRAFT: 3
MAX NUM OF AIRCRAFT: 3
FROM:
LAST LOCATION:
TO
CURRENT LOCATION:
SERIAL NUMBER:
FOLLOW UP TO:
FOLLOW UP NUMBER:
SOURCE:
TIME OF ACTIVITY: 08(null)AUG
MESSAGE DTG:
```

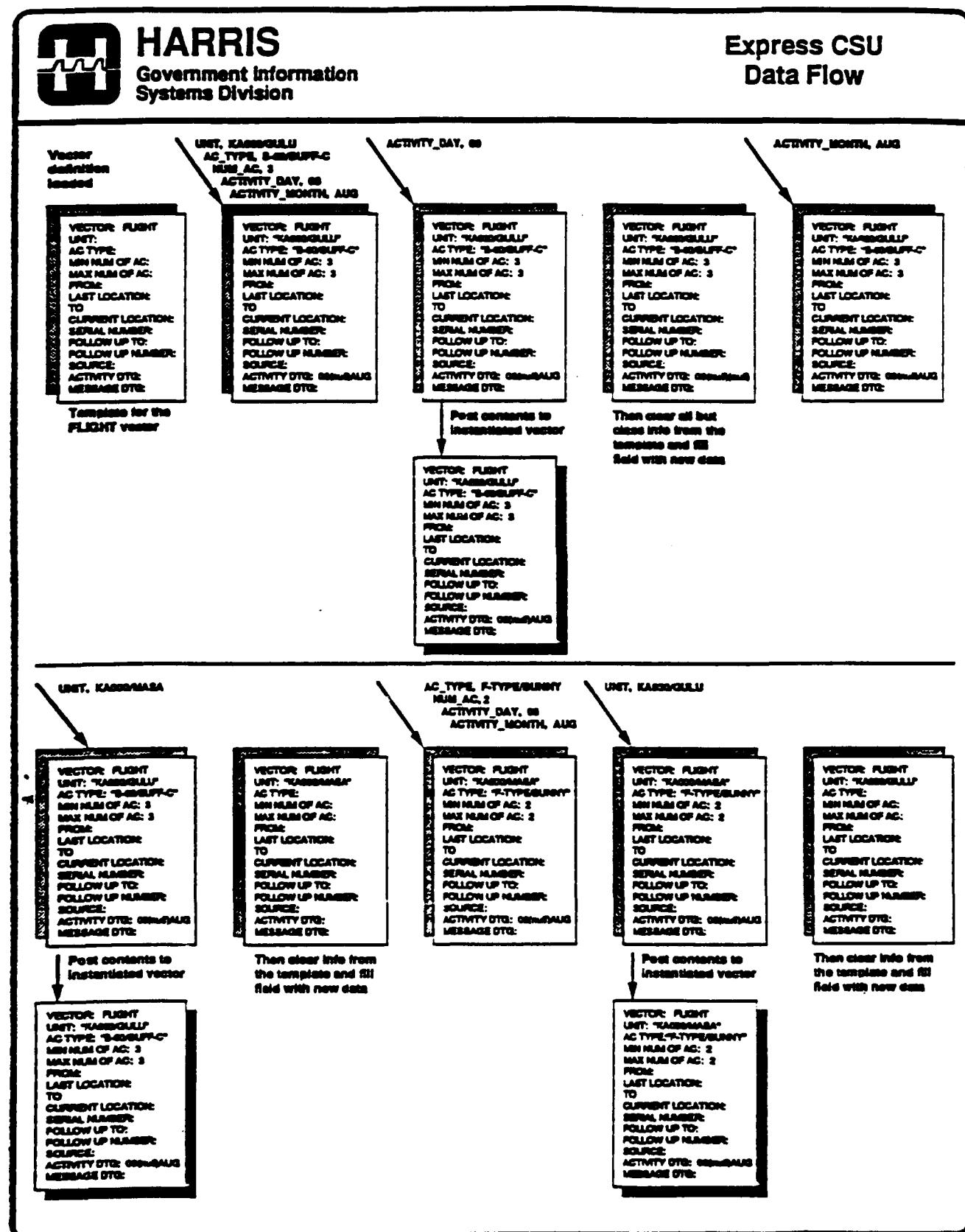
```
VECTOR: FLIGHT
UNIT: "KAS30/GULU"
AIRCRAFT TYPE: "B-60/BUFF-C"
MIN NUM OF AIRCRAFT: 3
MAX NUM OF AIRCRAFT: 3
FROM:
LAST LOCATION:
TO
CURRENT LOCATION:
SERIAL NUMBER:
FOLLOW UP TO:
FOLLOW UP NUMBER:
SOURCE:
TIME OF ACTIVITY: 09(null)AUG
MESSAGE DTG:
```

```
VECTOR: FLIGHT
UNIT: "KA000/MASA"
AIRCRAFT TYPE: "F-TYPE/BUNNY"
MIN NUM OF AIRCRAFT: 2
MAX NUM OF AIRCRAFT: 2
FROM:
LAST LOCATION:
TO
CURRENT LOCATION:
SERIAL NUMBER:
FOLLOW UP TO:
FOLLOW UP NUMBER:
SOURCE:
TIME OF ACTIVITY: 08(null)AUG
MESSAGE DTG:
```



**HARRIS**  
Government Information  
Systems Division

**Express CSU**  
**Data Flow**



# TECHNOLOGY INTERCHANGE MEETING (TIM)

Rome Laboratory

February 11-12, 1992

## PROTOTYPE INTELLIGENCE PROCESSOR (PIP)

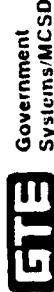
CONTRACT NO. F30602-90-C-0041

Howard Melching – Program Manager  
Lisa Jesse – Lead Engineer

## AGENDA

- I. INTRODUCTION
- II. PROGRAM MILESTONES
- III. TECHNICAL APPROACH

GTE GOVERNMENT SYSTEMS / MILITARY CENTER  
SYSTEMS DIRECTORATE  
COLORADO SPRINGS, COLORADO



## **PROTOTYPE INTELLIGENCE PROCESSOR (PIP)**

**CONTRACT NUMBER: F30602-90-C-0041**

**CUSTOMER: ROME LABORATORY / IRDS**

**CONTRACT TYPE: FIRM FIXED PRICE**

**DURATION: 24 MONTHS (MAY '90 - MAY '92)**

## **TASKS/TECHNICAL REQUIREMENTS**

- DESIGN, DEVELOP, AND TEST PROTOTYPE
- EVALUATE EXISTING SYSTEMS
- PERFORM DETAILED INTELLIGENCE ANALYSIS
- DESIGN / DEVELOP ANALYSIS TOOLS
- CONDUCT KNOWLEDGE ACQUISITION SESSIONS
- ENCODE EXPERT SYSTEM
- DESIGN AND IMPLEMENT HMI
- ANALYZE MESSAGE INPUT REQUIREMENTS
- IDENTIFY CANDIDATE PATTERN RECOGNITION TECHNOLOGIES

## MILESTONES

- MAY 90:** Contract Start.
- JUN 90:** Evaluation of expert systems / neural nets for C2 Analysis begun.
- SEP 90:** Classified USSPACECOM C2 Database loaded at RL SCIF at GTE.
- DEC 90:** White Paper / Task Plan for expert system functions delivered.
- JAN 91:** NEXPERT OBJECT software received from Neuron Data.  
Initiated iterations with University of Colorado.
- APR 91:** Construction of unclassified models/scenarios for expert system begun.
- MAY 91:** PIP Review and demo with USSPACECOM and Rome Lab at GTE.  
Expert system approach endorsed.
- JUL 91:** Work initiated on classified models for expert system using USSPACECOM-supplied data.

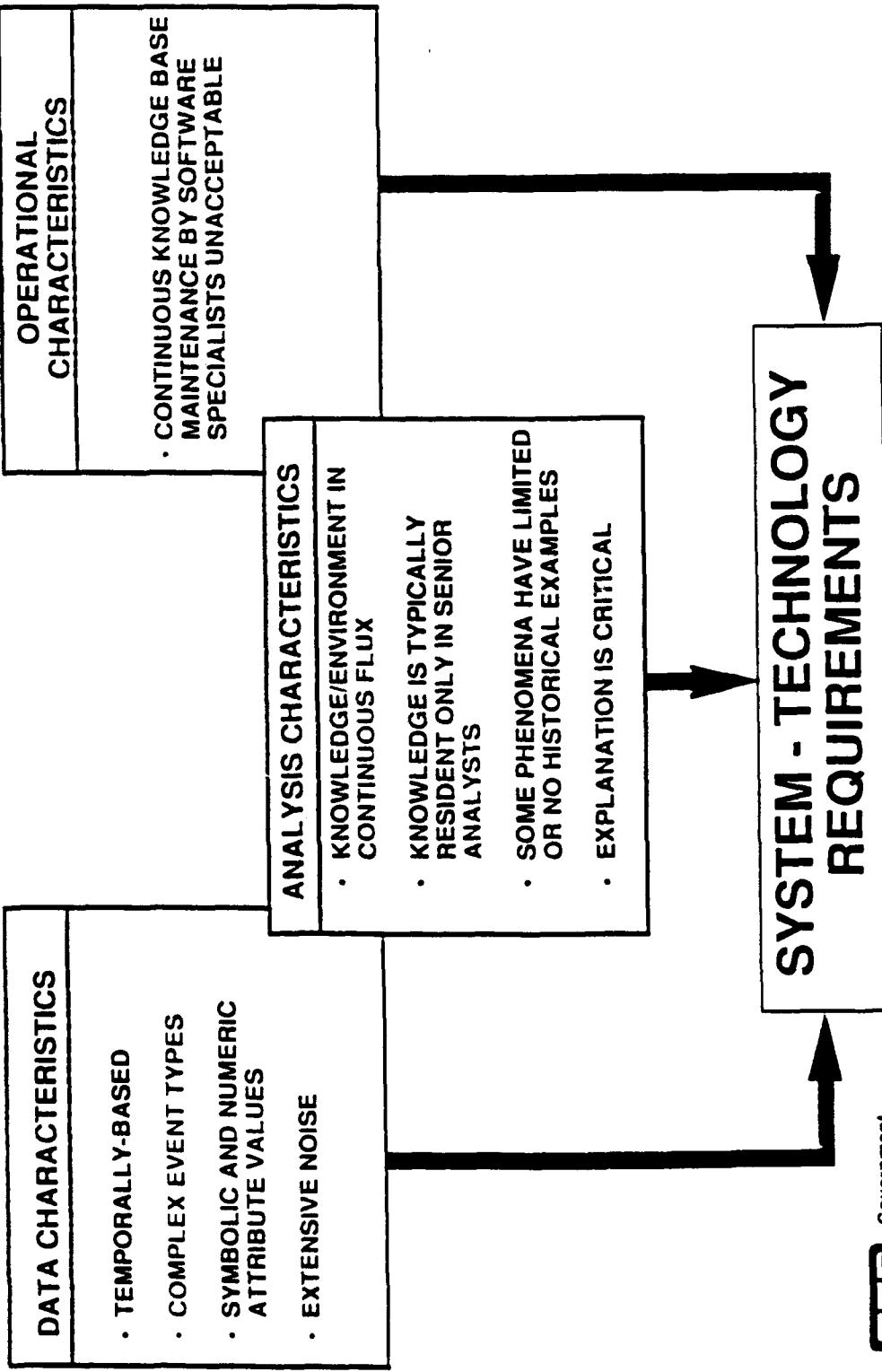
## MILESTONES

- AUG 91: First classified iteration with USSPACECOM analysts.
- SEP 91: Second classified iteration with USSPACECOM analysts.
- OCT 91: Third classified iteration with USSPACECOM analysts.
- NOV 91: Event prediction capability added. Expert system named Knowledge-based Prediction Analysis and Situation Assessment "K-PASA" system.
- PIP demos/briefings to HQ SAC/INY, ESD/XRP, DIA, AFSPACECOM/INY, and JICPAC.
- DEC 91: Expert system development completed.  
PIP installed in Cheyenne Mountain for user evaluation.
- APR 92: Scheduled completion of user evaluation.
- MAY 92: Contract completion.

## PIP OBJECTIVES

- PROVIDE AUTOMATIC AID IN TEMPORAL ANALYSIS TASKS
  - SITUATION ASSESSMENT
  - EVENT PREDICTION
- FOREIGN COMMAND AND CONTROL DOMAIN
  - USSPACECOM - NSPJ-2F
  - OPERATIONAL ENVIRONMENT
- INVESTIGATE CANDIDATE TECHNOLOGIES
  - NEURAL NETWORKS
  - EXPERT SYSTEM KNOWLEDGE REPRESENTATIONS
- INTEGRATE INTO C2TAS TOOLSET

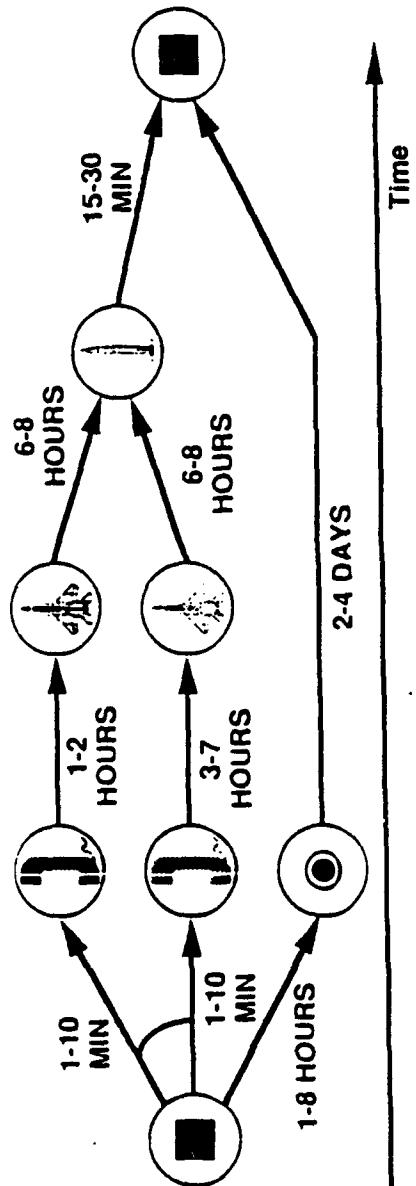
## DOMAIN CHARACTERISTICS



## APPROACH

- TRADITIONAL EXPERT SYSTEM KNOWLEDGE REPRESENTATIONS TOO INFLEXIBLE FOR TYPICAL USERS
- NEW KNOWLEDGE REPRESENTATION - "MODELS"
  - TAILED FOR TAS SITUATION ASSESSMENT/PREDICTION APPLICATIONS
  - DIRECTED GRAPH DESCRIBING GENERALIZED EVENT "SEQUENCES" WHICH TYPICALLY INDICATE OR ARE EXPECTED TO INDICATE A PARTICULAR PHENOMENON.
  - MIRRORS HOW THE USERS PERFORM THE ANALYSIS
  - USE WELL-DEFINED CONCEPTS FROM ATNS AND DECISION TREES
  - CREATED AND MAINTAINED BY ANALYSTS WHO ARE EXPERTS IN ANALYSIS DOMAIN BUT ARE COMPUTER NAIVE.
- CONCEPT OF DOMAIN-SPECIFIC META-KNOWLEDGE
  - PRUNE SEARCH SPACE
  - DERIVE HIGHER LEVEL CONCLUSIONS

## MODEL STRUCTURE



- STATES CORRESPOND TO EVENTS

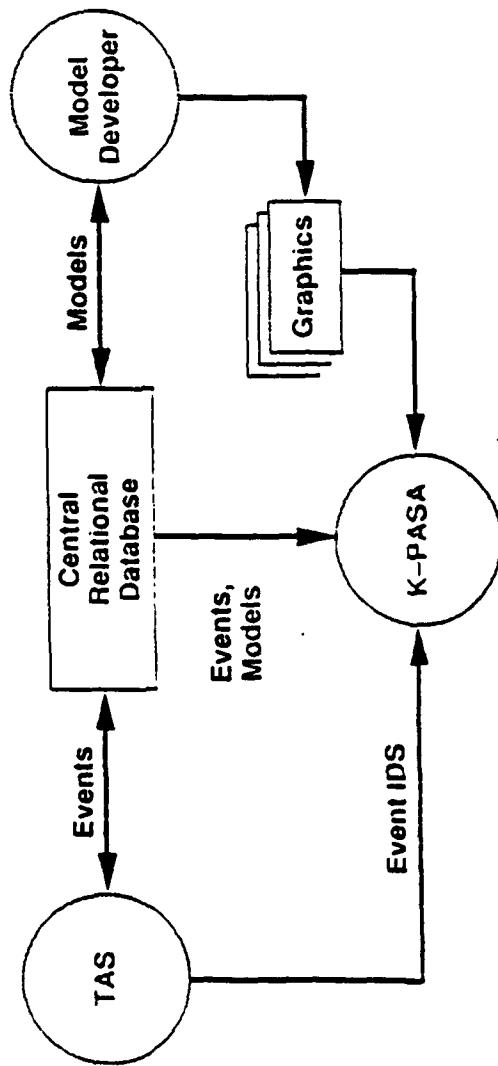
- CONSTRAINTS CAN BE PLACED ON EVENT ATTRIBUTES
- VARIABLES ALLOW DYNAMIC CONSTRAINT SPECIFICATION
- MULTIPLE INITIAL STATES SUPPORTED

- TRANSITIONS CORRESPOND TO THE TEMPORAL RELATIONSHIPS BETWEEN THE EVENTS

- TIME PERIOD WHICH THE NEXT EVENT SHOULD OCCUR
- CONFIDENCE THAT THE INPUT EVENT SEQUENCE IS REPRESENTATIVE OF THE PHENOMENA DESCRIBED BY THE MODEL AND THE VALIDITY OF THE MODEL
- BRANCHES HAVE AND-OR SEMANTICS
- PLANS FOR ABSOLUTE TIMING CONSTRAINTS (E.G. DAYS OF THE WEEK, HOLIDAYS, ETC..)

- NO LIMIT TO MODEL SIZE OR NUMBER OF BRANCHES

## SYSTEM OVERVIEW



- MODELS MANIPULATED USING GRAPHICALLY-ORIENTED MAINTENANCE TOOL

- AT A HIGH LEVEL, PROCESSING PERFORMS A MATCHING BETWEEN THE EVENTS AND THE MODEL SPECIFICATIONS.

- SUPPORTS EVENT AGGREGATION AND DECOMPOSITION

- EXPLANATION USES A MIXTURE OF GRAPHICS AND LANGUAGE TEXT DOMAINS
- SYSTEM BUILT TO ALLOW EASY TRANSITION TO OTHER ANALYSIS

## ENHANCEMENTS

- DEVELOPMENT OF REAL-TIME CAPABILITIES
- MODEL ENHANCEMENTS
  - LOOKING FOR THE ABSENCE OF EVENTS
  - ABSOLUTE TEMPORAL CONSTRAINTS
  - RECOMMENDATION STATE
- PRUNING OF SEARCH SPACE USING CBR INDEXING TECHNIQUES
  - USE OF CONTEXTUAL INFORMATION
  - POSSIBLE NEURAL NETWORK APPLICATION
- MACHINE LEARNING TECHNIQUES
  - COMBINATION OF INDUCTIVE AND EXPLANATION-BASED LEARNING SHOW PROMISE

**Indications and Warning for Defense (IW4D)**

**February 12, 1992**

**Engineering and Technology Group  
Technology Division**

***nrc***

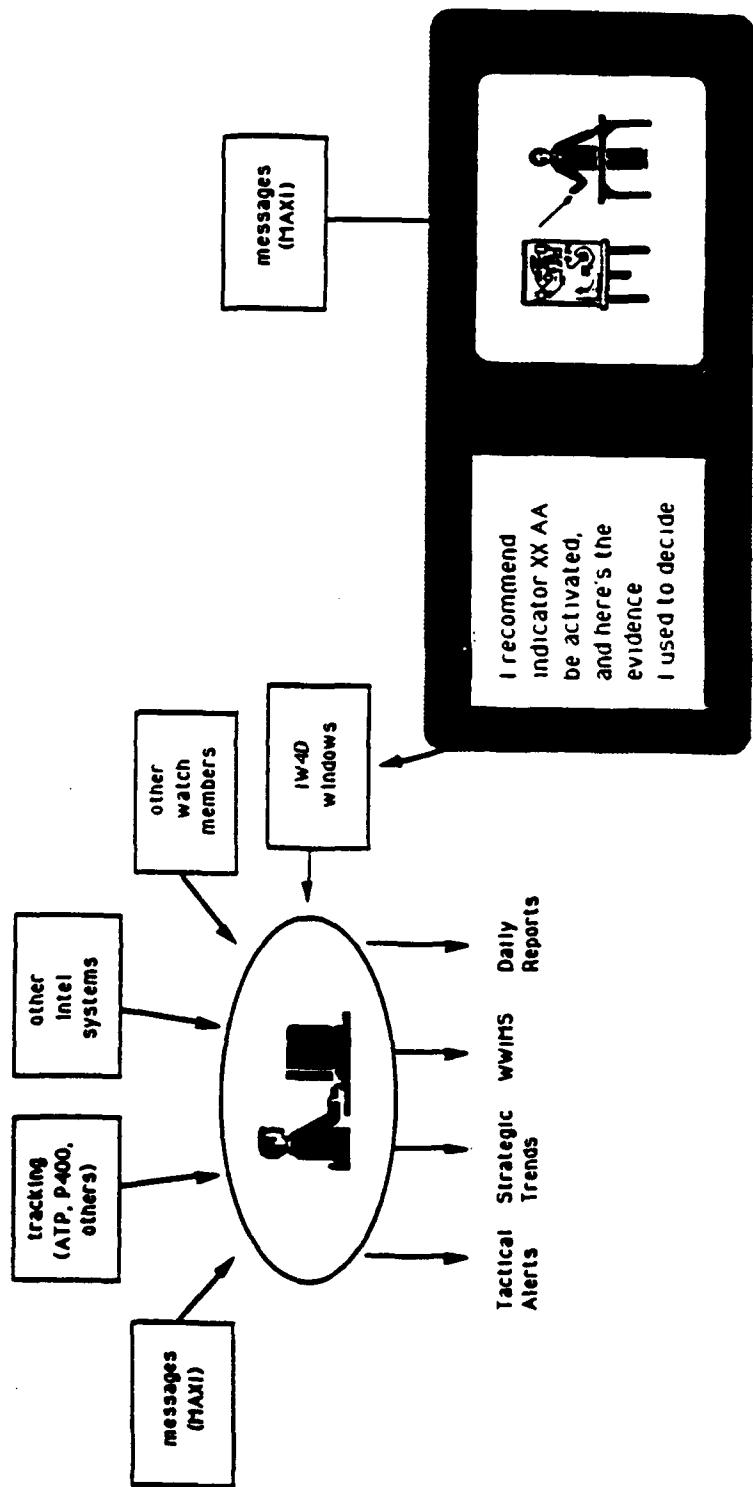
## IW4D target

- construct versatile decision aids s/w architecture
- build indicator assessment expert system with intuitive, powerful interface
- provide user with lots of contextual data

## IW4D specific functional objectives

- help analysts focus on indicator-related messages
- make indicator recommendations when something is happening
- stay out of the way when nothing is happening
- provide contextual data to evaluate recommendations
- keep user in control at all times

## IW4D expert system positioning



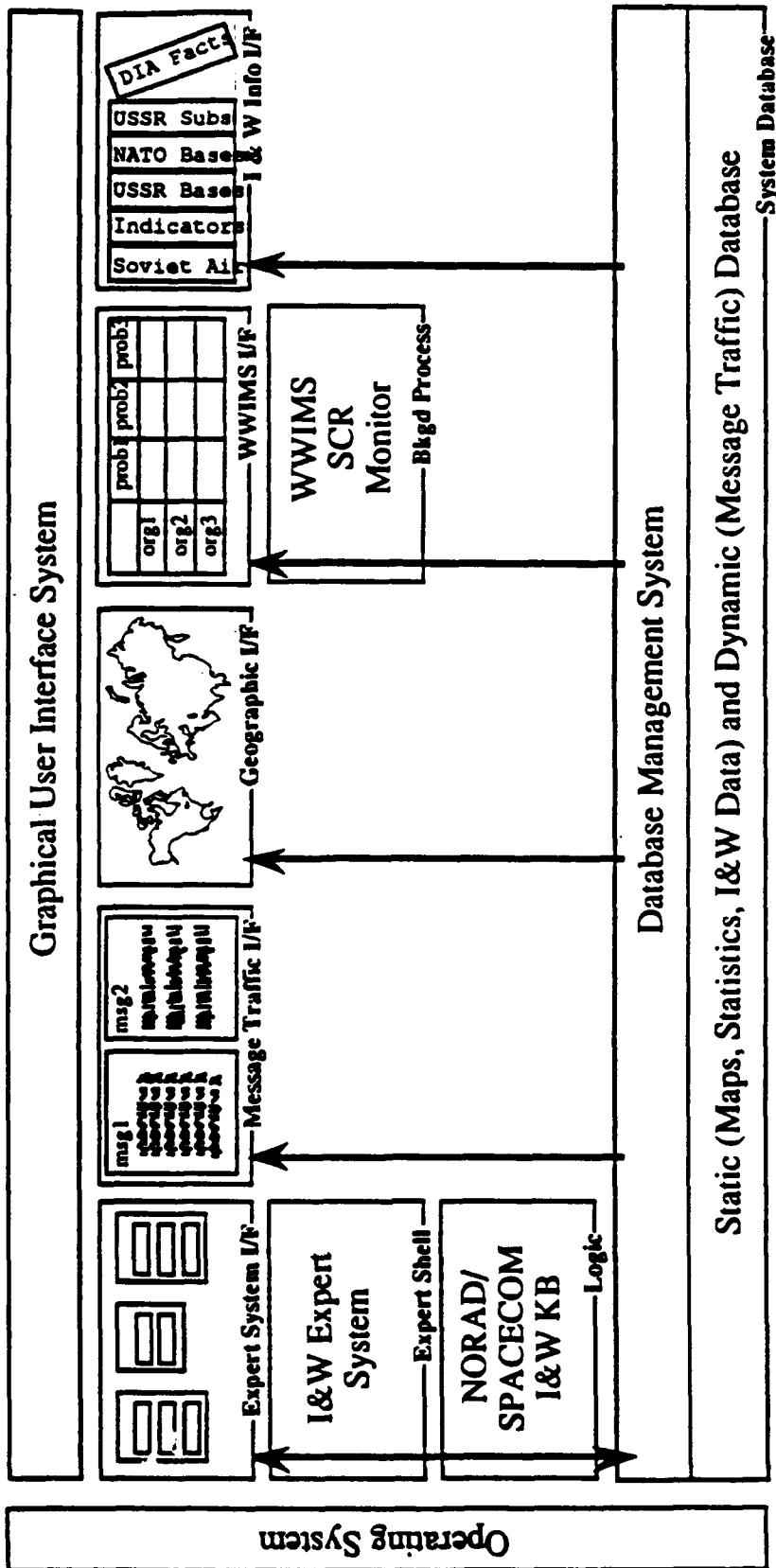
## **IW4D specific technical objectives**

- provide generic framework for threat assessment applications (IPC-oriented and data-driven)
- make the framework open and standards-based
- provide intuitive interface
- provide distributed multi-user capability
- keep software unclassified

**NRC**

# IW4D through 1 Jan 91

USER



## Current phase tasking

- Convert to Motif
- Architecture improvements
- Expert system re-engineering / expansion
- New tool development

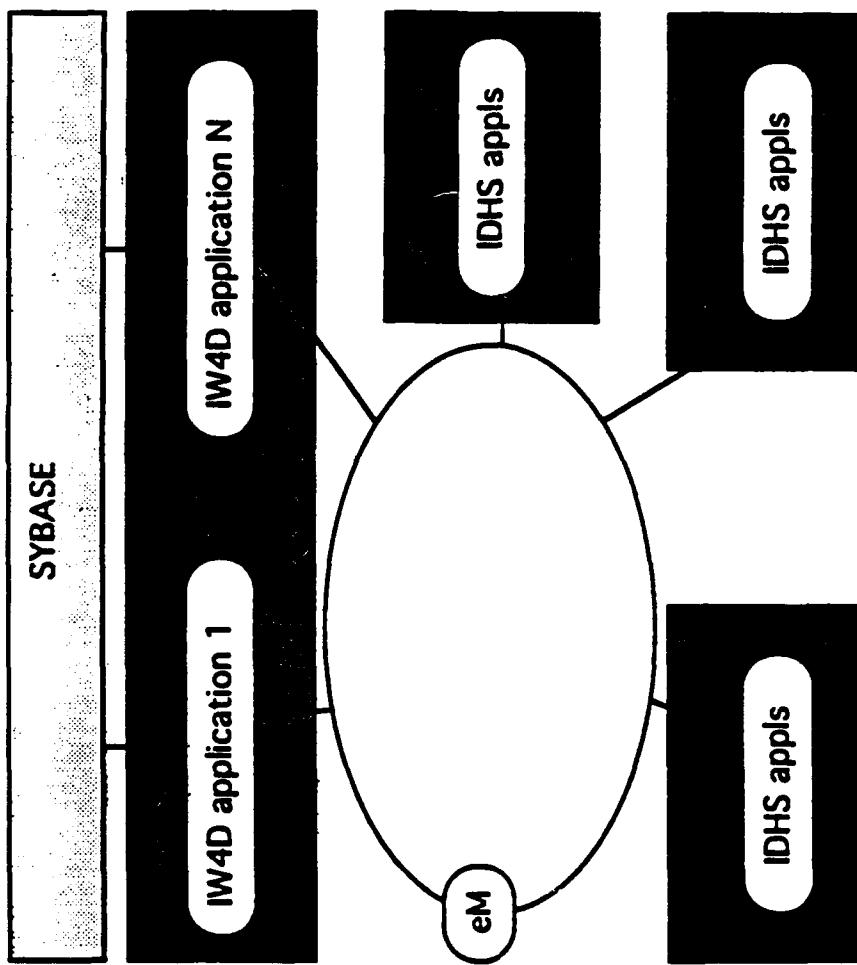
## Convert to Motif

- Purchase builder tool
- Convert old applications
- Develop new applications in Motif

## Architecture improvements

- Distribute system
- Relieve logical limit on event size
- Streamline databases

## High-level architecture

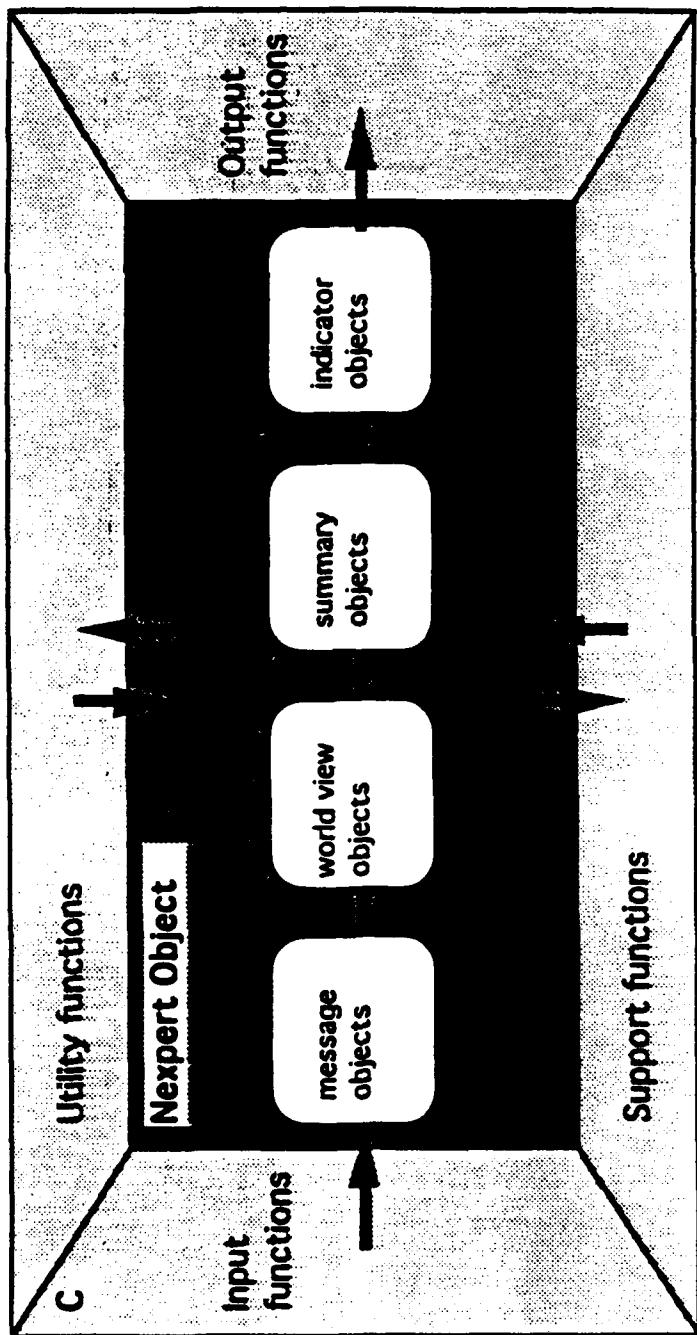


## Expert system re-engineering / expansion

- 7 to 29 strategic air indicators
- Make generic as much code as possible  
(ease migration to new domains)
- Bulletproof
- Create hot backup scheme

*nrc*

# Expert system architecture



## New tool development

- Database entry tool
- Database maintenance tool
- Watchlog tool
- New Xpert version
- SCR text generation tool
- Printing capability
- Control panel

*nrc*

**WARNING INFORMATION  
DISSEMINATION EXPERIMENT  
(WIDE)**

**February 12, 1992**

**Systems Research and Applications Corporation  
2000 15th Street North  
Arlington, Virginia 22201**

**SRA**  
CORPORATION

## **OUTLINE**

- GOALS
- CAPABILITIES
- WIDE AND IPAS 2000
- PLANNED APPROACHES

**SRA**  
CORPORATION

## GOALS

- APPLY NLP AND KB TECHNIQUES TO MESSAGE DISSEMINATION
- SIMPLE BUT EFFECTIVE USER INTERACTION PARADIGM
- TESTBENCH FOR EVALUATION OF TECHNIQUES

## CURRENT CAPABILITIES

- PART-OF-SPEECH TAGGING
- PROPER NOUN RECOGNITION
- PHRASES
- RELEVANT/IRRELEVANT MARKING
- CONCEPT STORAGE/RETRIEVAL

**SRA**  
ONLINE

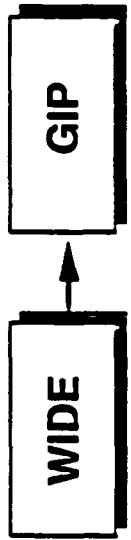
## WIDE AND IPAS 2000

- FINE-GRAINED FILTER ON 1ST PASS OF MESSAGE TRAFFIC (GIP)
- MESSAGE-INTERNAL FILTER ON 2ND PASS OF A MESSAGE (NLU SHELL)

**SRA**  
CORPORATION

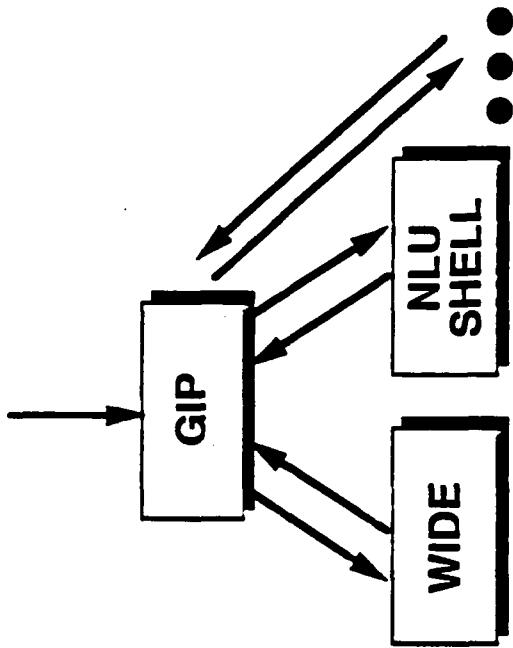
## WIDE AND GIP - WHICH DOES ZONING?

### Pipeline



1. WIDE PASSES ZONED MESSAGE TO GIP
2. BOTH WIDE AND GIP ZONE EACH MESSAGE

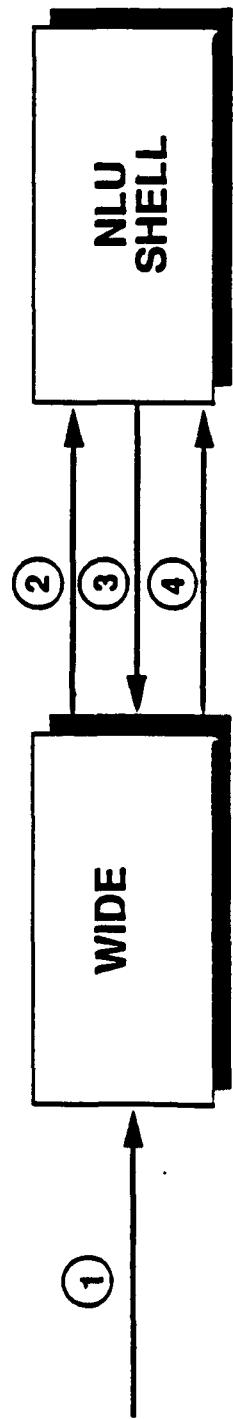
### Dispatch



GIP PASSES ZONED MESSAGE TO  
WIDE, NLU SHELL, ETC.

SRA

## MESSAGE – INTERNAL FILTERING



### A POSSIBLE DATA FLOW:

1. MESSAGE
2. RELEVANT SECTIONS OF MESSAGE
3. NEW PROFILE OF INFORMATION NEED
4. NEWLY RELEVANT SECTIONS OF MESSAGE

SRA

## FUTURE WORK

- MORE NLP TECHNIQUES
  - THESAURUS (part-of, type-of, metonymy)
  - CLUSTER ON VERB PHRASES
  - ROBUST PARSER (emphasis)
- WORLD KNOWLEDGE (alliances, conflicts, ...)
  - incremental improvement
  - no degradation when absent

## **SUMMARY**

- **SOME NLP/KB (I.E., NON-STATISTICAL) TECHNIQUES SHOW PROMISE**
- **GUI/AUTOMATED RANKING, CLUSTERING EASE USER INTERACTIONS IN STANDALONE MODE**
- **MODULAR CODE AND TAILORABLE FEATURES MAKE WIDE VALUABLE FOR IPAS 2000**

**SRA**  
Software Research Association

**Speech and Natural Language Integration  
for Intelligence Applications  
(SPLINT)**

**Progress Report  
February 12, 1992**

**Dr. Madeleine Bates**

**by BBN Systems and Technologies  
for Rome Laboratory**



BBN Systems and Technologies

## Goals

- Integrate (commercial) speech recognition technology with natural language processing in an intelligence application (Year 1)
- Experiment with and evaluate different architectures for integrating Speech and NLP (Year 2)
- Evaluate human engineering aspects of speech interfaces, focusing on error detection and correction (Years 1 - 3)



## **Current Status**

- **Install hardware and system software**
- **Identified application domain (unclassified spot messages)**
- **Developed and train a vocabulary (current size approx 430; target = 1000)**
- **Designed and developed prototype system:  
The Dragon/Sun communication link  
Initial Interface**
- **Started review of relevant human factors work**

## Sample Message

RTTCWDNS STRGZR 0001 09100800-MNSH -- STRAIRS STRGIL

ZNY MMNSH

ZKZK RR OSD DE

R 010759Z APR 23 ZYH

FM USA-38

TO USA-38/CHARLIE TANGO

ZWM

UNCLASSIFIED

aaaa ZZMMENPnnaaa23089

SERIAL: SPOT 1

TAGS:

**SUBJ:**

U.S. FIGHTERS SIGHTED OVER DENVER (5520N  
02630E) AND SAN FRANCISCO (6800N 0330E)

**TEXT:**

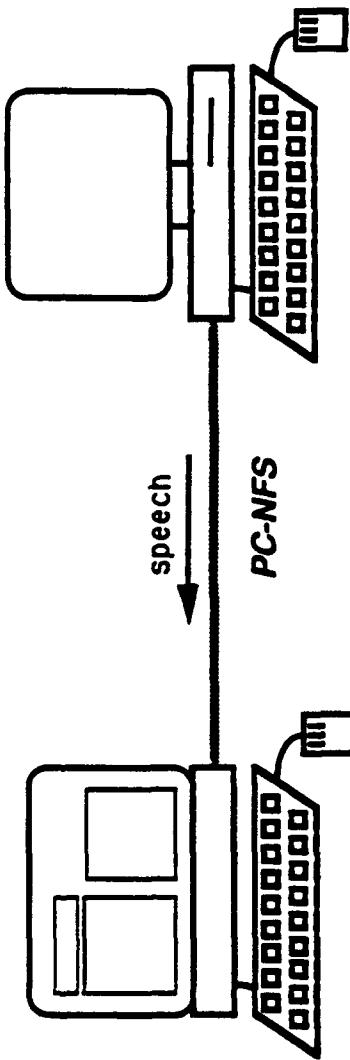
TWO F-15 (EAGLE) FIGHTERS WERE ACTIVE OVER  
DENVER (5520N 02630E) AND SAN FRANCISCO  
(6800N 0330E) ON 31 MARCH 2023.

ACCORDING TO WEST COAST TRAFFIC CONTROL,  
TWO F-15 EAGLES DEPARTED FROM DENVER (5520N  
02630E) AT 1300Z, THEN FLEW TO SAN FRANCISCO  
(6800N 0330E) AT 1345Z FOR REFUELING. THE F-15S  
DEPARTED SAN FRANCISCO AT 1525Z, THEN  
LANDED AT DENVER (5520N 02630E) AT 1620Z.

## The SPLINT System

### Research NL System

### Commercial Speech Recognition System



Sun Sparcstation (Lisp)  
NLP  
Communications software  
Interface

IBM PC clone (C)  
Dragon software  
Communications software

Approx. 400 words, speaker  
dependent, isolated words

## **Characteristics of Current SPLINT Interface**

User can fill a template slot by  
typing  
speaking (initial implementation)

Words are recognized as spoken; if incorrect, an  
alternative can be chosen from the menu provided.

Thresholds for rejection can be set by the user.



## **Machine Configurations**

### **PC is an IBM-compatible 386 machine: NEC PowerMate SX/20**

#### **Additional hardware:**

- Dragon speech board and microphone**
- Ethernet card**
- Graphics monitor card**
- Mouse**

#### **Software:**

- PC-NFS (allows PC to access files over the network)**
- Dragon Writer 1000 (includes DragonLab and utilities)**
- Microsoft C**
- Extended memory manager (for extra 1MByte)**

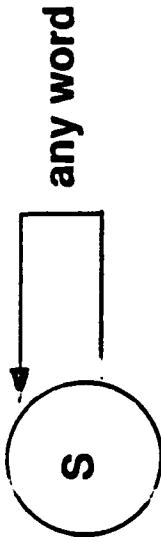
### **Sun SparcStation 2**

- 48 MBytes memory; 1GB external Fujitsu disk drive**
- CD-ROM drive; internal disk drive**
- Sun's Lucid Common Lisp (with CLOS and LispView)**

## Using Dragon Writer

1. Design vocabulary and grammar,  
Create a LAN file, compile it into LDF file with Dragon's  
VOCL compiler.

Grammar is finite state, can be extremely simple:



2. Train vocabulary  
Read each word 1 to 5 times

3. Recognize speech  
Isolated word, speaker dependent

## **Fast Partial Parser**

The BBN Fast Partial Parser is a derivative of the MIT Fast Parser (MITFP).

**Advantages:**

produces a syntactic parse (or set of parse fragments) for virtually any input

**Disadvantages:**

does not do semantics

## **Activities with Spot Messages**

**Collected a concordance from the Spot messages, to augment the FPP lexicon**

**Developed syntactic and semantic entries for the Spot vocabulary, and a domain model for Spot messages**

**Created a template structure for Spot messages**

**Wrote a pre-processor to read the Spot messages (parsing headers and passing text to be parsed to FPP)**

**Wrote a tokenizer for Spot that deals with latitude, longitude, zulu time, and other military expressions**

**Ran the 20 messages through PLUM (BBN's message processing system) to create templates with a recall of 46 and precision of 94**

## **Definition of a Template for Spot Messages**

<b>Vector Type:</b>	the type of the db update (set fill)
<b>Unit:</b>	parent unit "/" country (set fill)
<b>Aircraft Type:</b>	type of aircraft spotted (set fill)
<b>Minimum Number of Aircraft:</b>	number
<b>Maximum Number of Aircraft:</b>	number
<b>Flight Path:</b>	a sequence of triples; each triple is a latitude, a longitude, and a time
<b>Serial Number:</b>	message id (string fill)
<b>Source:</b>	the contents of the from field (string fill)
<b>Message DTG:</b>	the date and time of the message (string fill)

BBN

BBN Systems and Technologies

13 2/10/92

### Hand-Filled Template for Sample Message

Vector Type:	FLT
Unit:	NONE/US
Aircraft Type:	type of aircraft spotted, e.g., F-15
Minimum Number of Aircraft:	2
Maximum Number of Aircraft:	2
Flight Path:	(5520N 02630E 1300Z) (6800N 03399E 1345Z) (6800N 03399E 1525Z) (5520N 026309E 1620Z)
Serial Number:	SPOT 1
Source:	USA-38
Message DTG:	010759Z APR 23****

## Partial Domain Model

ANYTYPE (MAX-NUMBER-OF MIN-NUMBER-OF)  
AIRCRAFT (HOME-BASE-OF AIRCRAFT-UNIT-OF AIRCRAFT-UNIT-DESCRIPTION-OF  
AIRCRAFT-TYPE-OF)  
AMOUNT (AMOUNT-UNIT-OF AMOUNT-SCALAR-OF)  
ALTITUDE  
ALTITUDE-MEASURE  
GEOGRAPHIC-LOCATION  
SEA  
ORGANIZATION  
MILITARY-UNIT  
MOVEMENT  
FLIGHT (FLIGHT-SEGMENT-OF)  
INDIVIDUAL-CONCEPT  
CANADA  
COUNTRY  
^CANADA  
NATIONALITY  
^CANADIAN  
INTERVAL  
FLIGHT-SEGMENT (SEGMENT-END SEGMENT-START)  
STATE-OF-AFFAIRS  
AIRCRAFT-SIGHTING (AIRCRAFT-SIGHTING-AIRCRAFT-OF AIRCRAFT-SIGHTING-DATE-OF)  
^FLIGHT-SEGMENT (SEGMENT-END SEGMENT-START)  
POINT-SIGHTING (POINT-SIGHTING-LOCATION-OF POINT-SIGHTING-TIME-OF)  
IBN Systems and Technologies

## Slot Fill Scores

SLOT	POS ACT COR PAR INC ICR IPAI SPU MIS NONREC PRE OVG FAL
template-id	27 19   19 0 0   0 0   0 8 0   70 100 0
vector-type	27 20   19 0 1   0 0   0 7 0   70 95 0
unit	27 3   2 0 1   0 0   0 24 0   7 67 0
aircraft-type	27 10   10 0 0   0 0   0 17 0   37 100 0
min-num	27 8   8 0 0   0 0   0 19 0   30 100 0
max-num	21 7   7 0 0   0 0   0 14 6   33 100 0
flight-path	77 42   36 0 5   0 0   1 36 0   47 86 2
message-dig	27 20   20 0 0   0 0   0 7 0   74 100 0
<b>MATCHED ONLY</b>	<b>193 127   120 0 6   0 0   1 67 4   62 94 1</b>
<b>MATCHED/MISSING</b>	<b>260 129   121 0 7   0 0   1 132 6   46 94 1</b>
<b>ALL TEMPLATES</b>	<b>260 129   121 0 7   0 0   1 132 6   46 94 1</b>
<b>SET FILLS ONLY</b>	<b>27 20   19 0 1   0 0   0 7 0   70 95 0</b>

## **PLUM-Filled Template for Spot Message**

SERIAL NUMBER: SPOT 1  
VECTOR ID: 1  
VECTOR TYPE: FLT  
UNIT: -  
AIRCRAFT TYPE: "F-15"  
MINIMUM NUMBER OF AIRCRAFT: 2  
MAXIMUM NUMBER OF AIRCRAFT: 2  
FLIGHT PATH: "(5520N 02630E 1300Z)"  
              "(6800N 03300E 1345Z)"  
              "(5520N 26309E 1620Z)"  
SOURCE: "USA-38"  
MESSAGE DTG: "010759Z APR 23"

## **Human Factors Effort**

**Primary Thrust - To improve the usability of  
speech systems**

## **Great Speech Recognition Isn't Good Enough**

A speech system with 98% word recognition accuracy will present its user with a correction task in 1 out of every 5 sentences it processes (assuming 12 words / sentence on average)

## **Emphasis on Usability Analyses**

**time to learn**

**time to use**

**not "naturalness"**

**not just recognition accuracy**

## **Reduce Task Time Devoted to Errors**

**Reduce the frequency of their occurrence**

**Design to speed their repair**

## **Compare Alternative Methods for Error Control**

**time to learn**

**time to accomplish**

**frequency of correction errors**

**domain compatibility of modality requirements**

## **Draw on Human Factors Research and Principles**

- Consider users' commission and omission errors.**
- Attend to feedback on system state.**
- Evaluate difficulty of correction tasks.**
- Contrast the usability of alternative I/O methods.**

## **Review Conversational Linguistics Research**

**Will it emerge as relevant?**

**Will it provide conventions for fixing misunderstandings?**

**Can human-computer dialogs afford to be as vague?**

## **Support NL & Speech Integration Decisions**

**What correction tasks will they present?**

**What I/O modes will need to be available?**

**Consistent with the application domain?**

**Compound errors with speech correction?**

**Can dialog analyses be used to predict usability?**

## **Ongoing Activities**

**Perform human factors studies**

**Integrate NL system**

**Extend interface**

- Move text between windows**
- Place recognized text directly in template**
- Simplify choosing alternate words**

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